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**Identification cards — Integrated circuit  
card programming interfaces —**

**Part 3:  
Application interface**

*Cartes d'identification — Interfaces programmables de cartes à puce —  
Partie 3: Interface d'application*

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# Contents

Page

|                                                             |    |
|-------------------------------------------------------------|----|
| Foreword .....                                              | v  |
| Introduction.....                                           | vi |
| 1 Scope .....                                               | 1  |
| 2 Normative references .....                                | 1  |
| 3 Terms and definitions .....                               | 1  |
| 4 Abbreviated terms .....                                   | 3  |
| 5 Organization for interoperability.....                    | 4  |
| 5.1 General .....                                           | 4  |
| 5.2 Computation model.....                                  | 4  |
| 5.3 Entity relationships on the application interface ..... | 5  |
| 5.4 Security model .....                                    | 13 |
| 6 Card-application-service access .....                     | 16 |
| 6.1 General .....                                           | 16 |
| 6.2 Initialize .....                                        | 16 |
| 6.3 Terminate .....                                         | 17 |
| 6.4 CardApplicationPath .....                               | 18 |
| 7 Connection service .....                                  | 19 |
| 7.1 General .....                                           | 19 |
| 7.2 CardApplicationConnect .....                            | 20 |
| 7.3 CardApplicationDisconnect .....                         | 21 |
| 7.4 CardApplicationStartSession.....                        | 22 |
| 7.5 CardApplicationEndSession .....                         | 23 |
| 8 Card-application service.....                             | 24 |
| 8.1 General .....                                           | 24 |
| 8.2 CardApplicationList .....                               | 25 |
| 8.3 CardApplicationCreate .....                             | 26 |
| 8.4 CardApplicationDelete .....                             | 27 |
| 8.5 CardApplicationServiceList .....                        | 28 |
| 8.6 CardApplicationServiceCreate.....                       | 29 |
| 8.7 CardApplicationServiceLoad .....                        | 30 |
| 8.8 CardApplicationServiceDelete .....                      | 31 |
| 8.9 CardApplicationServiceDescribe.....                     | 32 |
| 8.10 ExecuteAction.....                                     | 33 |
| 9 Named data service.....                                   | 34 |
| 9.1 General .....                                           | 34 |
| 9.2 DataSetList .....                                       | 35 |
| 9.3 DataSetCreate .....                                     | 36 |
| 9.4 DataSetSelect.....                                      | 37 |
| 9.5 DataSetDelete .....                                     | 38 |
| 9.6 DSIList .....                                           | 39 |
| 9.7 DSICreate .....                                         | 40 |
| 9.8 DSIDelete.....                                          | 41 |
| 9.9 DSIWrite.....                                           | 42 |
| 9.10 DSIRead .....                                          | 43 |
| 10 Cryptographic service.....                               | 44 |
| 10.1 General .....                                          | 44 |
| 10.2 Encipher .....                                         | 45 |

|              |                                                                       |     |
|--------------|-----------------------------------------------------------------------|-----|
| 10.3         | Decipher.....                                                         | 46  |
| 10.4         | GetRandom.....                                                        | 47  |
| 10.5         | Hash .....                                                            | 48  |
| 10.6         | Sign .....                                                            | 49  |
| 10.7         | VerifySignature .....                                                 | 50  |
| 10.8         | VerifyCertificate .....                                               | 51  |
| 11           | Differential-identity service.....                                    | 52  |
| 11.1         | General.....                                                          | 52  |
| 11.2         | DIDList .....                                                         | 53  |
| 11.3         | DIDCreate.....                                                        | 54  |
| 11.4         | DIDGet.....                                                           | 55  |
| 11.5         | DIDUpdate.....                                                        | 56  |
| 11.6         | DIDDelete .....                                                       | 57  |
| 11.7         | DIDAuthenticate .....                                                 | 58  |
| 12           | Authorization service .....                                           | 59  |
| 12.1         | General.....                                                          | 59  |
| 12.2         | ACLList .....                                                         | 60  |
| 12.3         | ACLModify .....                                                       | 61  |
| Annex A      | (normative) Authentication protocols .....                            | 62  |
| A.1          | General.....                                                          | 62  |
| A.2          | Common Definitions.....                                               | 63  |
| A.3          | Simple Assertion.....                                                 | 64  |
| A.4          | Asymmetric Internal Authenticate .....                                | 66  |
| A.5          | Asymmetric External Authenticate .....                                | 69  |
| A.6          | Symmetric Internal Authenticate.....                                  | 72  |
| A.7          | Symmetric External Authenticate .....                                 | 75  |
| A.8          | Compare .....                                                         | 78  |
| A.9          | PIN Compare .....                                                     | 81  |
| A.10         | Biometric Compare.....                                                | 84  |
| A.11         | Mutual Authentication with Key Establishment .....                    | 87  |
| A.12         | Client-Application Mutual Authentication with Key Establishment ..... | 90  |
| A.13         | Client-Application Asymmetric External Authenticate .....             | 93  |
| A.14         | Modular Extended Access Control Protocol (M-EAC) .....                | 96  |
| A.15         | Key Transport with mutual authentication based on RSA .....           | 100 |
| A.16         | Age Attainment .....                                                  | 104 |
| A.17         | Asymmetric Session Key Establishment .....                            | 107 |
| A.18         | Secure PIN Compare .....                                              | 114 |
| A.19         | EC Key Agreement with Card-Application Authentication.....            | 118 |
| A.20         | EC Key Agreement with Mutual Authentication .....                     | 122 |
| A.21         | Simple EC-DH Key Agreement .....                                      | 128 |
| A.22         | GP Asymmetric Authentication.....                                     | 132 |
| A.23         | GP Symmetric Authentication (Explicit Mode) .....                     | 138 |
| A.24         | GP Symmetric Authentication (Implicit Mode) .....                     | 142 |
| Annex B      | (normative) Cryptographic algorithms.....                             | 145 |
| B.1          | Interoperability requirements .....                                   | 145 |
| B.2          | Symmetric Algorithms .....                                            | 146 |
| B.3          | Asymmetric Algorithms .....                                           | 149 |
| B.4          | Elliptic Curve Algorithms .....                                       | 150 |
| B.5          | Hash Functions .....                                                  | 151 |
| B.6          | Message Authentication Codes .....                                    | 152 |
| B.7          | Key Establishment.....                                                | 153 |
| Annex C      | (normative) ASN.1 Representation .....                                | 154 |
| C.1          | General.....                                                          | 154 |
| Bibliography | .....                                                                 | 193 |

## Foreword

ISO (the International Organization for Standardization) and IEC (the International Electrotechnical Commission) form the specialized system for worldwide standardization. National bodies that are members of ISO or IEC participate in the development of International Standards through technical committees established by the respective organization to deal with particular fields of technical activity. ISO and IEC technical committees collaborate in fields of mutual interest. Other international organizations, governmental and non-governmental, in liaison with ISO and IEC, also take part in the work. In the field of information technology, ISO and IEC have established a joint technical committee, ISO/IEC JTC 1.

International Standards are drafted in accordance with the rules given in the ISO/IEC Directives, Part 2.

The main task of the joint technical committee is to prepare International Standards. Draft International Standards adopted by the joint technical committee are circulated to national bodies for voting. Publication as an International Standard requires approval by at least 75 % of the national bodies casting a vote.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. ISO and IEC shall not be held responsible for identifying any of all such patent rights.

ISO/IEC 24727-3 was prepared by Joint Technical Committee ISO/IEC JTC 1, *Information technology*, Subcommittee SC 17, *Cards and personal identification*.

ISO/IEC 24727 consists of the following parts, under the general title *Identification cards — Integrated circuit card programming interfaces*:

- *Part 1: Architecture*
- *Part 2: Generic card interface*
- *Part 3: Application interface*
- *Part 4: Application programming interface (API) administration*
- *Part 5: Testing*
- *Part 6: Registration authority procedures for the authentication protocols for interoperability*

## Introduction

ISO/IEC 24727 is a set of programming interfaces for interactions between integrated circuit cards (ICCs) and external applications to include generic services for multi-sector use. The organization and the operation of the ICC conform to ISO/IEC 7816-4.

ISO/IEC 24727 is relevant to ICC applications desiring interoperability among diverse application domains. This part of ISO/IEC 24727 specifies a language-independent and implementation-independent application level interface that allows information and transaction interchange with a card. ISO/IEC 7498-1 is used as the layered architecture of the application interface. That is, the application interface assumes that there is a protocol stack through which it will exchange information and transactions among cards using commands conveyed through the message structures defined in ISO/IEC 7816. The semantics of commands accessed by the application interface refers to application protocol data units (APDUs) as characterized in ISO/IEC 24727-2, and in the following standards:

- ISO/IEC 7816-4, *Identification cards — Integrated circuit cards — Part 4: Organization, security and commands for interchange*
- ISO/IEC 7816-8, *Identification cards — Integrated circuit cards — Part 8: Commands for security operations*
- ISO/IEC 7816-9, *Identification cards — Integrated circuit cards — Part 9: Commands for card management*

The goal of this part of ISO/IEC 24727 is to maximize the applicability and solution space of software tools that provide application interface support to card-aware applications. This effort includes supporting the evolution of card systems as the cards become more powerful, peer-level partners with existing and future applications while minimizing the impact to existing solutions conforming to this part of ISO/IEC 24727.

# Identification cards — Integrated circuit card programming interfaces —

## Part 3: Application interface

### 1 Scope

This part of ISO/IEC 24727 defines services as representations of action requests and action responses to be supported at the client-application service interface. The services are described in a programming-language-independent way.

This part of ISO/IEC 24727 is the application interface of the Open Systems Interconnection Reference Model defined in ISO/IEC 7498-1. It provides a high-level interface for a client-application making use of information storage and processing operations of a card-application as viewed on the generic card interface.

This part of ISO/IEC 24727 does not mandate a specific implementation methodology for this interface.

### 2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 7816-11, *Identification cards — Integrated circuit cards — Part 11: Personal verification through biometric methods*

ISO/IEC 24727-1, *Identification cards — Integrated circuit card programming interfaces — Part 1: Architecture*

ISO/IEC 24727-2, *Identification cards — Integrated circuit card programming interfaces — Part 2: Generic card interface*

IETF RFC 2141, *URN Syntax*, May 1997

### 3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO/IEC 24727-1, ISO/IEC 24727-2 and the following apply.

#### 3.1

##### **access control list**

set of access rules

#### 3.2

##### **access permission**

granted capability to perform an action

**3.3**

**access rule**

association of an action and a security condition in the context of a card-application

**3.4**

**action**

operation that a client-application can request of a card-application at the ISO/IEC 24727-3 application interface

**3.5**

**alpha card-application**

administrative card-application, specific to ISO/IEC 24727, providing card and application discoverability and administrative services

**3.6**

**card-application-path**

ordered set of protocol termination points in the network that connects the client-application to the card-application

**3.7**

**card-application-service**

set of actions

**3.8**

**channel**

physical pathway allowing movement of bits of information between a client-application and a card-application

**3.9**

**client-application**

software component running on a platform that uses data storage and computational services offered by a card-application

**3.10**

**connection**

logically referenced channel

**3.11**

**global differential-identity**

differential-identity recognized in all card-applications that are managed by the same alpha card-application

**3.12**

**local differential-identity**

differential-identity recognized only within a specific card-application in which it is defined

**3.13**

**parameter**

information required to define or effect an action

**3.14**

**return code**

information, including status, returned as a consequence of an action

**3.15**

**security condition**

boolean expression in terms of differential-identity authentication states

**3.16**

**session**

connection used under a particular security context

**3.17****target**

persistent entity that shall be manipulated through the actions of a card-application-service

**4 Abbreviated terms**

For the purposes of this document, the abbreviated terms given in ISO/IEC 24727-1 and the following apply.

|     |                                     |
|-----|-------------------------------------|
| ACL | access control list                 |
| AR  | access rule                         |
| DID | differential-identity               |
| DSI | data structure for interoperability |

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## 5 Organization for interoperability

### 5.1 General

A client-application and a card-application comprise two peer-level entities that interact through well-defined transaction and communication mechanisms. The ISO/IEC 24727-3 application interface shall be the sole mechanism through which a client-application interacts with a card-application.

This clause defines the model of computation and persistent entities on the ISO/IEC 24727-3 application interface by which the client-application and the card-application interact. A security model governs the security mechanisms through which trust in this interaction is achieved.

Clause 5.2 introduces the conceptual entities on the ISO/IEC 24727-3 application interface and describes their operational behavior and relationships. Clause 5.3 specifies the entities and relationships presented on the ISO/IEC 24727-3 application interface. Clause 5.4 specifies the security model provided on the ISO/IEC 24727-3 application interface.

### 5.2 Computation model

Using the terminology defined in ISO/IEC 24727-1:

**5.2.1** A *client-application* may know a *card-application-path* to a *card-application*. Using this *card-application-path*, a client-application can initiate a *connection* to a card-application. The card-application shall be known as the *current card-application* for the connection.

**5.2.2** The ISO/IEC 24727-3 *application interface* is the set of *card-application-services* provided to the client-application. Each card-application-service shall be comprised of *actions* that may be *requested* by a client-application and *confirmations* returned by the SAL.

**5.2.3** If the client-application does not know a *card-application-path* to a card-application, it may make a request at the ISO/IEC 24727-3 application interface to discover a *card-application-path* to the requested card-application.

**5.2.4** A card-application shall be uniquely identified by an AID.

**5.2.5** The *alpha card-application* provides the basis for trusted management of card-applications by the client-application.

**5.2.6** A client-application may open more than one connection. A client-application may open more than one connection with the same card-application, each connection being referenced by a different *connection handle*.

**5.2.7** Using an open connection, a client-application may initiate a *session* with a card-application.

**5.2.8** A client-application may open more than one session. Only one session may be open within a connection.

**5.2.9** The *current state* is the current state of a connection defined by the current card-application, current data-set, authentication state of recognized differential-identities, and the presence of a session on the connection.

**5.2.10** A card-application contains one or more card-application-services.

**5.2.11** A specific card-application-service, the *Named Data Service*, provides access to zero or more *data-sets*.

**5.2.12** A data-set contains zero or more *data structures for interoperability* (DSI). A data-set shall provide naming scope and access rules for the DSIs it contains.

**5.2.13** Every data-set shall be accessible according to the *access rules* governing the actions available from the *Named Data Service*.

**5.2.14** The currently selected data-set in a card-application shall be known as the *current data-set*.

**5.2.15** A single *action request* at the ISO/IEC 24727-3 application interface may translate into multiple generic requests at the ISO/IEC 24727-2 generic card interface.

**5.2.16** A card-application may support multiple actions that may be requested by the client-application through the ISO/IEC 24727-3 application interface.

**5.2.17** An action request shall produce an *action confirmation*.

**5.2.18** An *access rule* consists of the name of an action and a *security condition*. The security condition is said to be associated with the action by the access rule.

**5.2.19** An *access control list* is a collection of zero or more access rules. An access control list shall be associated with each *target*.

**5.2.20** An action involving a target may be successfully performed if and only if the security condition associated with the action by an access rule in the access control list of the target evaluates to TRUE.

**5.2.21** An *authentication protocol* is the process by which a *differential-identity* demonstrates possession of a *marker*.

**5.2.22** *Authentication* is the successful execution of an authentication protocol. In this case the differential-identity is said to be authenticated.

**5.2.23** The *authentication state* of a differential-identity shall be a boolean variable that is TRUE if the differential-identity is authenticated and FALSE otherwise.

**5.2.24** A client-application may learn about information, status or services provided by a card-application through a discovery process effected through the various List, Get, and Describe actions offered at the 24727-3 application interface.

**5.2.25** Access rules are discoverable at the ISO/IEC 24727-3 application interface.

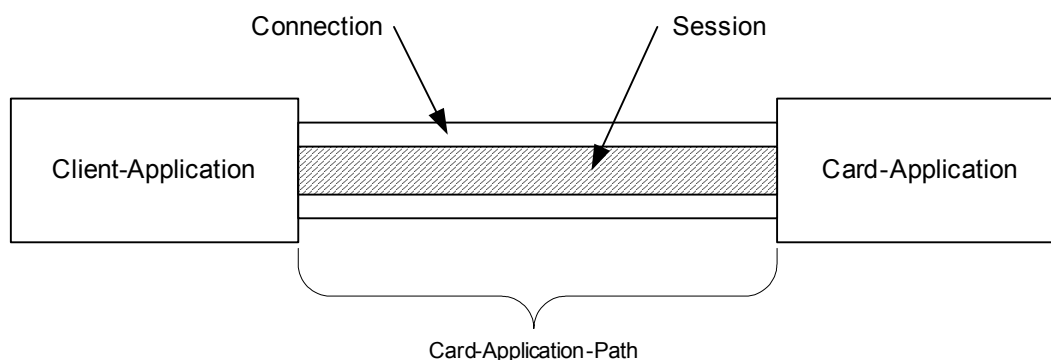
**5.2.26** In general, the degree of interoperability of a card-application with client-applications depends on the discoverable information presented by the card-application at the ISO/IEC 24727-3 application interface.

## **5.3 Entity relationships on the application interface**

### **5.3.1 General**

This clause describes the entities accessible through a card-application, specifically the alpha card-application and card-applications it manages. Entities more directly involved in the security model are described in Clause 5.4.

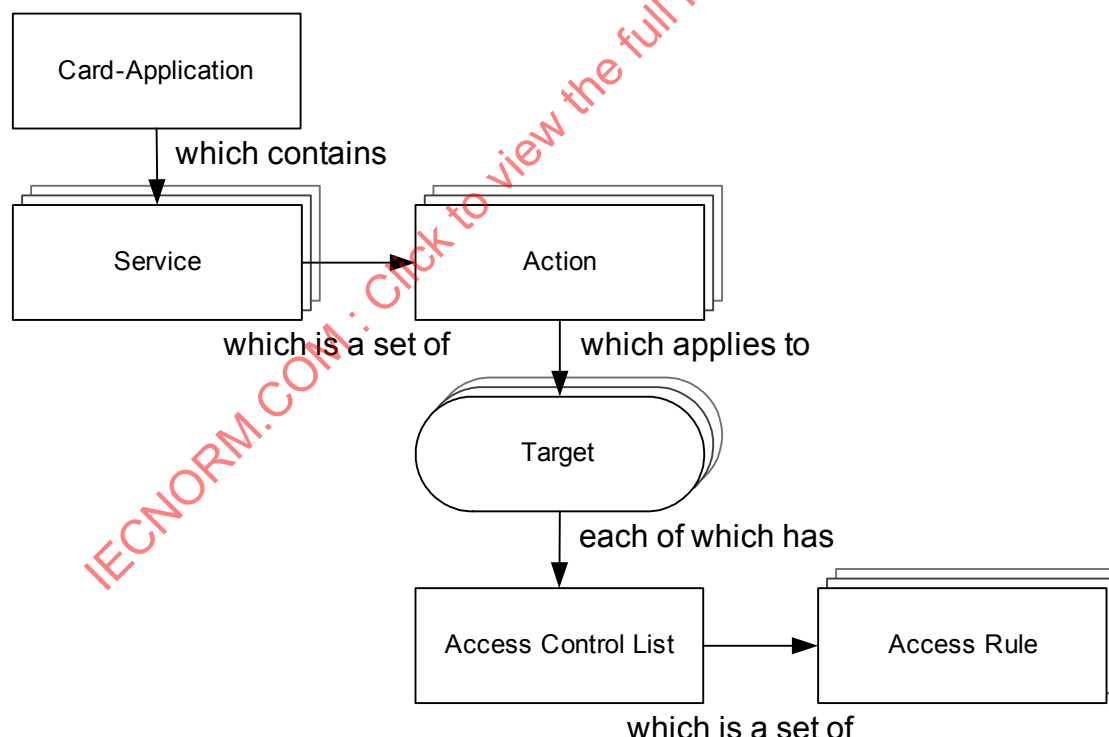
Figure 1 illustrates the client-application to card-application paradigm that underlies the ISO/IEC 24727 standard. The ISO/IEC 24727-3 application interface facilitates the connection and session mechanisms shown in this figure.



**Figure 1 — Application Connection Paradigm**

A card-application-path can be specified to allow a client-application to be connected to a specific card-application. Through such a connection, the client-application can access card-application-services using the ISO/IEC 24727-3 application interface. A session adds a security context to a connection in addition to its intrinsic security characteristics that can be used to protect the data flowing between the client-application and the card-application.

Figure 2 illustrates the entities defined in the model of computation and establishes the relationships among these entities. This entity-relationship diagram is elaborated below to describe individual card-application-services. The type of target applicable to each action is shown in the rounded boxes associated with each action.



**Figure 2 — Model of Computation Entities and Relationships**

A card-application is a collection of targets and services. A service is a collection of actions. An action is an operation which is applied to a target. The actions and services of a card-application are accessed by a client-application using the ISO/IEC 24727-3 application interface.

### 5.3.2 Alpha Card-Application

The alpha-card-application shall be available at the application interface. It may either be present in the ICC or emulated by the SAL or GCAL implementation. In all cases, a connection by the client application to the alpha-card-application grants the availability of the card application list.

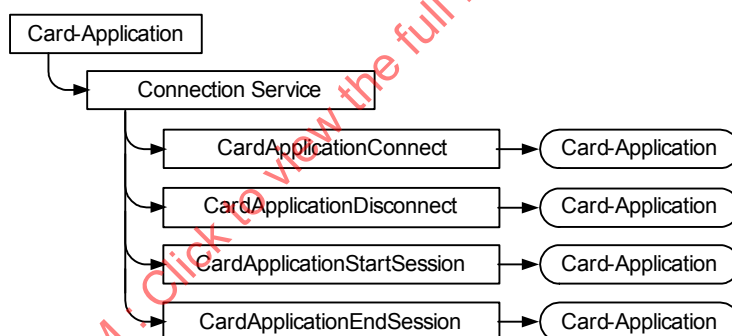
### 5.3.3 Accessing Card-Application-Services

A client-application uses the Initialize and CardApplicationPath entry points on the ISO/IEC 24727-3 application interface to gain access to card-application-services. A client-application uses the Terminate entry point to terminate access to card-application-services.

Before accessing card-application-services, a client-application must request the Initialize entry point on the ISO/IEC 24727-3 application interface. After the Initialize confirmation, the client-application may open connections and request actions with multiple card-applications, simultaneously or sequentially. The client-application should request the Terminate entry point when use of card-application-services is no longer needed.

### 5.3.4 Connection Service Interface

This card-application-service provides actions for the establishment of a connection between a client-application and a card-application. Once a connection is established, a session may be effected through this connection in order to enhance the security characteristics of the communication between the client-application and the card-application. Figure 3 illustrates the entity relationships of the Connection Service.

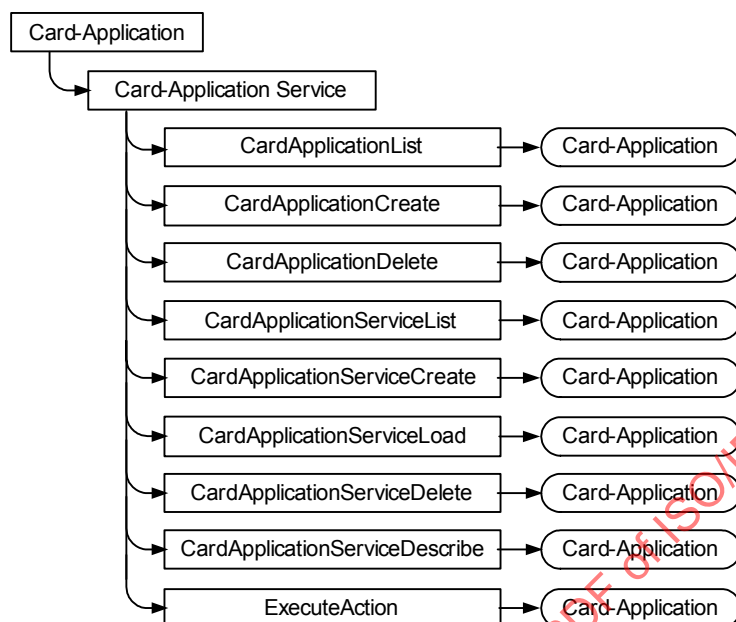


**Figure 3 — Connection Service**

The target of each of these actions, as specified in the diagram above, is the current card-application, or the card-application to which the client-application is attempting to connect.

### 5.3.5 Card-Application Service Interface

This card-application-service provides actions for the creation and manipulation of card-applications. Figure 4 illustrates the entity relationships of the Card-Application Service.



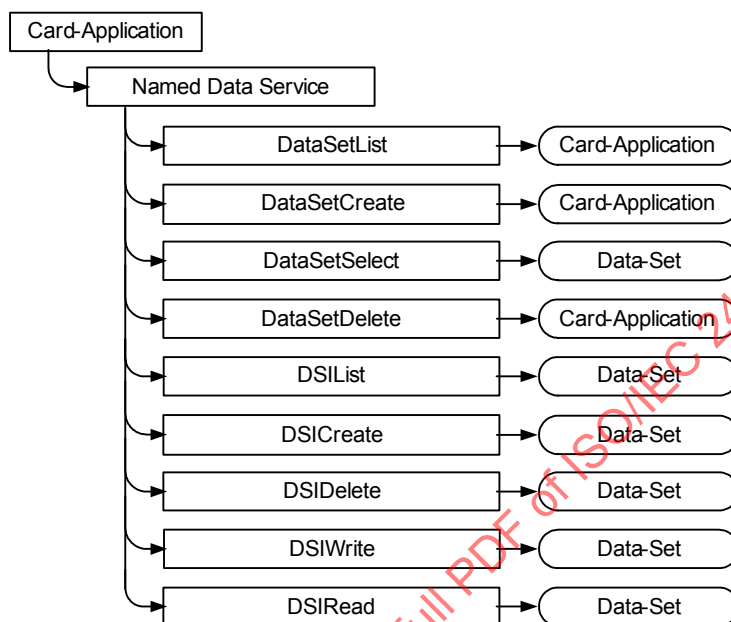
**Figure 4 — Card-Application Service**

The target of the CardApplicationCreate and CardApplicationDelete actions is the alpha card-application. The current card-application shall be the alpha card-application when requesting these actions.

The target of each of the remaining actions is the current card-application.

### 5.3.6 Named Data Service Interface

This card-application-service provides actions for the creation and manipulation of data-sets, a containment mechanism that allows for the establishment of common access rules for data contained in data structures for interoperability. Data-sets may contain any number of DSIs. Figure 5 illustrates the entity relationships of the Named Data Service.

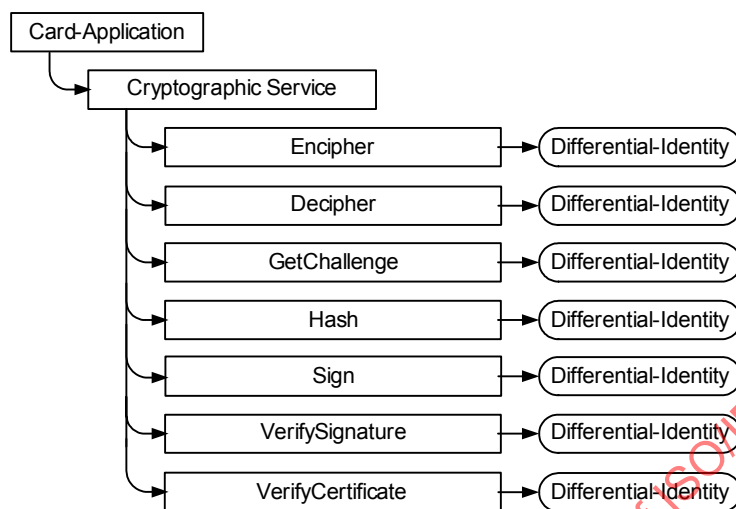


**Figure 5 — Named Data Service**

The target of each of these actions, as specified in the diagram above, is the current card-application, the current data-set, or the data-set which the client-application is attempting to select.

### 5.3.7 Cryptographic Service Interface

This card-application-service provides actions for a variety of cryptographic operations to be performed on or through the parameters contained within a differential-identity. Figure 6 illustrates the entity relationships of the Cryptographic Service.

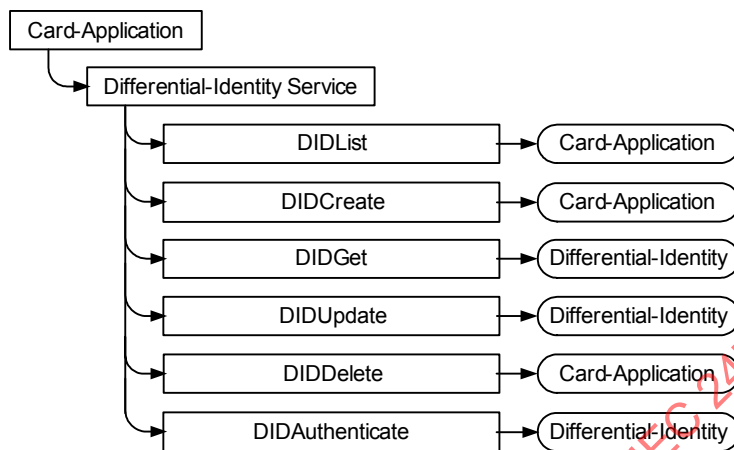


**Figure 6 — Cryptographic Service**

The target of each of these actions, as specified in the diagram above, is the differential-identity named in the request of the action.

### 5.3.8 Differential-Identity Service Interface

This card-application-service provides actions for the creation and manipulation of differential-identities. Figure 7 illustrates the entity relationships of the Differential-Identity Service.

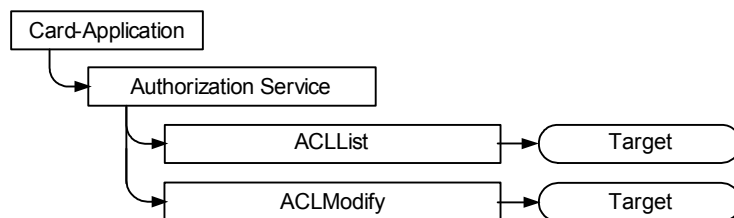


**Figure 7 — Differential-Identity Service**

The target of each of these actions, as specified in the diagram above, is either the current card-application or the differential-identity named in the request of the action.

### 5.3.9 Authorization Service Interface

This card-application-service provides actions for the manipulation of access control lists. Figure 8 illustrates the entity relationships of the Authorization Service.



**Figure 8 — Authorization Service**

The target of these actions, as specified in the diagram above, can be any target. The target is specified in the request of the action.

## 5.4 Security model

### 5.4.1 General

A shared security state is established between a client-application and a card-application by successfully performing the required protocols between the client-application and the card-application. Establishing the required security state authorizes the performance of actions requested of a card-application by a client-application.

The mechanism for specifying the required security state for performing an action shall be the access rule. A security state is established by authenticating differential-identities.

### 5.4.2 Differential-Identity

In order to establish a security state encompassing a client-application and a card-application, the differential-identity authentication mechanism shall be used. The elements of each differential-identity are illustrated in Table 1. At the generic card interface, a differential-identity may be transmitted as defined by the ASN.1 in Annex C.

**Table 1 — Elements of Differential-Identity**

| Element 1 | Element 2               | Element 3 | Element 4 | Element 5 |
|-----------|-------------------------|-----------|-----------|-----------|
| DIDName   | Authentication Protocol | Marker    | Scope     | Qualifier |
| Mandatory | Mandatory               | Mandatory | Implicit  | Optional  |

The DIDName element shall be specified by the client-application which creates the differential-identity at the time the differential-identity is created.

The Authentication Protocol element shall be specified as an object identifier (OID) and determines for which actions the differential-identity can be used. Only if the authentication protocol specified by this OID is defined for use in authentication as defined in Annex A shall its successful completion for the differential-identity set the authentication state of the differential-identity to TRUE within the card-application. A DIDName shall be associated with only one authentication protocol. The actions of the Cryptographic Service may make use of the information in the Marker element as defined in Annex A.

Note: The Authentication Protocol indicated by element 2 is used either for authentication or for other cryptographic services.

The Scope element is implicit. Differential-identities defined within the alpha card-application are global in scope and therefore are recognized within all card-applications managed by this alpha card-application. All other differential-identities are local in scope to the card-application in which they are defined. The scope of the corresponding authentication state shall be identical to the scope of the differential-identity.

The optional Qualifier element may provide details on the use of the differential-identity. For example, it may refer to an external specification by OID.

The Marker element is the information within the card-application, or a reference to it, that shall be used in executing the authentication protocol specified in the Authentication Protocol element.

Examples of markers include:

- a PIN
- a password

- a symmetric key
- an asymmetric key
- a digital certificate
- a biometric image or template
- a pair of symmetric keys; e.g., one for encryption and one for message authentication code (MAC) generation

As evidenced by the final entry in the previous list, the marker may be comprised of multiple parts; e.g., of multiple keys. This allows for complex authentication protocols that may need to use multiple keys in parallel or serially.

The Marker may often be implemented within a card-application as a key that is referred to by a key reference as specified in ISO/IEC 7816-4. One differential-identity and hence its marker may be related to multiple key references in some complex authentication protocols. The key reference may be coded as defined in ISO/IEC 7816-4 security environments. A specific key reference may appear in several differential-identities.

The association of the DIDName to a Marker and Authentication Protocol is the mechanism through which the differential-identity is associated with the marker. This mapping shall be maintained as part of the discovery information. This mapping allows the client-application and the card-application to recognize, exchange, and share information about a differential-identity.

### 5.4.3 Authentication Protocols

Authentication protocols shall be the mechanism by which the client-application sets an authentication state within the card-application for the differential-identity indicated by the DIDName. Authentication protocols are enumerated in Table 2, and described in Annex A.

**Table 2 — Authentication Protocols**

|                                                                 |                                                       |
|-----------------------------------------------------------------|-------------------------------------------------------|
| Simple Assertion                                                | Modular Extended Access Control Protocol (M-EAC)      |
| Asymmetric Internal Authenticate                                | Key Transport with mutual authentication based on RSA |
| Asymmetric External Authenticate                                | Age Attainment                                        |
| Symmetric Internal Authenticate                                 | Asymmetric Session Key Establishment                  |
| Symmetric External Authenticate                                 | Secure PIN Compare                                    |
| Compare                                                         | EC Key Agreement with Card-Application Authentication |
| PIN Compare                                                     | EC Key Agreement with Mutual Authentication           |
| Biometric Compare                                               | Simple EC-DH Key Agreement                            |
| Mutual Authentication with Key Establishment                    | GP Asymmetric Authentication                          |
| Client-Application Mutual Authentication with Key Establishment | GP Symmetric Authentication (Explicit Mode)           |
| Client-Application Asymmetric External Authenticate             | GP Symmetric Authentication (Implicit Mode)           |

To set the authentication state of a differential-identity to TRUE, the authentication protocol of that differential-identity shall be successfully completed using either the CardApplicationStartSession or DIDAuthenticate action.

Consecutive requests of the same action for the same connection handle and named differential-identity may be necessary to complete the authentication protocol as defined in Annex A. These represent individual steps in a multistep authentication protocol.

Differential-identity authentication states are valid for the connection handle specified during their authentication and shall be set to FALSE when the CardApplicationDisconnect action is requested for that connection handle or the connection handle otherwise becomes invalid. Authentication states established while connected to the alpha card-application shall be valid for all connections to card-applications managed by this alpha card-application. If a differential-identity was authenticated by requesting the CardApplicationStartSession action, a subsequent request of the CardApplicationEndSession action shall set its authentication state to FALSE.

The authentication state of a differential-identity shall be set to FALSE when the DIDAuthenticate or CardApplicationStartSession action is requested and set to TRUE only at the time of the action confirmation produced for the request that successfully completes the authentication protocol.

The authentication state of a differential-identity that is not recognized at the time its authentication state is evaluated shall evaluate to FALSE.

#### 5.4.4 Session Keys

A protocol may involve the creation of cryptographic keys for use during a session.

The session keys shall become invalid when the CardApplicationDisconnect action is requested or the connection otherwise becomes invalid. Additionally, if the protocol was completed by requesting the CardApplicationStartSession action, the session keys shall become invalid when the CardApplicationEndSession action is requested.

#### 5.4.5 Access Control Lists

An access control list (ACL) is a set of access rules (ARs) applicable to a target. An AR associates an action of a card-application-service with a security condition. A security condition is a boolean expression in terms of differential-identity authentication states. A target is a data-set, a differential-identity, or a card-application.

Authenticating a differential-identity shall set its authentication state to TRUE. Otherwise, its authentication state shall be FALSE. If the security condition evaluates to TRUE, the associated action is authorized. If the security condition evaluates to FALSE the associated action is not authorized.

An action involving a target shall only be performed if an AR exists in the ACL applicable to that target associating the action with a security condition that evaluates to TRUE with respect to the current authentication states of the differential-identities recognized within the current card-application. An AR is only applicable to requests of its action and only when the request involves the target to which its ACL is associated.

An ACL is a part of the target to which it applies and is not a distinct target itself. Therefore when an action of the Authorization Service appears in an AR, the AR controls whether that action may be performed on the ACL in which it exists. The ARs controlling access to an ACL exist within the ACL itself.

Through the inclusion of a differential-identity in a security condition, the authentication of that differential-identity may become necessary to authorize the action associated with it in an access rule. If the differential-identity is associated with an authentication protocol that generates session keys, its inclusion in the security condition may make necessary the establishment of a security context.

## 6 Card-application-service access

### 6.1 General

This clause defines entry points on the ISO/IEC 24727-3 application interface through which a client-application can locate a specific card-application and establish communication channels to that card-application. A client-application may connect to more than one card-application.

### 6.2 Initialize

#### 6.2.1 Purpose

This entry point shall initialize the ISO/IEC 24727-3 layer including connectivity to other components of ISO/IEC 24727 protocol stack. Card-application-services shall be available on the ISO/IEC 24727-3 application interface to the client-application only after a successful call to this entry point.

The initialization state (variables, possible values, resources, supporting card-application-services) of the ISO/IEC 24727-3 application interface implementation is out of scope for ISO/IEC 24727-3.

#### 6.2.2 Entry point

```
OUT      ReturnCode      Initialize(
                                );
```

#### 6.2.3 Parameters

None

#### 6.2.4 Prerequisites

None

#### 6.2.5 Return codes

API\_OK

API\_COMMUNICATION\_FAILURE  
API\_INCORRECT\_PARAMETER

#### 6.2.6 Impact on current state

Upon successful completion, the ISO/IEC 24727-3 layer shall be initialized.

## 6.3 Terminate

### 6.3.1 Purpose

This entry point shall terminate access to card-application-services offered on the ISO/IEC 24727-3 application interface. Any connection(s) currently in effect between the client-application and any card-application(s) shall be disconnected. The Initialize entry point shall be called before any card-application-services are available on the ISO/IEC 24727-3 application interface to the client-application.

### 6.3.2 Entry point

OUT            ReturnCode    **Terminate**(  
                                  );

### 6.3.3 Parameters

None

### 6.3.4 Prerequisites

None

### 6.3.5 Return codes

API\_OK  
API\_WARNING\_CONNECTION\_DISCONNECTED  
API\_INCORRECT\_PARAMETER

API\_NOT\_INITIALIZED  
API\_COMMUNICATION\_FAILURE

### 6.3.6 Impact on current state

The return code of API\_WARNING\_CONNECTION\_DISCONNECTED is a successful completion of the request with the side effect of disconnecting at least one active connection.

The return code of API\_COMMUNICATION\_FAILURE is an unsuccessful completion of the action. In this case, the state of existing connections is undefined.

## 6.4 CardApplicationPath

### 6.4.1 Purpose

This entry point shall determine card-application-paths from the client-application to a named card-application.

### 6.4.2 Entry point

|     |                        |                              |
|-----|------------------------|------------------------------|
| OUT | ReturnCode             | <b>CardApplicationPath</b> ( |
| IN  | CardApplicationPath    | <b>cardAppPathRequest</b> ,  |
| OUT | CardApplicationPathSet | <b>cardAppPathResultSet</b>  |
|     |                        | );                           |

### 6.4.3 Parameters

**cardAppPathRequest** sequence of protocol termination points representing the final segment of a card-application-path from the client-application to the card-application, including its AID, a host or terminal identifier and optionally an IFD name or identifier. The specific syntax of this final segment is expressed by IETF RFC 2141.

**cardAppPathResultSet** set of card-application-paths between the client-application and the named card-application, each of which contains the value of **cardAppPathRequest** as its final segment.

### 6.4.4 Prerequisites

None

### 6.4.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_TOO\_MANY\_RESULTS

API\_COMMUNICATION\_FAILURE

### 6.4.6 Impact on current state

None

## 7 Connection service

### 7.1 General

This clause defines actions through which a client-application can connect to or disconnect from a card-application and start or end a session based on a connection.

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## 7.2 CardApplicationConnect

### 7.2.1 Purpose

This action shall establish an unauthenticated connection between the client-application and a card-application.

### 7.2.2 Action

|     |                     |                                 |
|-----|---------------------|---------------------------------|
| OUT | ReturnCode          | <b>CardApplicationConnect (</b> |
| IN  | CardApplicationPath | <b>cardApplicationPath,</b>     |
| IN  | BOOLEAN             | <b>exclusiveUse,</b>            |
| OUT | ConnectionHandle    | <b>connectionHandle</b>         |
|     |                     | <b>);</b>                       |

### 7.2.3 Parameters

**cardApplicationPath** card-application-path from the client-application to the card-application

**exclusiveUse** if TRUE, this indicates to the ISO/IEC 24727-3 layer that the connection should be opened if no other connection currently exists with the the card-application and no other connection should be established to the card-application until this connection is closed; if FALSE, multiple simultaneous connections may be opened to this card-application

**connectionHandle** opaque reference for use at the ISO/IEC 24727-3 application interface in action requests

### 7.2.4 Prerequisites

None

### 7.2.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NOT\_INITIALIZED

API\_EXCLUSIVE\_NOT\_AVAILABLE

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 7.2.6 Impact on current state

Upon successful completion, the card-application named in the card-application-path is the current card-application and the card-application associated with the connection handle.

### 7.3 CardApplicationDisconnect

#### 7.3.1 Purpose

This action shall terminate a connection between the client-application and a card-application.

#### 7.3.2 Action

|     |                  |                                    |
|-----|------------------|------------------------------------|
| OUT | ReturnCode       | <b>CardApplicationDisconnect (</b> |
| IN  | ConnectionHandle | <b>connectionHandle,</b>           |
| IN  | ReaderAction     | <b>action</b>                      |
|     |                  | <b>);</b>                          |

#### 7.3.3 Parameters

**connectionHandle** connection handle

**action** optional argument indicating a requested IFD action to reset or unpower the IFD or eject or confiscate the ICC

#### 7.3.4 Prerequisites

A valid `connectionHandle` is expected.

#### 7.3.5 Return codes

API\_OK

API\_WARNING\_SESSION\_ENDED

API\_INCORRECT\_PARAMETER

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

#### 7.3.6 Impact on current state

The return code of `API_WARNING_SESSION_ENDED` is a successful completion of the action with the side effect of ending at least one active session on the connection.

## 7.4 CardApplicationStartSession

### 7.4.1 Purpose

This action shall establish a session between a client-application and a card-application.

A connection handle to a second card-application, e.g. a security access module (SAM), may be provided to perform cryptographic operations on behalf of the client-application in establishing the session.

Consecutive requests of this action for the same connection handle and named differential-identity may be necessary to complete the protocol as defined in Annex A.

### 7.4.2 Action

|        |                       |                                     |
|--------|-----------------------|-------------------------------------|
| OUT    | ReturnCode            | <b>CardApplicationStartSession(</b> |
| IN     | ConnectionHandle      | <b>connectionHandle,</b>            |
| IN     | DIDScope              | <b>didScope,</b>                    |
| IN     | DIDName               | <b>didName,</b>                     |
| IN/OUT | DIDAuthenticationData | <b>authenticationProtocolData,</b>  |
| IN     | ConnectionHandle      | <b>samConnectionHandle</b>          |
|        |                       | <b>);</b>                           |

### 7.4.3 Parameters

|                                   |                                                                                                                                                                          |
|-----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>connectionHandle</b>           | connection handle                                                                                                                                                        |
| <b>didScope</b>                   | scope of the named differential-identity                                                                                                                                 |
| <b>didName</b>                    | name of the differential-identity containing the authentication protocol to be executed to establish the session between the client-application and the card-application |
| <b>authenticationProtocolData</b> | data exchanged according to the protocol                                                                                                                                 |
| <b>samConnectionHandle</b>        | optional argument to a card-application that can be used by the ISO/IEC 24727-3 layer                                                                                    |

### 7.4.4 Prerequisites

A valid `connectionHandle` is expected.

### 7.4.5 Return codes

API\_OK  
 API\_NEXT\_REQUEST  
 API\_INCORRECT\_PARAMETER  
 API\_NAMED\_ENTITY\_NOT\_FOUND  
 API\_PROTOCOL\_NOT\_RECOGNIZED  
 API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION  
 API\_NOT\_INITIALIZED  
 API\_SECURITY\_CONDITION\_NOT\_SATISFIED  
 API\_INSUFFICIENT\_RESOURCES  
 API\_COMMUNICATION\_FAILURE

### 7.4.6 Impact on current state

See Annex A.

## 7.5 CardApplicationEndSession

### 7.5.1 Purpose

This action shall end a session between a client-application and a card-application.

### 7.5.2 Action

|     |                  |                                   |
|-----|------------------|-----------------------------------|
| OUT | ReturnCode       | <b>CardApplicationEndSession(</b> |
| IN  | ConnectionHandle | <b>connectionHandle</b>           |
|     |                  | <b>);</b>                         |

### 7.5.3 Parameters

**connectionHandle**      connection handle

### 7.5.4 Prerequisites

A valid `connectionHandle` is expected.

### 7.5.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NO\_ACTIVE\_SESSION

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 7.5.6 Impact on current state

Upon successful completion, the security characteristics of the connection revert to the intrinsic security characteristics of the connection.

## 8 Card-application service

### 8.1 General

This clause defines actions through which card-applications can be created and deleted and through which services can be created and deleted within card-applications. The CardApplicationCreate() action provides administrative functionality to establish the existence of the card-application. Card-application executable code is loaded via the CardApplicationServiceLoad() action.

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## 8.2 CardApplicationList

### 8.2.1 Purpose

This action shall return the names of the card-applications listed in the alpha card-application. The access rule applicable to this action shall be in the access control list of the current card-application.

### 8.2.2 Action

|     |                         |                                |
|-----|-------------------------|--------------------------------|
| OUT | ReturnCode              | <b>CardApplicationList (</b>   |
| IN  | ConnectionHandle        | <b>connectionHandle,</b>       |
| OUT | CardApplicationNameList | <b>cardApplicationNameList</b> |
|     |                         | <b>);</b>                      |

### 8.2.3 Parameters

**connectionHandle**                      connection handle

**cardApplicationNameList**          list of card-application names

### 8.2.4 Prerequisites

A valid `connectionHandle` is expected.

### 8.2.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 8.2.6 Impact on current state

None

### 8.3 CardApplicationCreate

#### 8.3.1 Purpose

This action shall create a new card-application.

#### 8.3.2 Action

|     |                     |                                |
|-----|---------------------|--------------------------------|
| OUT | ReturnCode          | <b>CardApplicationCreate</b> ( |
| IN  | ConnectionHandle    | <b>connectionHandle,</b>       |
| IN  | CardApplicationName | <b>cardApplicationName,</b>    |
| IN  | AccessControlList   | <b>cardApplicationACL</b>      |
|     |                     | <b>);</b>                      |

#### 8.3.3 Parameters

**connectionHandle** connection handle

**cardApplicationName** name of the card-application to be created

**cardApplicationACL** access control list for this new card-application

#### 8.3.4 Prerequisites

A valid `connectionHandle` is expected. The current card-application shall be the alpha card-application.

#### 8.3.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAME\_EXISTS

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_PREREQUISITE\_NOT\_SATISFIED

API\_INSUFFICIENT\_RESOURCES

API\_COMMUNICATION\_FAILURE

#### 8.3.6 Impact on current state

None

## 8.4 CardApplicationDelete

### 8.4.1 Purpose

This action shall delete the named card-application including all of its services, data-sets, and differential-identities.

### 8.4.2 Action

|     |                     |                               |
|-----|---------------------|-------------------------------|
| OUT | ReturnCode          | <b>CardApplicationDelete(</b> |
| IN  | ConnectionHandle    | <b>connectionHandle,</b>      |
| IN  | CardApplicationName | <b>cardApplicationName</b>    |
|     |                     | <b>);</b>                     |

### 8.4.3 Parameters

**connectionHandle** connection handle

**cardApplicationName** name of the card-application to be deleted

### 8.4.4 Prerequisites

A valid `connectionHandle` is expected. The current card-application shall be the alpha card-application.

### 8.4.5 Return codes

API\_OK  
 API\_WARNING\_CONNECTION\_DISCONNECTED  
  
 API\_INCORRECT\_PARAMETER  
 API\_NAMED\_ENTITY\_NOT\_FOUND  
  
 API\_NOT\_INITIALIZED  
 API\_SECURITY\_CONDITION\_NOT\_SATISFIED  
 API\_PREREQUISITE\_NOT\_SATISFIED  
 API\_COMMUNICATION\_FAILURE

### 8.4.6 Impact on current state

Upon successful completion, any connections to the named card-application shall be disconnected.

8.5 CardApplicationServiceList

8.5.1 Purpose

This action shall list the card-application-services in the current card-application.

8.5.2 Action

```
OUT      ReturnCode      CardApplicationServiceList(  
IN      ConnectionHandle  connectionHandle,  
OUT      CardApplicationServiceNameList cardApplicationServiceNameList  
                                           );
```

8.5.3 Parameters

**connectionHandle** connection handle

**cardApplicationServiceNameList** list of names of card-application-services in the current card-application

8.5.4 Prerequisites

A valid `connectionHandle` is expected.

8.5.5 Return codes

- API\_OK
- API\_INCORRECT\_PARAMETER
- API\_NOT\_INITIALIZED
- API\_SECURITY\_CONDITION\_NOT\_SATISFIED
- API\_COMMUNICATION\_FAILURE

8.5.6 Impact on current state

None

## 8.6 CardApplicationServiceCreate

### 8.6.1 Purpose

This action shall create a new card-application-service in the current card-application.

### 8.6.2 Action

|     |                            |                                      |
|-----|----------------------------|--------------------------------------|
| OUT | ReturnCode                 | <b>CardApplicationServiceCreate(</b> |
| IN  | ConnectionHandle           | <b>connectionHandle,</b>             |
| IN  | CardApplicationServiceName | <b>cardApplicationServiceName</b>    |
|     |                            | <b>);</b>                            |

### 8.6.3 Parameters

**connectionHandle** connection handle

**cardApplicationServiceName** name of the card-application-service to be created

### 8.6.4 Prerequisites

A valid `connectionHandle` is expected.

### 8.6.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAME\_EXISTS

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_INSUFFICIENT\_RESOURCES

API\_COMMUNICATION\_FAILURE

### 8.6.6 Impact on current state

None

8.7 CardApplicationServiceLoad

8.7.1 Purpose

This action shall load executable code that implements a card-application-service into the current card-application.

8.7.2 Action

|     |                                   |                                    |
|-----|-----------------------------------|------------------------------------|
| OUT | ReturnCode                        | <b>CardApplicationServiceLoad(</b> |
| IN  | ConnectionHandle                  | <b>connectionHandle,</b>           |
| IN  | CardApplicationServiceName        | <b>cardApplicationServiceName,</b> |
| IN  | CardApplicationServiceLoadPackage | <b>code</b>                        |
|     |                                   | <b>);</b>                          |

8.7.3 Parameters

|                                   |                                                              |
|-----------------------------------|--------------------------------------------------------------|
| <b>connectionHandle</b>           | connection handle                                            |
| <b>cardApplicationServiceName</b> | name of the card-application-service implemented by the code |
| <b>code</b>                       | service load package                                         |

8.7.4 Prerequisites

A valid `connectionHandle` is expected.

8.7.5 Return codes

- API\_OK
- API\_INCORRECT\_PARAMETER
- API\_NAMED\_ENTITY\_NOT\_FOUND
- API\_NOT\_INITIALIZED
- API\_SECURITY\_CONDITION\_NOT\_SATISFIED
- API\_INSUFFICIENT\_RESOURCES
- API\_COMMUNICATION\_FAILURE

8.7.6 Impact on current state

None

## 8.8 CardApplicationServiceDelete

### 8.8.1 Purpose

This action shall delete the named card-application-service, including the code that implements it, from the current card-application.

### 8.8.2 Action

|     |                            |                                      |
|-----|----------------------------|--------------------------------------|
| OUT | ReturnCode                 | <b>CardApplicationServiceDelete(</b> |
| IN  | ConnectionHandle           | <b>connectionHandle,</b>             |
| IN  | CardApplicationServiceName | <b>cardApplicationServiceName</b>    |
|     |                            | <b>);</b>                            |

### 8.8.3 Parameters

**connectionHandle** connection handle

**cardApplicationServiceName** name of the card-application-service to be deleted

### 8.8.4 Prerequisites

A valid `connectionHandle` is expected.

### 8.8.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 8.8.6 Impact on current state

None

## 8.9 CardApplicationServiceDescribe

### 8.9.1 Purpose

This action shall return a URL or full description of the named card-application-service. The resulting description allows the client-application to discover functionality beyond the standardized set of card-application-services described in ISO/IEC 24727-3.

### 8.9.2 Action

|     |                                   |                                         |
|-----|-----------------------------------|-----------------------------------------|
| OUT | ReturnCode                        | <b>CardApplicationServiceDescribe</b> ( |
| IN  | ConnectionHandle                  | <b>connectionHandle,</b>                |
| IN  | CardApplicationServiceName        | <b>cardApplicationServiceName,</b>      |
| OUT | CardApplicationServiceDescription | <b>serviceDescription</b>               |
|     |                                   | <b>);</b>                               |

### 8.9.3 Parameters

**connectionHandle** connection handle

**cardApplicationServiceName** name of the card-application-service to be described

**serviceDescription** URI, URL, or full description (syntax) of the named card-application-service (may be used as a basis for correct syntax for SAL requests on this named card-application-service via the ExecuteAction action)

### 8.9.4 Prerequisites

A valid `connectionHandle` is expected.

### 8.9.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 8.9.6 Impact on current state

None

## 8.10 ExecuteAction

### 8.10.1 Purpose

This action shall request access to an action in a card-application-service that is not defined in ISO/IEC 24727-3.

The functionality of the actions accessed through this action is out of scope.

### 8.10.2 Action

|     |                            |                                    |
|-----|----------------------------|------------------------------------|
| OUT | ReturnCode                 | <b>ExecuteAction(</b>              |
| IN  | ConnectionHandle           | <b>connectionHandle,</b>           |
| IN  | CardApplicationServiceName | <b>cardApplicationServiceName,</b> |
| IN  | ActionName                 | <b>actionName,</b>                 |
| IN  | ExecuteActionRequest       | <b>request,</b>                    |
| OUT | ExecuteActionConfirmation  | <b>confirmation</b>                |
|     |                            | <b>);</b>                          |

### 8.10.3 Parameters

|                                   |                                                                            |
|-----------------------------------|----------------------------------------------------------------------------|
| <b>connectionHandle</b>           | connection handle                                                          |
| <b>cardApplicationServiceName</b> | name of the card-application-service containing the action to be requested |
| <b>actionName</b>                 | name of the action to be requested                                         |
| <b>request</b>                    | action request data                                                        |
| <b>confirmation</b>               | action confirmation data                                                   |

### 8.10.4 Prerequisites

A valid `connectionHandle` is expected.

### 8.10.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_INSUFFICIENT\_RESOURCES

API\_COMMUNICATION\_FAILURE

### 8.10.6 Impact on current state

None

## 9 Named data service

### 9.1 General

This clause defines actions through which data can be managed within a card-application.

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## 9.2 DataSetList

### 9.2.1 Purpose

This action shall list the names of data-sets defined in the current card-application.

### 9.2.2 Action

|     |                  |                          |
|-----|------------------|--------------------------|
| OUT | ReturnCode       | <b>DataSetList (</b>     |
| IN  | ConnectionHandle | <b>connectionHandle,</b> |
| OUT | DataSetNameList  | <b>dataSetNameList</b>   |
|     |                  | <b>);</b>                |

### 9.2.3 Parameters

**connectionHandle** connection handle

**dataSetNameList** list of names of the data-sets defined within the current card-application

### 9.2.4 Prerequisites

A valid `connectionHandle` is expected.

### 9.2.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 9.2.6 Impact on current state

None

### 9.3 DataSetCreate

#### 9.3.1 Purpose

This action shall create a new data-set in the current card-application.

#### 9.3.2 Action

|     |                   |                          |
|-----|-------------------|--------------------------|
| OUT | ReturnCode        | <b>DataSetCreate(</b>    |
| IN  | ConnectionHandle  | <b>connectionHandle,</b> |
| IN  | DataSetName       | <b>dataSetName,</b>      |
| IN  | AccessControlList | <b>dataSetACL</b>        |
|     |                   | <b>);</b>                |

#### 9.3.3 Parameters

|                         |                                      |
|-------------------------|--------------------------------------|
| <b>connectionHandle</b> | connection handle                    |
| <b>dataSetName</b>      | name of the data-set                 |
| <b>dataSetACL</b>       | access control list for the data-set |

#### 9.3.4 Prerequisites

A valid `connectionHandle` is expected.

#### 9.3.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAME\_EXISTS

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_INSUFFICIENT\_RESOURCES

API\_COMMUNICATION\_FAILURE

#### 9.3.6 Impact on current state

Upon successful completion, the new data-set becomes the current data-set in the current card-application.

## 9.4 DataSetSelect

### 9.4.1 Purpose

This action shall select the named data-set in the current card-application.

### 9.4.2 Action

|     |                  |                          |
|-----|------------------|--------------------------|
| OUT | ReturnCode       | <b>DataSetSelect (</b>   |
| IN  | ConnectionHandle | <b>connectionHandle,</b> |
| IN  | DataSetName      | <b>dataSetName</b>       |
|     |                  | <b>);</b>                |

### 9.4.3 Parameters

**connectionHandle**      connection handle

**dataSetName**            name of the data-set

### 9.4.4 Prerequisites

A valid `connectionHandle` is expected.

### 9.4.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 9.4.6 Impact on current state

Upon successful completion, the selected data-set becomes the current data-set in the current card-application.

## 9.5 DataSetDelete

### 9.5.1 Purpose

This action shall delete the named data-set within the current card-application including all of the DSIs within that data-set.

### 9.5.2 Action

|     |                  |                          |
|-----|------------------|--------------------------|
| OUT | ReturnCode       | <b>DataSetDelete(</b>    |
| IN  | ConnectionHandle | <b>connectionHandle,</b> |
| IN  | DataSetName      | <b>dataSetName</b>       |
|     |                  | <b>);</b>                |

### 9.5.3 Parameters

**connectionHandle**      connection handle

**dataSetName**            name of the data-set

### 9.5.4 Prerequisites

A valid `connectionHandle` is expected.

### 9.5.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 9.5.6 Impact on current state

If the current data-set at the time this action is requested is the data-set to be deleted, the current data-set is undefined following the action confirmation.

## 9.6 DSIList

### 9.6.1 Purpose

This action shall list the names of DSIs in the current data-set.

### 9.6.2 Action

```
OUT      ReturnCode      DSIList (
IN      ConnectionHandle  connectionHandle,
OUT      DSINameList      dsiNameList
                           );
```

### 9.6.3 Parameters

**connectionHandle**      connection handle

**dsiNameList**            list of names of the DSIs in the current data-set

### 9.6.4 Prerequisites

A valid `connectionHandle` is expected. A data-set shall be selected.

### 9.6.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_PREREQUISITE\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 9.6.6 Impact on current state

None

## 9.7 DSICreate

### 9.7.1 Purpose

This action shall create a new DSI in the current data-set.

### 9.7.2 Action

|     |                  |                          |
|-----|------------------|--------------------------|
| OUT | ReturnCode       | <b>DSICreate(</b>        |
| IN  | ConnectionHandle | <b>connectionHandle,</b> |
| IN  | DSIName          | <b>dsiName,</b>          |
| IN  | DSIContent       | <b>dsiContent</b>        |
|     |                  | <b>);</b>                |

### 9.7.3 Parameters

**connectionHandle** connection handle

**dsiName** name of the DSI to be created in the current data-set

**dsiContent** content to be stored in the DSI

### 9.7.4 Prerequisites

A valid `connectionHandle` is expected. A data-set shall be selected.

### 9.7.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAME\_EXISTS

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_PREREQUISITE\_NOT\_SATISFIED

API\_INSUFFICIENT\_RESOURCES

API\_COMMUNICATION\_FAILURE

### 9.7.6 Impact on current state

None

## 9.8 DSIDelete

### 9.8.1 Purpose

This action shall delete the named DSI from the current data-set.

### 9.8.2 Action

```
OUT      ReturnCode      DSIDelete(
IN       ConnectionHandle connectionHandle,
IN       DSIName         dsiName
        );
```

### 9.8.3 Parameters

**connectionHandle** connection handle

**dsiName** name of the DSI to be deleted in the current data-set

### 9.8.4 Prerequisites

A valid `connectionHandle` is expected. A data-set shall be selected.

### 9.8.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_PREREQUISITE\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 9.8.6 Impact on current state

None

## 9.9 DSIWrite

### 9.9.1 Purpose

This action shall replace the contents of the named DSI in the current data-set with the data provided.

### 9.9.2 Action

|     |                  |                          |
|-----|------------------|--------------------------|
| OUT | ReturnCode       | <b>DSIWrite(</b>         |
| IN  | ConnectionHandle | <b>connectionHandle,</b> |
| IN  | DSIName          | <b>dsiName,</b>          |
| IN  | DSIContent       | <b>dsiContent</b>        |
|     |                  | <b>);</b>                |

### 9.9.3 Parameters

**connectionHandle** connection handle

**dsiName** name of the DSI to be written in the current data-set

**dsiContent** content to be stored in the DSI

### 9.9.4 Prerequisites

A valid `connectionHandle` is expected. A data-set shall be selected.

### 9.9.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_PREREQUISITE\_NOT\_SATISFIED

API\_INSUFFICIENT\_RESOURCES

API\_COMMUNICATION\_FAILURE

### 9.9.6 Impact on current state

None

## 9.10 DSIRead

### 9.10.1 Purpose

This action shall return the contents of the named DSI in the current data-set.

### 9.10.2 Action

|     |                  |                          |
|-----|------------------|--------------------------|
| OUT | ReturnCode       | <b>DSIRead(</b>          |
| IN  | ConnectionHandle | <b>connectionHandle,</b> |
| IN  | DSIName          | <b>dsiName,</b>          |
| OUT | DSIContent       | <b>dsiContent</b>        |
|     |                  | <b>);</b>                |

### 9.10.3 Parameters

**connectionHandle** connection handle

**dsiName** name of the DSI to be read in the current data-set

**dsiContent** content of the DSI

### 9.10.4 Prerequisites

A valid `connectionHandle` is expected. A data-set shall be selected.

### 9.10.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_PREREQUISITE\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 9.10.6 Impact on current state

None

## 10 Cryptographic service

### 10.1 General

This clause defines actions through which cryptographic operations can be performed.

The algorithms used in the performance of these actions depend on the authentication protocols as defined in Annex A.

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## 10.2 Encipher

### 10.2.1 Purpose

This action shall encipher the provided data according to the cryptographic operation specified in the authentication protocol in the named differential-identity.

### 10.2.2 Action

|     |                  |                          |
|-----|------------------|--------------------------|
| OUT | ReturnCode       | <b>Encipher(</b>         |
| IN  | ConnectionHandle | <b>connectionHandle,</b> |
| IN  | DIDScope         | <b>didScope,</b>         |
| IN  | DIDName          | <b>didName,</b>          |
| IN  | CipherBuffer     | <b>plainText,</b>        |
| OUT | CipherBuffer     | <b>cipherText</b>        |
|     |                  | <b>);</b>                |

### 10.2.3 Parameters

|                         |                                                                         |
|-------------------------|-------------------------------------------------------------------------|
| <b>connectionHandle</b> | connection handle                                                       |
| <b>didScope</b>         | scope of the named differential-identity                                |
| <b>didName</b>          | name of the differential-identity providing the cryptographic algorithm |
| <b>plainText</b>        | data to be enciphered                                                   |
| <b>cipherText</b>       | ciphertext                                                              |

### 10.2.4 Prerequisites

A valid `connectionHandle` is expected.

### 10.2.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_PROTOCOL\_NOT\_RECOGNIZED

API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_INSUFFICIENT\_RESOURCES

API\_COMMUNICATION\_FAILURE

### 10.2.6 Impact on current state

None

## 10.3 Decipher

### 10.3.1 Purpose

This action shall decipher the provided data according to the cryptographic operation of the protocol in the named differential-identity.

### 10.3.2 Action

|     |                  |                          |
|-----|------------------|--------------------------|
| OUT | ReturnCode       | <b>Decipher(</b>         |
| IN  | ConnectionHandle | <b>connectionHandle,</b> |
| IN  | DIDScope         | <b>didScope,</b>         |
| IN  | DIDName          | <b>didName,</b>          |
| IN  | CipherBuffer     | <b>cipherText,</b>       |
| OUT | CipherBuffer     | <b>plainText</b>         |
|     |                  | <b>);</b>                |

### 10.3.3 Parameters

|                         |                                                                         |
|-------------------------|-------------------------------------------------------------------------|
| <b>connectionHandle</b> | connection handle                                                       |
| <b>didScope</b>         | scope of the named differential-identity                                |
| <b>didName</b>          | name of the differential-identity providing the cryptographic algorithm |
| <b>cipherText</b>       | data to be deciphered                                                   |
| <b>plainText</b>        | plaintext                                                               |

### 10.3.4 Prerequisites

A valid `connectionHandle` is expected.

### 10.3.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER  
 API\_NAMED\_ENTITY\_NOT\_FOUND  
 API\_PROTOCOL\_NOT\_RECOGNIZED  
 API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION

API\_NOT\_INITIALIZED  
 API\_SECURITY\_CONDITION\_NOT\_SATISFIED  
 API\_INSUFFICIENT\_RESOURCES  
 API\_COMMUNICATION\_FAILURE

### 10.3.6 Impact on current state

None

## 10.4 GetRandom

### 10.4.1 Purpose

This action shall return a random value generated in accordance with the protocol of the named differential-identity.

### 10.4.2 Action

|     |                  |                          |
|-----|------------------|--------------------------|
| OUT | ReturnCode       | <b>GetRandom(</b>        |
| IN  | ConnectionHandle | <b>connectionHandle,</b> |
| IN  | DIDScope         | <b>didScope,</b>         |
| IN  | DIDName          | <b>didName,</b>          |
| OUT | RandomDataBuffer | <b>random</b>            |
|     |                  | <b>);</b>                |

### 10.4.3 Parameters

**connectionHandle** connection handle

**didScope** scope of the named differential-identity

**didName** name of the differential-identity which defines the attributes of the random value

**random** random value

### 10.4.4 Prerequisites

A valid `connectionHandle` is expected.

### 10.4.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_PROTOCOL\_NOT\_RECOGNIZED

API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_INSUFFICIENT\_RESOURCES

API\_COMMUNICATION\_FAILURE

### 10.4.6 Impact on current state

None

## 10.5 Hash

### 10.5.1 Purpose

This action shall hash the provided message according to the authentication protocol and marker of the named differential-identity.

### 10.5.2 Action

|     |                  |              |                          |
|-----|------------------|--------------|--------------------------|
| OUT | ReturnCode       | <b>Hash(</b> |                          |
| IN  | ConnectionHandle |              | <b>connectionHandle,</b> |
| IN  | DIDScope         |              | <b>didScope,</b>         |
| IN  | DIDName          |              | <b>didName,</b>          |
| IN  | MessageBuffer    |              | <b>message,</b>          |
| OUT | HashBuffer       |              | <b>hash</b>              |
|     |                  | <b>);</b>    |                          |

### 10.5.3 Parameters

|                         |                                                                  |
|-------------------------|------------------------------------------------------------------|
| <b>connectionHandle</b> | connection handle                                                |
| <b>didScope</b>         | scope of the named differential-identity                         |
| <b>didName</b>          | name of the differential-identity to use for generating the hash |
| <b>message</b>          | message to be hashed                                             |
| <b>hash</b>             | hash of provided message                                         |

### 10.5.4 Prerequisites

A valid `connectionHandle` is expected.

### 10.5.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER  
 API\_NAMED\_ENTITY\_NOT\_FOUND  
 API\_PROTOCOL\_NOT\_RECOGNIZED  
 API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION

API\_NOT\_INITIALIZED  
 API\_SECURITY\_CONDITION\_NOT\_SATISFIED  
 API\_INSUFFICIENT\_RESOURCES  
 API\_COMMUNICATION\_FAILURE

### 10.5.6 Impact on current state

None

## 10.6 Sign

### 10.6.1 Purpose

This action shall sign the provided message according to the authentication protocol and marker of the named differential-identity.

### 10.6.2 Action

|     |                  |              |                          |
|-----|------------------|--------------|--------------------------|
| OUT | ReturnCode       | <b>Sign(</b> |                          |
| IN  | ConnectionHandle |              | <b>connectionHandle,</b> |
| IN  | DIDScope         |              | <b>didScope,</b>         |
| IN  | DIDName          |              | <b>didName,</b>          |
| IN  | MessageBuffer    |              | <b>message,</b>          |
| OUT | SignatureBuffer  |              | <b>signature</b>         |
|     |                  | <b>);</b>    |                          |

### 10.6.3 Parameters

|                         |                                                                               |
|-------------------------|-------------------------------------------------------------------------------|
| <b>connectionHandle</b> | connection handle                                                             |
| <b>didScope</b>         | scope of the named differential-identity                                      |
| <b>didName</b>          | name of the differential-identity to use for generating the digital signature |
| <b>message</b>          | message to be signed                                                          |
| <b>signature</b>        | signature of provided message                                                 |

### 10.6.4 Prerequisites

A valid `connectionHandle` is expected.

### 10.6.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_PROTOCOL\_NOT\_RECOGNIZED

API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_INSUFFICIENT\_RESOURCES

API\_COMMUNICATION\_FAILURE

### 10.6.6 Impact on current state

None

## 10.7 VerifySignature

### 10.7.1 Purpose

This action shall perform the verification of a digital signature using the authentication protocol and marker of the named differential-identity.

### 10.7.2 Action

|     |                  |                          |
|-----|------------------|--------------------------|
| OUT | ReturnCode       | <b>VerifySignature(</b>  |
| IN  | ConnectionHandle | <b>connectionHandle,</b> |
| IN  | DIDScope         | <b>didScope,</b>         |
| IN  | DIDName          | <b>didName,</b>          |
| IN  | SignatureBuffer  | <b>signature,</b>        |
| IN  | MessageBuffer    | <b>message</b>           |
|     |                  | <b>);</b>                |

### 10.7.3 Parameters

|                         |                                                                      |
|-------------------------|----------------------------------------------------------------------|
| <b>connectionHandle</b> | connection handle                                                    |
| <b>didScope</b>         | scope of the named differential-identity                             |
| <b>didName</b>          | name of the differential-identity to use for verifying the signature |
| <b>signature</b>        | signature to be authenticated                                        |
| <b>message</b>          | data that was signed                                                 |

### 10.7.4 Prerequisites

A valid `connectionHandle` is expected.

### 10.7.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER  
 API\_NAMED\_ENTITY\_NOT\_FOUND  
 API\_INVALID\_SIGNATURE  
 API\_PROTOCOL\_NOT\_RECOGNIZED  
 API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION

API\_NOT\_INITIALIZED  
 API\_SECURITY\_CONDITION\_NOT\_SATISFIED  
 API\_INSUFFICIENT\_RESOURCES  
 API\_COMMUNICATION\_FAILURE

### 10.7.6 Impact on current state

None

## 10.8 VerifyCertificate

### 10.8.1 Purpose

This action shall perform the verification of a digital certificate using the authentication protocol and marker of the named differential-identity.

If the certificate supplied is valid but not signed by an on-card differential-identity, successive requests can be made supplying the certificate of the signer of the previous certificate. Repeating this until the supplied certificate is signed by an on-card differential-identity verifies the chain of trust from the on-card differential-identity to the owner of the original certificate supplied.

### 10.8.2 Action

|     |                  |                           |
|-----|------------------|---------------------------|
| OUT | ReturnCode       | <b>VerifyCertificate(</b> |
| IN  | ConnectionHandle | <b>connectionHandle,</b>  |
| IN  | DIDScope         | <b>didScope,</b>          |
| IN  | DIDName          | <b>rootCert,</b>          |
| IN  | CertificateType  | <b>certificateType,</b>   |
| IN  | Certificate      | <b>certificate</b>        |
|     |                  | <b>);</b>                 |

### 10.8.3 Parameters

|                         |                                                                                                  |
|-------------------------|--------------------------------------------------------------------------------------------------|
| <b>connectionHandle</b> | connection handle                                                                                |
| <b>didScope</b>         | scope of the named differential-identity                                                         |
| <b>rootCert</b>         | name of the optional differential-identity to use for verifying the signature on the certificate |
| <b>certificateType</b>  | format of certificate, consisting of an index and object identifier                              |
| <b>certificate</b>      | certificate to be verified                                                                       |

### 10.8.4 Prerequisites

A valid `connectionHandle` is expected.

### 10.8.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_INVALID\_KEY

API\_INVALID\_SIGNATURE

API\_PROTOCOL\_NOT\_RECOGNIZED

API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_INSUFFICIENT\_RESOURCES

API\_COMMUNICATION\_FAILURE

### 10.8.6 Impact on current state

None

## 11 Differential-identity service

### 11.1 General

This clause defines actions through which differential-identities can be created and managed within a card-application.

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## 11.2 DIDList

### 11.2.1 Purpose

This action shall list the names of the differential-identities defined within the current card-application.

### 11.2.2 Action

|     |                  |                           |
|-----|------------------|---------------------------|
| OUT | ReturnCode       | <b>DIDList</b> (          |
| IN  | ConnectionHandle | <b>connectionHandle</b> , |
| IN  | DIDQualifier     | <b>filter</b> ,           |
| OUT | DIDNameList      | <b>didNameList</b>        |
|     |                  | <b>);</b>                 |

### 11.2.3 Parameters

**connectionHandle** connection handle

**filter** **didNameList** only contains the names of those DIDs with qualifier fields exactly matching the filter; a NULL filter matches all qualifier fields and values.

**didNameList** list of names of the differential-identities in the current card-application

### 11.2.4 Prerequisites

A valid `connectionHandle` is expected.

### 11.2.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 11.2.6 Impact on current state

None

### 11.3 DIDCreate

#### 11.3.1 Purpose

This action shall create a new differential-identity within the current card-application.

The format of the `didUpdateData` parameter is defined in Annex A for each authentication protocol.

#### 11.3.2 Action

|     |                   |                          |
|-----|-------------------|--------------------------|
| OUT | ReturnCode        | <b>DIDCreate(</b>        |
| IN  | ConnectionHandle  | <b>connectionHandle,</b> |
| IN  | DIDName           | <b>didName,</b>          |
| IN  | ObjectIdentifier  | <b>authProtocolOID,</b>  |
| IN  | DIDUpdateData     | <b>didUpdateData,</b>    |
| IN  | AccessControlList | <b>didACL</b>            |
|     |                   | <b>);</b>                |

#### 11.3.3 Parameters

|                         |                                                                                                                                                                   |
|-------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>connectionHandle</b> | connection handle                                                                                                                                                 |
| <b>didName</b>          | name of the differential-identity to be created                                                                                                                   |
| <b>authProtocolOID</b>  | OID of an authentication protocol specified in Annex A or registered elsewhere within ISO/IEC 24727                                                               |
| <b>didUpdateData</b>    | structure containing parameters for the creation of the differential-identity, specific to the authentication protocol identified by <code>authProtocolOID</code> |
| <b>didACL</b>           | access control list governing access to the differential-identity                                                                                                 |

#### 11.3.4 Prerequisites

A valid `connectionHandle` is expected.

#### 11.3.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAME\_EXISTS

API\_PROTOCOL\_NOT\_RECOGNIZED

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_INSUFFICIENT\_RESOURCES

API\_COMMUNICATION\_FAILURE

#### 11.3.6 Impact on current state

None

## 11.4 DIDGet

### 11.4.1 Purpose

This action shall return information about a differential-identity recognized in the current card-application.

The returned data shall contain the protocol field, all exportable elements of the maker according to the definition of the protocol in Annex A, and the qualifier field, if any.

### 11.4.2 Action

|     |                  |                          |
|-----|------------------|--------------------------|
| OUT | ReturnCode       | <b>DIDGet (</b>          |
| IN  | ConnectionHandle | <b>connectionHandle,</b> |
| IN  | DIDScope         | <b>didScope,</b>         |
| IN  | DIDName          | <b>didName,</b>          |
| OUT | DIDStructure     | <b>didStructure</b>      |
|     |                  | <b>);</b>                |

### 11.4.3 Parameters

**connectionHandle** connection handle

**didScope** scope of the named differential-identity

**didName** name of the differential-identity for which discovery information is requested

**didStructure** structure containing discoverable parameters of the differential-identity

### 11.4.4 Prerequisites

A valid `connectionHandle` is expected.

### 11.4.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_PROTOCOL\_NOT\_RECOGNIZED

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 11.4.6 Impact on current state

None

11.5 DIDUpdate

11.5.1 Purpose

This action shall store or generate new marker for the named differential-identity defined within the current card-application appropriate for its existing protocol.

The `didUpdateData` arugment contains the new marker to be stored or the parameters to be used in the generation of a new marker according to the protocol of the differential-identity. The `didUpdateData` argument may also contain the differential-identity variant information.

11.5.2 Action

```
OUT      ReturnCode      DIDUpdate (
IN      ConnectionHandle      connectionHandle,
IN      DIDName      didName,
IN      DIDUpdateData      didUpdateData
                                );
```

11.5.3 Parameters

- connectionHandle** connection handle
- didName** name of the differential-identity to be updated
- didUpdateData** data for the update of a differential-identity

11.5.4 Prerequisites

A valid `connectionHandle` is expected.

11.5.5 Return codes

- API\_OK
- API\_INCORRECT\_PARAMETER
- API\_NAMED\_ENTITY\_NOT\_FOUND
- API\_PROTOCOL\_NOT\_RECOGNIZED
- API\_NOT\_INITIALIZED
- API\_SECURITY\_CONDITION\_NOT\_SATISFIED
- API\_INSUFFICIENT\_RESOURCES
- API\_COMMUNICATION\_FAILURE

11.5.6 Impact on current state

None

## 11.6 DIDDelete

### 11.6.1 Purpose

This action shall delete the named differential-identity defined in the current card-application.

### 11.6.2 Action

|     |                  |                          |
|-----|------------------|--------------------------|
| OUT | ReturnCode       | <b>DIDDelete</b> (       |
| IN  | ConnectionHandle | <b>connectionHandle,</b> |
| IN  | DIDName          | <b>didName</b>           |
|     |                  | <b>);</b>                |

### 11.6.3 Parameters

**connectionHandle**      connection handle

**didName**                name of the differential-identity to be deleted from the card-application

### 11.6.4 Prerequisites

A valid `connectionHandle` is expected.

### 11.6.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

### 11.6.6 Impact on current state

Upon successful completion, the authentication state of the differential-identity deleted is removed from the current state.

## 11.7 DIDAuthenticate

### 11.7.1 Purpose

This action shall perform the authentication protocol of the named differential-identity recognized in the current card-application.

Consecutive requests of this action for the same connection handle and differential-identity may be necessary to complete the protocol as defined in Annex A or registered elsewhere in ISO/IEC 24727.

### 11.7.2 Action

|        |                       |                                    |
|--------|-----------------------|------------------------------------|
| OUT    | ReturnCode            | <b>DIDAuthenticate(</b>            |
| IN     | ConnectionHandle      | <b>connectionHandle,</b>           |
| IN     | DIDScope              | <b>didScope,</b>                   |
| IN     | DIDName               | <b>didName,</b>                    |
| IN/OUT | DIDAuthenticationData | <b>authenticationProtocolData,</b> |
| IN     | ConnectionHandle      | <b>samConnectionHandle</b>         |
|        |                       | <b>);</b>                          |

### 11.7.3 Parameters

|                                   |                                                                                       |
|-----------------------------------|---------------------------------------------------------------------------------------|
| <b>connectionHandle</b>           | connection handle                                                                     |
| <b>didScope</b>                   | scope of the named differential-identity                                              |
| <b>didName</b>                    | name of the differential-identity to be authenticated                                 |
| <b>authenticationProtocolData</b> | data exchanged according to the protocol                                              |
| <b>samConnectionHandle</b>        | optional argument to a card-application that may be used by the ISO/IEC 24727-3 layer |

### 11.7.4 Prerequisites

A valid `connectionHandle` is expected.

### 11.7.5 Return codes

API\_OK  
 API\_NEXT\_REQUEST  
 API\_INCORRECT\_PARAMETER  
 API\_NAMED\_ENTITY\_NOT\_FOUND  
 API\_PROTOCOL\_NOT\_RECOGNIZED  
 API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION  
 API\_NOT\_INITIALIZED  
 API\_SECURITY\_CONDITION\_NOT\_SATISFIED  
 API\_INSUFFICIENT\_RESOURCES  
 API\_COMMUNICATION\_FAILURE

### 11.7.6 Impact on current state

See Annex A.

## 12 Authorization service

### 12.1 General

This clause defines actions used to discover and modify access control lists.

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12.2 ACLList

12.2.1 Purpose

This action shall return the access control list for the named target.

If the target type is data-set, the target name shall only apply to data-sets defined in the current card-application. If the target type is differential-identity, the target name shall only apply to differential-identities defined in the current card-application.

12.2.2 Action

|     |                   |           |                   |
|-----|-------------------|-----------|-------------------|
| OUT | ReturnCode        | ACLList ( |                   |
| IN  | ConnectionHandle  |           | connectionHandle, |
| IN  | TargetType        |           | targetType,       |
| IN  | TargetName        |           | targetName,       |
| OUT | AccessControlList |           | targetACL         |
|     |                   | ) ;       |                   |

12.2.3 Parameters

|                  |                     |
|------------------|---------------------|
| connectionHandle | connection handle   |
| targetType       | type of the target  |
| targetName       | name of the target  |
| targetACL        | access control list |

12.2.4 Prerequisites

A valid connectionHandle is expected.

12.2.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_COMMUNICATION\_FAILURE

12.2.6 Impact on current state

None

## 12.3 ACLModify

### 12.3.1 Purpose

This action shall modify the access rule for the named action within the access control list of the named target.

If the target type is data-set, the target name shall only apply to data-sets defined in the current card-application. If the target type is differential-identity, the target name shall only apply to differential-identities defined in the current card-application.

### 12.3.2 Action

|     |                            |                                    |
|-----|----------------------------|------------------------------------|
| OUT | ReturnCode                 | <b>ACLModify(</b>                  |
| IN  | ConnectionHandle           | <b>connectionHandle,</b>           |
| IN  | TargetType                 | <b>targetType,</b>                 |
| IN  | TargetName                 | <b>targetName,</b>                 |
| IN  | CardApplicationServiceName | <b>cardApplicationServiceName,</b> |
| IN  | ActionName                 | <b>actionName,</b>                 |
| IN  | SecurityCondition          | <b>securityCondition</b>           |
|     |                            | <b>);</b>                          |

### 12.3.3 Parameters

|                                   |                                                                  |
|-----------------------------------|------------------------------------------------------------------|
| <b>connectionHandle</b>           | connection handle                                                |
| <b>targetType</b>                 | type of the target                                               |
| <b>targetName</b>                 | name of the target                                               |
| <b>cardApplicationServiceName</b> | name of the card-application-service containing the named action |
| <b>actionName</b>                 | name of the action whose access rule is to be modified           |
| <b>securityCondition</b>          | full boolean expression in terms of differential-identity names  |

### 12.3.4 Prerequisites

A valid `connectionHandle` is expected.

### 12.3.5 Return codes

API\_OK

API\_INCORRECT\_PARAMETER

API\_NAMED\_ENTITY\_NOT\_FOUND

API\_NOT\_INITIALIZED

API\_SECURITY\_CONDITION\_NOT\_SATISFIED

API\_INSUFFICIENT\_RESOURCES

API\_COMMUNICATION\_FAILURE

### 12.3.6 Impact on current state

None

## **Annex A** (normative)

### **Authentication protocols**

#### **A.1 General**

The protocol associated with a differential-identity during its creation shall be one of the protocols defined in this annex or registered elsewhere in ISO/IEC 24727. A card-application is not required to implement every protocol defined in this annex.

Authentication of differential-identities is accomplished through the successful execution of the authentication protocols defined in this annex.

In the following figures, general descriptions are provided for the requests placed on the ISO/IEC 24727-2 interface by the ISO/IEC 24727-3 layer rather than an actual encoding of the requests themselves. The actual encoding of the requests is the responsibility of the ISO/IEC 24727-3 implementation. Some ISO/IEC 24727 stack configurations may not require the implementation of an ISO/IEC 24727-2 GCI layer.

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## A.2 Common Definitions

### A.2.1 Symbols and Operators

The following conventions are used in the definition of computations in this Annex.

|                                            |                                                                                                                                                             |
|--------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <code>=?=</code>                           | denotes a comparison operation                                                                                                                              |
| <code>  </code>                            | denotes a concatenation operation                                                                                                                           |
| <code>algorithm(input)</code>              | denotes the application of <b>algorithm</b> to <b>input</b>                                                                                                 |
| <code>algorithm[key](input)</code>         | denotes the application of <b>algorithm</b> to <b>input</b> using <b>key</b>                                                                                |
| <code>algorithm<sup>-1</sup>(input)</code> | denotes the application of the inverse of <b>algorithm</b> to <b>input</b><br>(For an encryption algorithm, this denotes its application for decipherment.) |
| <code>RNG(size)</code>                     | denotes the application of a random number generator to generate <b>size</b> bytes of data, where the generator used is out of scope                        |

### A.2.2 Structures

Actions on the ISO/IEC 24727-3 API supporting creation and update of differential-identities shall supply a `DIDUpdateData` structure as an input argument to those actions. This structure is defined as

```
DIDUpdateData ::= SEQUENCE {
    marker          OCTET STRING,
    qualifier       DiDQualifier OPTIONAL
}
```

where `marker` is a specific marker as defined below in each specific sub-clause for each authentication protocol.

A confirmation to the `DIDGet` action shall include a **DIDStructure** parameter as defined below.

```
DIDStructure ::= SEQUENCE {
    name              DIDName,
    authProtocol      OBJECT IDENTIFIER,
    scope             DiDScope,
    authenticated     BOOLEAN,
    marker            OCTET STRING,
    qualifier          DiDQualifierType OPTIONAL
}
```

At a minimum, the `DIDGet` confirmation shall return valid `name`, `authProtocol`, `scope`, and `authenticated` values. Partial or complete, `marker` and `qualifier` values may be returned in the confirmation, subject to implementation and policy choices.

### A.3 Simple Assertion

Authentication of a differential-identity associated with this authentication protocol is achieved by simple assertion.

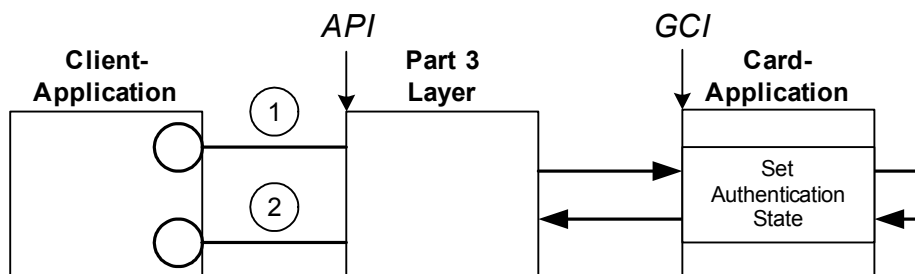


Figure A.1 — Simple Assertion

#### Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) simple-assertion(3) }.

#### A.3.1 Marker

A differential-identity which uses this protocol has an empty marker (OCTET STRING of length zero).

#### A.3.2 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a DIDUpdateData parameter where marker is a zero-length OCTET STRING for this authentication protocol.

#### A.3.3 DIDUpdate

A request of the DIDUpdate action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

#### A.3.4 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a DIDStructure.

#### A.3.5 Authentication

This authentication protocol is executed through a single request of the DIDAuthenticate action with an empty authenticationProtocolData parameter as defined below.

A request of the CardApplicationStartSession action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.3.5.1 Procedure**

(1) **authenticationProtocolData** ::= empty OCTET STRING

(2) **authenticationProtocolData** ::= empty OCTET STRING

**A.3.5.2 Impact on current state**

Upon successful completion of this protocol, the authentication state of the named differential-identity shall be set to TRUE within the card-application.

**A.3.6 Encipher**

A request of the Encipher action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.3.7 Decipher**

A request of the Decipher action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.3.8 GetRandom**

A request of the GetRandom action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.3.9 Hash**

A request of the Hash action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.3.10 Sign**

A request of the Sign action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.3.11 VerifySignature**

A request of the VerifySignature action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.3.12 VerifyCertificate**

A request of the VerifyCertificate action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

## A.4 Asymmetric Internal Authenticate

This authentication protocol is a challenge/response protocol using public key cryptography.

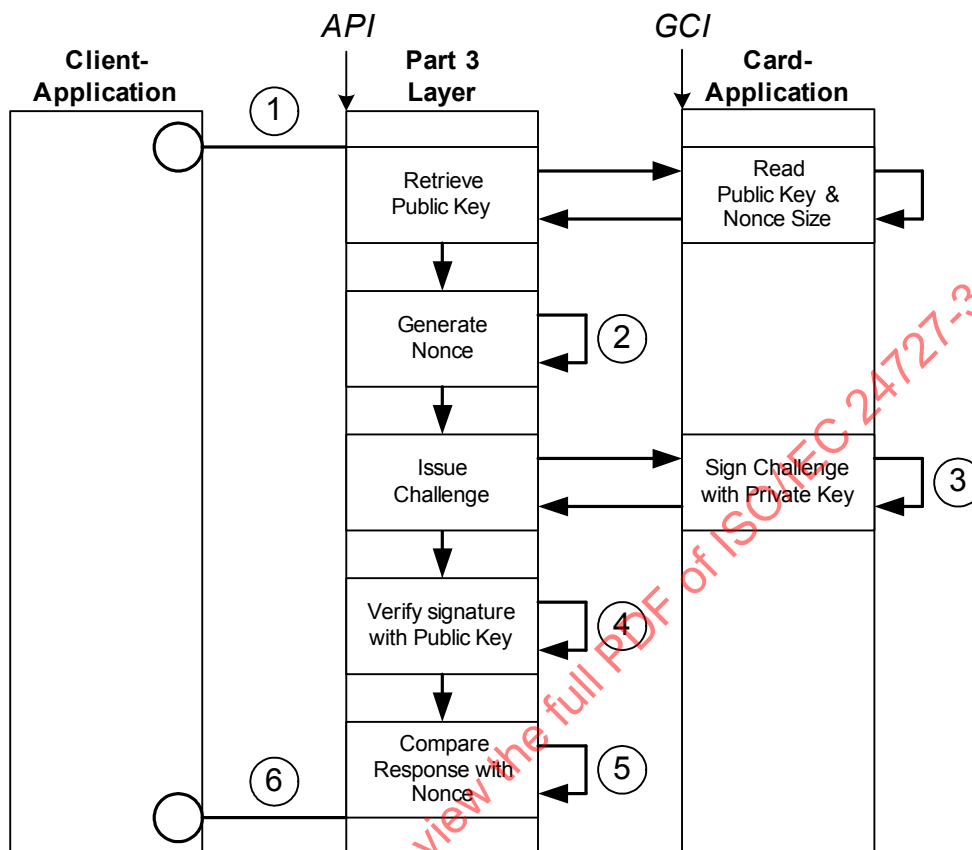


Figure A.2 — Asymmetric Internal Authenticate

### A.4.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) asymmetric-internal-authenticate(4) }.

### A.4.2 Marker

A differential-identity which uses this protocol has the following marker.

```

MarkerAP004 ::= SEQUENCE {
    signatureAlgorithm    OBJECT IDENTIFIER,
    hashAlgorithm         OBJECT IDENTIFIER,
    keySize               INTEGER,
    CHOICE {
        SEQUENCE {
            publicKeyMaterialOCTET STRING,
            privateKey      OCTET STRING
        },
        generateFlag      NULL
    }
    nonceSize            INTEGER
}
  
```

### A.4.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a `DIDUpdateData` parameter where `marker` is the specific marker for this protocol as defined above in A.4.2.

### A.4.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a `DIDUpdateData` parameter, where `marker` is the specific marker for this protocol as defined above in A.4.2.

If the original keys were generated within the card-application, as specified by the presence of the `generateFlag` option during the request of the DIDCreate action, a request of the DIDUpdate action which includes keys shall return the `API_INCORRECT_PARAMETER` return code.

If the original keys were supplied by the client-application, as specified by the presence of the `publicKeyMaterial` and `privateKey` options during the request of the DIDCreate action, a request of the DIDUpdate action with the `generateFlag` option shall return the `API_INCORRECT_PARAMETER` return code.

### A.4.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a `DIDStructure` parameter.

### A.4.6 Authentication

This authentication protocol is executed through a single request of the DIDAuthenticate action with an **`authenticationProtocolData`** parameter as defined below.

A request of the CardApplicationStartSession action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.4.6.1 Procedure

- (1) **`authenticationProtocolData`** ::= empty OCTET STRING
- (2) `nonce` = RNG (`nonceSize`)
- (3) `challenge` = signatureAlgorithm [`privateKey`] (`nonce`)
- (4) `response` = signatureAlgorithm<sup>-1</sup> [`publicKey`] (`challenge`)
- (5) `result` = (`response` == `nonce`)
- (6) **`authenticationProtocolData`** ::= `result` BOOLEAN as OCTET STRING

#### A.4.6.2 Impact on current state

Upon successful completion of this protocol, the authentication state of the named differential-identity is not affected within the card-application.

### A.4.7 Encipher

A request of the Encipher action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.4.8 Decipher

outBuffer = encryptionAlgorithm [privateKey] (inBuffer)

#### A.4.9 GetRandom

random = RNG (nonceSize)

#### A.4.10 Hash

hash = hashAlgorithm (message)

#### A.4.11 Sign

signature = encryptionAlgorithm [privateKey] (message)

#### A.4.12 VerifySignature

message == encryptionAlgorithm [publicKey] (signature)  
If TRUE, return API\_OK, else return API\_INVALID\_SIGNATURE.

#### A.4.13 VerifyCertificate

Depending on certificateType,  
hash = hashAlgorithm (certificate without signature)  
hash == encryptionAlgorithm [publicKey] (signature from certificate)  
If TRUE, return API\_OK, else return API\_INVALID\_SIGNATURE.

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## A.5 Asymmetric External Authenticate

This authentication protocol is used to establish an authenticated state of a differential-identity in a card-application.

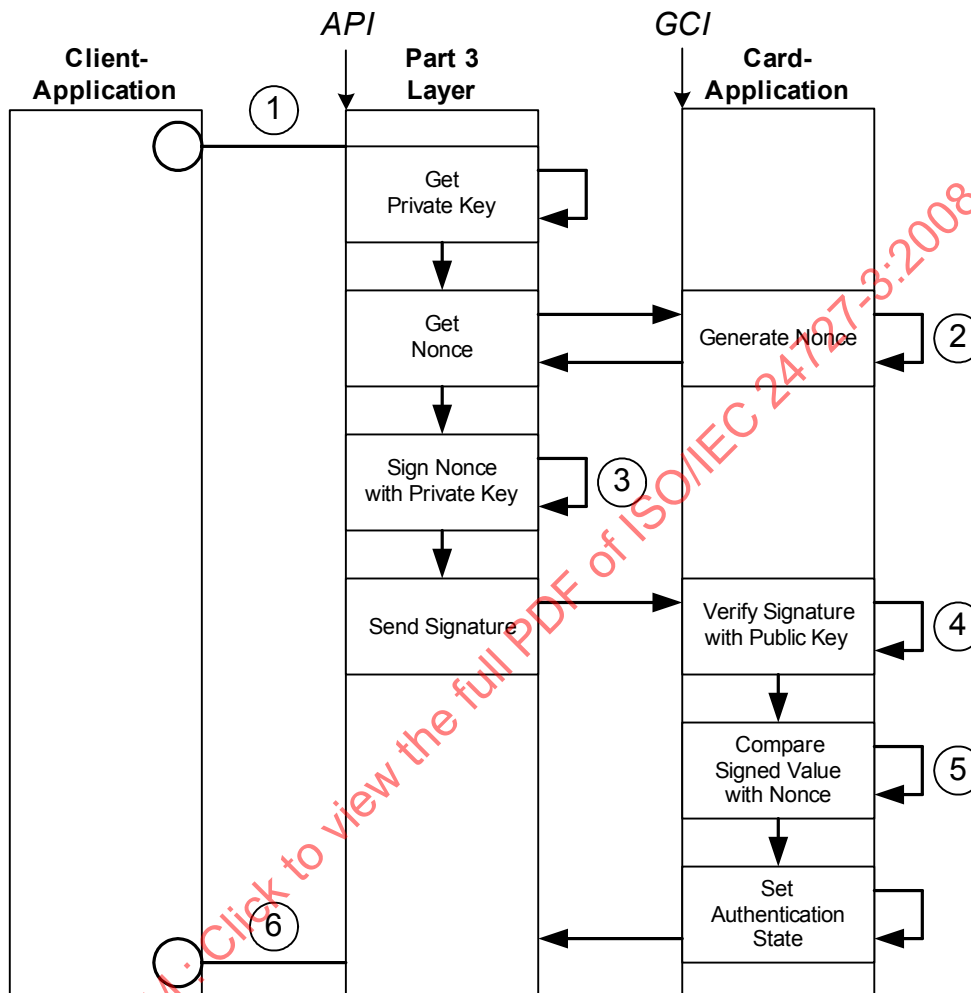


Figure A.3 — Asymmetric External Authenticate

### A.5.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) asymmetric-external-authenticate(5) }.

### A.5.2 Marker

A differential-identity which uses this protocol has the following marker.

```

MarkerAP005 ::= SEQUENCE {
    encryptionAlgorithm    OBJECT IDENTIFIER,
    hashAlgorithm          OBJECT IDENTIFIER,
    keySize                INTEGER,
    publicKeyMaterial      OCTET STRING,
    nonceSize              INTEGER
}
  
```

### A.5.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a `DIDUpdateData` parameter where `marker` is the specific marker for this protocol as defined above in A.5.2.

### A.5.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a `DIDUpdateData` parameter, where `marker` is the specific marker for this protocol as defined above in A.5.2.

### A.5.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a `DIDStructure` parameter.

### A.5.6 Authentication

This authentication protocol is executed through a single request of the DIDAuthenticate action with an `authenticationProtocolData` parameter as defined below.

A request of the CardApplicationStartSession action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.5.6.1 Procedure

(1) `authenticationProtocolData ::= privateKey OCTET STRING`

or empty OCTET STRING if the `samConnectionHandle` option was used in the request to DIDAuthenticate.

(2) `nonce = RNG (nonceSize)`

(3) `signature = encryptionAlgorithm [privateKey] (nonce)`

If the `samConnectionHandle` option was used in the request to DIDAuthenticate, the ISO/IEC 24727-3 layer shall request this operation be performed by the card-application to which it is connected by this `samConnectionHandle`.

(4) `message = encryptionAlgorithm-1 [publicKey] (signature)`

(5) `result = (nonce == message)`

(6) `authenticationProtocolData ::= empty OCTET STRING`

#### A.5.6.2 Impact on current state

Upon successful completion of this protocol, if the value of `result` is TRUE, the authentication state of the named differential-identity shall be set to TRUE within the card-application.

### A.5.7 Encipher

`outBuffer = encryptionAlgorithm [publicKey] (inBuffer)`

**A.5.8 Decipher**

A request of the Decipher action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

**A.5.9 GetRandom**

```
random = RNG (nonceSize)
```

**A.5.10 Hash**

```
hash = hashAlgorithm (message)
```

**A.5.11 Sign**

A request of the Sign action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

**A.5.12 VerifySignature**

```
message == encryptionAlgorithm [publicKey] (signature)
If TRUE, return API_OK, else return API_INVALID_SIGNATURE.
```

**A.5.13 VerifyCertificate**

```
Depending on certificateType,
hash = hashAlgorithm (certificate without signature)
hash == encryptionAlgorithm [publicKey] (signature from certificate)
If TRUE, return API_OK, else return API_INVALID_SIGNATURE.
```

## A.6 Symmetric Internal Authenticate

This authentication protocol is a challenge/response protocol using symmetric cryptography.

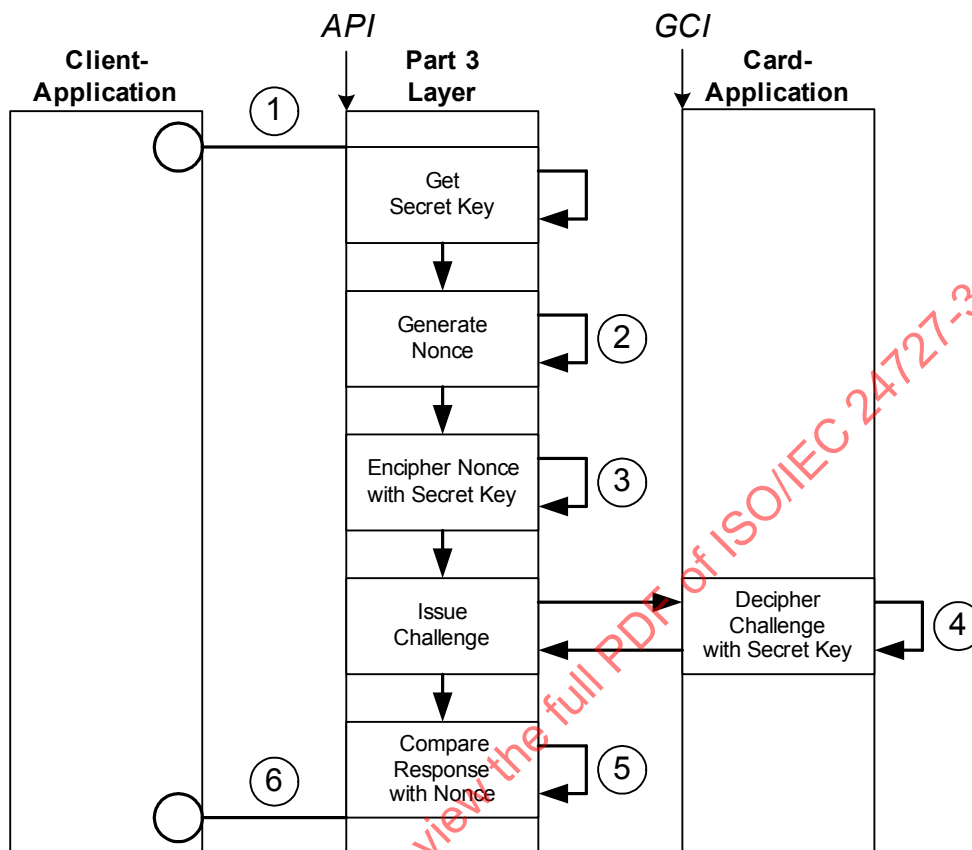


Figure A.4 — Symmetric Internal Authenticate

### A.6.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) symmetric-internal-authenticate(6) }.

### A.6.2 Marker

A differential-identity which uses this protocol has the following marker.

```

MarkerAP006 ::= SEQUENCE {
    encryptionAlgorithm    OBJECT IDENTIFIER,
    hashAlgorithm          OBJECT IDENTIFIER,
    keySize                INTEGER,
    secretKey              OCTET STRING,
    nonceSize              INTEGER
}
  
```

The `keySize` and `nonceSize` parameters represent the number of bits in the key and nonce, respectively. The `secretKey` parameter is the bitstring of the key itself.

### A.6.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a `DIDUpdateData` parameter where `marker` is the specific marker for this protocol as defined above in A.6.2

### A.6.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a `DIDUpdateData` parameter, where `marker` is the specific marker for this protocol as defined above in A.6.2.

### A.6.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a `didStructure` parameter.

### A.6.6 Authentication

This authentication protocol is executed through a single request of the `DIDAuthenticate` action with an **`authenticationProtocolData`** parameter as defined below.

A request of the `CardApplicationStartSession` action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.6.6.1 Procedure

- (1) **`authenticationProtocolData`** ::= `secretKey` OCTET STRING  
     or empty OCTET STRING if the `samConnectionHandle` option was used in the request to `DIDAuthenticate`.
- (2) `nonce` = RNG (`nonceSize`)
- (3) `challenge` = `encryptionAlgorithm` [`secretKey`] (`nonce`)
- (4) `response` = `encryptionAlgorithm`<sup>-1</sup> [`secretKey`] (`challenge`)
- (5) `result` = (`nonce` == `response`)
- (6) **`authenticationProtocolData`** ::= `result` BOOLEAN as OCTET STRING

#### A.6.6.2 Impact on current state

Upon successful completion of this protocol, the authentication state of the named differential-identity is not affected within the card-application.

### A.6.7 Encipher

`outBuffer` = `encryptionAlgorithm` [`secretKey`] (`inBuffer`)

### A.6.8 Decipher

`outBuffer` = `encryptionAlgorithm`<sup>-1</sup> [`secretKey`] (`inBuffer`)

#### A.6.9 GetRandom

random = RNG (nonceSize)

#### A.6.10 Hash

hash = hashAlgorithm (message)

#### A.6.11 Sign

digest = hashAlgorithm (message)  
signature = encryptionAlgorithm [secretKey] (digest)

#### A.6.12 VerifySignature

digest = hashAlgorithm (message)  
digest != encryptionAlgorithm<sup>-1</sup> [secretKey] (signature)  
If TRUE, return API\_OK, else return API\_INVALID\_SIGNATURE.

#### A.6.13 VerifyCertificate

A request of the Encipher action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

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## A.7 Symmetric External Authenticate

This authentication protocol is used to establish an authenticated state of a differential-identity in a card-application.

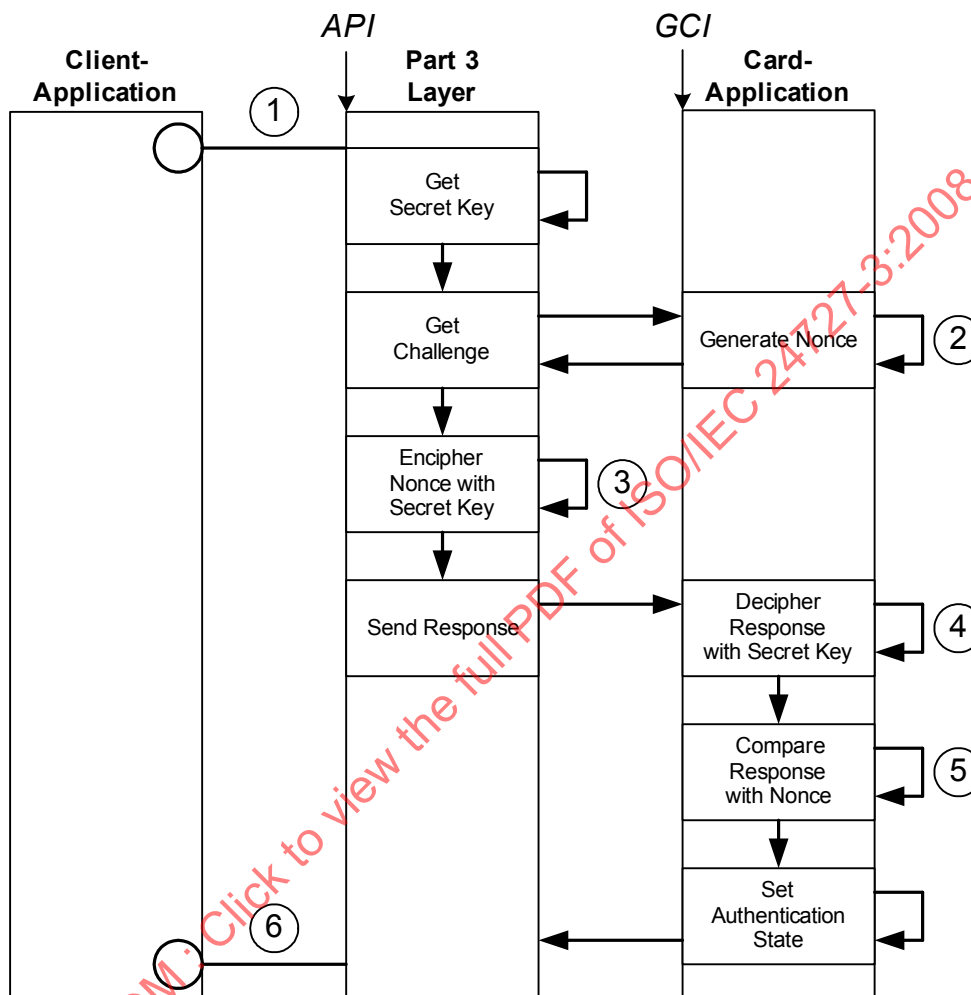


Figure A.5 — Symmetric External Authenticate

### A.7.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) symmetric-external-authenticate(7) }.

### A.7.2 Marker

A differential-identity which uses this protocol has the following marker.

```

MarkerAP007 ::= SEQUENCE {
    encryptionAlgorithm    OBJECT IDENTIFIER,
    hashAlgorithm          OBJECT IDENTIFIER,
    keySize                INTEGER,
    secretKey              OCTET STRING,
    nonceSize              INTEGER
}
  
```

### A.7.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a `DIDUpdateData` parameter where `marker` is the specific marker for this protocol as defined above in A.7.2

### A.7.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a `DIDUpdateData` parameter, where `marker` is the specific marker for this protocol as defined above in A.7.2.

### A.7.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a `DIDStructure` parameter.

### A.7.6 Authentication

This authentication protocol is executed through a single request of the DIDAuthenticate action with an `authenticationProtocolData` parameter as defined below.

A request of the CardApplicationStartSession action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.7.6.1 Procedure

(1) `authenticationProtocolData` ::= `secretKey` OCTET STRING

or empty OCTET STRING if the `samConnectionHandle` option was used in the request to DIDAuthenticate.

(2) `nonce` = RNG (`nonceSize`)

(3) `response` = encryptionAlgorithm [`secretKey`] (`nonce`)

(4) `challenge` = encryptionAlgorithm<sup>-1</sup> [`secretKey`] (`nonce`)

(5) `result` = (`nonce` == `challenge`)

(6) `authenticationProtocolData` ::= empty OCTET STRING

#### A.7.6.2 Impact on current state

Upon successful completion of this protocol, the authentication state of the named differential-identity shall be set to the value of `result` within the card-application.

### A.7.7 Encipher

`outBuffer` = encryptionAlgorithm [`secretKey`] (`inBuffer`)

**A.7.8 Decipher**

```
outBuffer = encryptionAlgorithm-1[secretKey](inBuffer)
```

Note: encryptionAlgorithm is used for decryption and may be a difference form of “encryptionAlgorithm”.

**A.7.9 GetRandom**

```
random = RNG (nonceSize)
```

**A.7.10 Hash**

```
hash = hashAlgorithm (message)
```

**A.7.11 Sign**

```
digest = hashAlgorithm (message)
signature = encryptionAlgorithm [secretKey] (digest)
```

**A.7.12 VerifySignature**

```
digest = hashAlgorithm (message)
digest ==?= encryptionAlgorithm-1 [secretKey] (signature)
If TRUE, return API_OK, else return API_INVALID_SIGNATURE.
```

**A.7.13 VerifyCertificate**

A request of the Encipher action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

## A.8 Compare

This authentication protocol compares a supplied value with a value stored in the marker of a differential-identity.

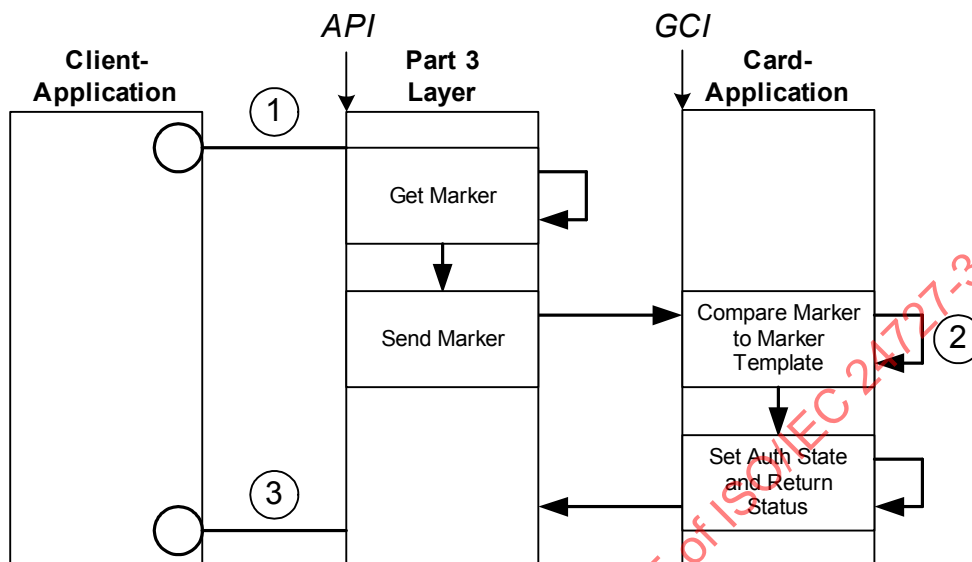


Figure A.6 — Compare

### A.8.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) compare(8) }.

### A.8.2 Marker

A differential-identity which uses this protocol has the following marker.

```

MarkerAP008 ::= SEQUENCE {
    minDataLength    INTEGER,
    maxDataLength    INTEGER,
    paddingCharacter  OCTET STRING,
    markerTemplate    OCTET STRING
}
  
```

The `minDataLength` and `maxDataLength` parameters represent the number of bits in the key and nonce, respectively. The `paddingCharacter` parameter is a single byte.

### A.8.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a `DIDUpdateData` parameter where `marker` is the specific marker for this protocol as defined above in A.8.2.

#### A.8.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a DIDUpdateData parameter, where marker is the specific marker for this protocol as defined above in A.8.2

### A.8.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a `DIDStructure` parameter.

### A.8.6 Authentication

This authentication protocol is executed through a single request of the DIDAuthenticate action with an **authenticationProtocolData** parameter as defined below.

A request of the CardApplicationStartSession action on a differential-identity which uses this protocol shall return the API INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

#### A.8.6.1 Procedure

```
(1) authenticationProtocolData ::= markerTemplate OCTET STRING
    SIZE(minDataLength..maxDataLength)
```

The marker shall be formatted in big-endian byte order with padding of the least significant byte end.

(2) `result = (marker == markerTemplate)`  
The comparison is a bit-by-bit.

(3) **authenticationProtocolData** ::= empty OCTET STRING

#### A.8.6.2 Impact on current state

Upon successful completion of this protocol, the authentication state of the named differential-identity shall be set to the value of `result` within the card-application.

### A.8.7 Encipher

A request of the Encipher action on a differential-identity which uses this protocol shall return the API INAPPROPRIATE PROTOCOL FOR ACTION return code.

### A.8.8 Decipher

A request of the Decipher action on a differential-identity which uses this protocol shall return the API INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

### A.8.9 GetRandom

A request of the `GetRandom` action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### A.8.10 Hash

A request of the Hash action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### **A.8.11 Sign**

A request of the Sign action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### **A.8.12 VerifySignature**

A request of the VerifySignature action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### **A.8.13 VerifyCertificate**

A request of the VerifyCertificate action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

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## A.9 PIN Compare

This authentication protocol compares a supplied PIN with a value stored in the marker of a differential-identity.

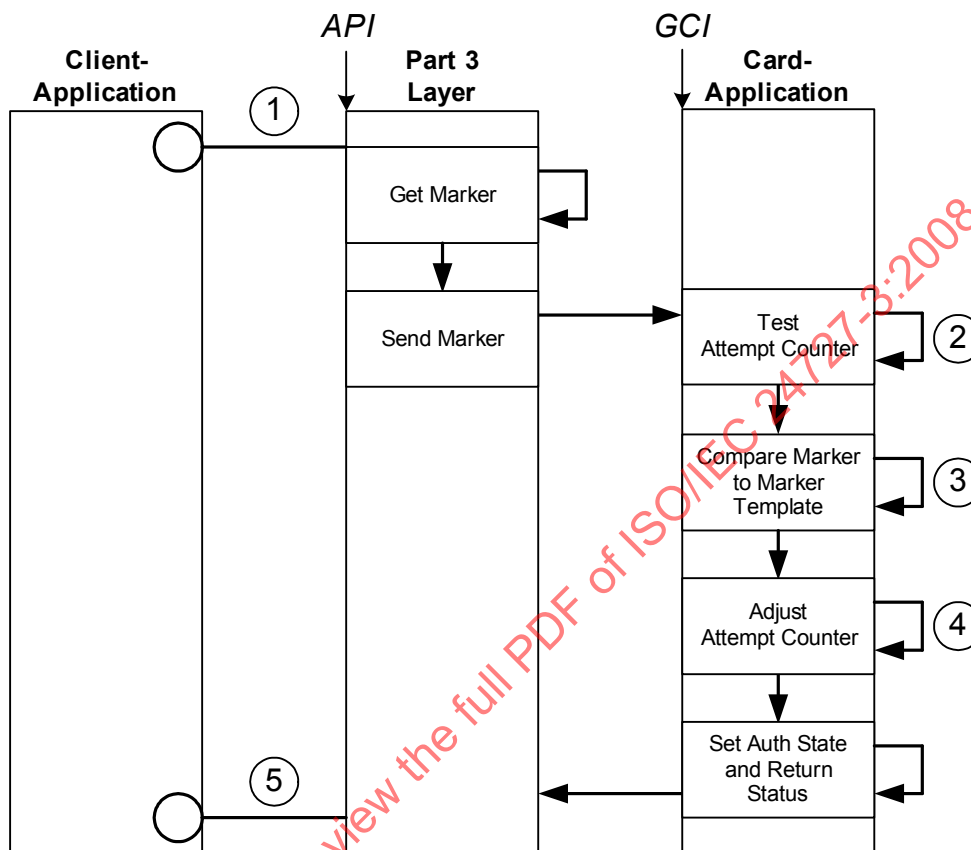


Figure A.7 — PIN Compare

The marker may be a verification code or resetting code according to ISO/IEC 7816-4.

### A.9.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) pin-compare(9) }.

### A.9.2 Marker

A differential-identity which uses this protocol has the following marker.

```

MarkerAP009 ::= SEQUENCE {
    minDataLength      INTEGER,
    maxDataLength      INTEGER,
    storedLength       INTEGER,
    paddingCharacter    OCTET STRING,
    markerTemplate      OCTET STRING,
    maxAttempts        INTEGER,
    attemptsCounter     INTEGER,
    pinRef             OCTET STRING,
    pinValue           VisibleString
}
  
```

The `minDataLength` and `maxDataLength` parameters represent the number of bits in the key and nonce, respectively. The `paddingCharacter` parameter is a single byte.

The `markerTemplate` shall be formatted in big-endian byte order with padding of the least significant byte end.

### A.9.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a `DIDUpdateData` parameter where `marker` is the specific marker for this protocol as defined above in A.9.2

### A.9.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a `DIDUpdateData` parameter, where `marker` is the specific marker for this protocol as defined above in A.9.2

The performance of this action shall reset `attemptsCounter` to zero.

### A.9.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a `didStructure` parameter.

### A.9.6 Authentication

This authentication protocol is executed through a single request of the DIDAuthenticate action with an `authenticationProtocolData` parameter as defined below.

A request of the CardApplicationStartSession action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.9.6.1 Procedure

(1) `authenticationProtocolData` ::= `markerTemplate` OCTET STRING  
`SIZE(minDataLength..maxDataLength)`

The `marker` shall be formatted in big-endian byte order with padding of the least significant byte end.

(2) If `attemptsCounter` is equal to or greater than `maxAttempts`, performance of this request shall proceed to to #5 below.

(3) `result` = (`marker` == `markerTemplate`)  
 The comparison is bit-by-bit.

(4) If `result` is TRUE, `attemptsCounter` is reset to zero. Otherwise, `attemptsCounter` is incremented.  
`retries` = `maxAttempts` – `attemptsCounter`

(5) `authenticationProtocolData` ::= `retries` INTEGER OPTIONAL as OCTET STRING

#### A.9.6.2 Impact on current state

Upon successful completion of this protocol, the authentication state of the named differential-identity shall be set to the value of `result` within the card-application.

### **A.9.7 Encipher**

A request of the Encipher action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### **A.9.8 Decipher**

A request of the Decipher action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### **A.9.9 GetRandom**

A request of the GetRandom action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### **A.9.10 Hash**

A request of the Hash action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### **A.9.11 Sign**

A request of the Sign action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### **A.9.12 VerifySignature**

A request of the VerifySignature action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### **A.9.13 VerifyCertificate**

A request of the VerifyCertificate action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

## A.10 Biometric Compare

This authentication protocol compares a supplied value with a value stored in the marker of a differential-identity.

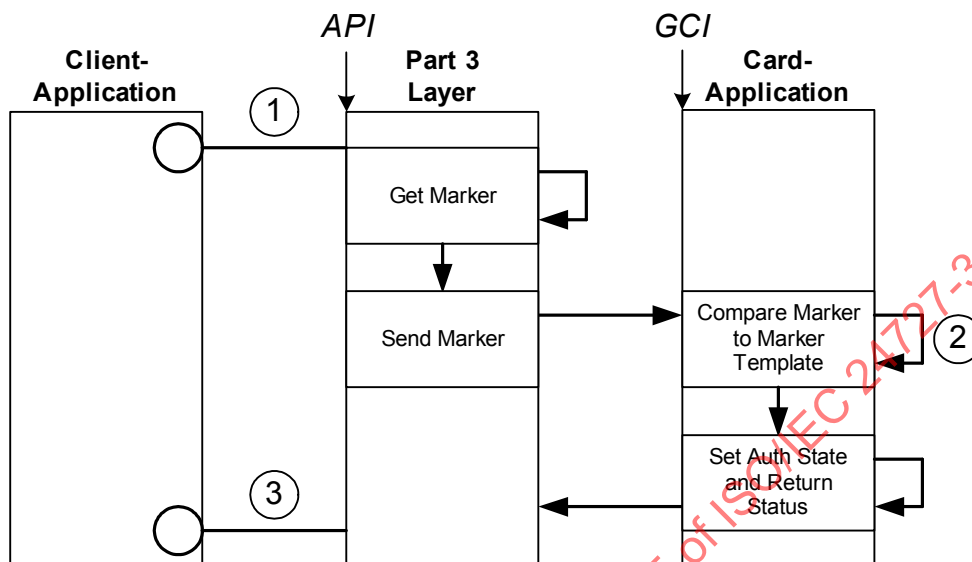


Figure A.8 — Biometric Compare

### A.10.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) biometric-compare(10) }.

### A.10.2 Marker

A differential-identity which uses this protocol has the following marker.

```

MarkerAP010 ::= SEQUENCE {
    bit                OCTET STRING,
    markerTemplate     OCTET STRING
}
  
```

The `bit` parameter shall be formatted as an ISO/IEC 7816-11 biometric information template. The `markerTemplate` parameter shall be formatted as an ISO/IEC 7816-11 biometric template.

### A.10.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a `DIDUpdateData` parameter where `marker` is the specific marker for this protocol as defined above in A.10.2.

### A.10.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a `DIDUpdateData` parameter, where `marker` is the specific marker for this protocol as defined above in A.10.2.

### A.10.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a `DIDStructure` parameter

### A.10.6 Authentication

This authentication protocol is executed through a single request of the DIDAuthenticate action with an `authenticationProtocolData` parameter as defined below.

A request of the CardApplicationStartSession action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.10.6.1 Procedure

(1) `authenticationProtocolData` ::= MarkerAP010 as OCTET STRING

(2) `result` = (marker == markerTemplate)  
The comparison is as defined in the 7816-11 biometric information template.

(3) `authenticationProtocolData` ::= empty OCTET STRING

#### A.10.6.2 Impact on current state

Upon successful completion of this protocol, the authentication state of the named differential-identity shall be set to the value of `result` within the card-application.

### A.10.7 Encipher

A request of the Encipher action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### A.10.8 Decipher

A request of the Decipher action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### A.10.9 GetRandom

A request of the GetRandom action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### A.10.10 Hash

A request of the Hash action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### A.10.11 Sign

A request of the Sign action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### **A.10.12 VerifySignature**

A request of the VerifySignature action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### **A.10.13 VerifyCertificate**

A request of the VerifyCertificate action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

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## A.11 Mutual Authentication with Key Establishment

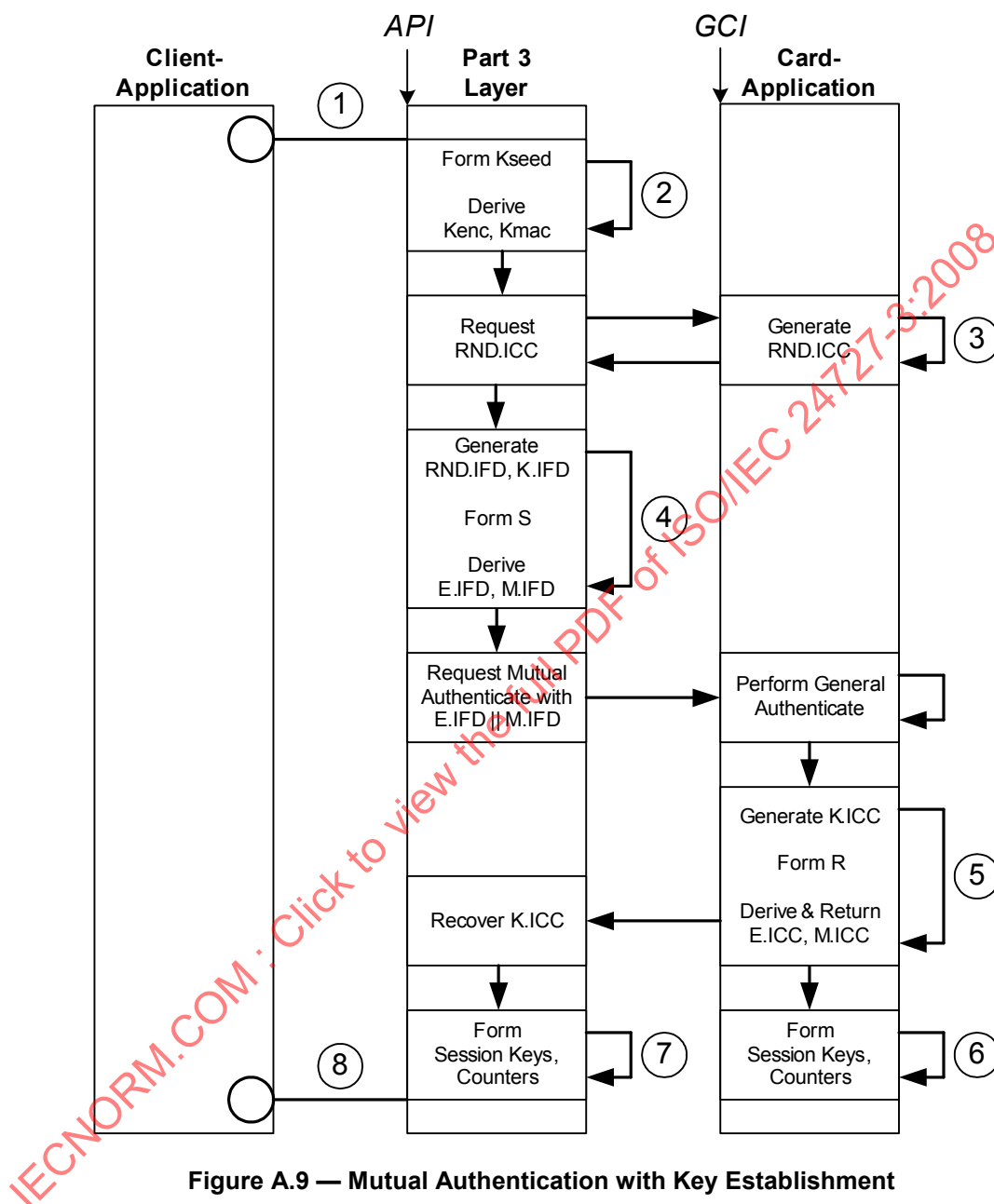


Figure A.9 — Mutual Authentication with Key Establishment

### A.11.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) mutual-authentication-with-key-establishment(11) }.

### A.11.2 Marker

A differential-identity which uses this protocol has the following marker.

```
MarkerAP011 ::= SEQUENCE {
    encryptionAlgorithm    OBJECT IDENTIFIER,
    macAlgorithm            OBJECT IDENTIFIER,
```

```

        derivationAlgorithmK_enc    OBJECT IDENTIFIER,
        derivationAlgorithmK_mac    OBJECT IDENTIFIER,
        derivationAlgorithmK_IFD    OBJECT IDENTIFIER,
        derivationAlgorithmSessionKeysAndCounters    OBJECT IDENTIFIER
    }

```

### A.11.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a DIDUpdateData parameter where marker is the specific marker for this protocol as defined above in A.11.2.

### A.11.4 DIDUpdate

A request of the DIDUpdate action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

### A.11.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a DIDStructure parameter.

### A.11.6 Authentication

This authentication protocol is executed through a single request of the CardApplicationStartSession actions with an authenticationProtocolData parameter as defined below.

A request of the DIDAuthenticate action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

#### A.11.6.1 Procedure

- (1) **authenticationProtocolData** ::= K\_seed OCTET STRING
- (2) K\_enc = derivationAlgorithmK\_enc (Kseed)  
K\_mac = derivationAlgorithmK\_mac (Kseed)
- (3) RND.ICC = RNG (8 bytes)
- (4) RND.IFD = RNG (8 bytes)  
K.IFD = RNG (16 bytes)  
S = RND.IFD || RND.ICC || K.IFD  
E.IFD = encryptionAlgorithm [K\_enc] (S)  
M.IFD = macAlgorithm [K\_mac] (E.IFD)
- (5) M.IFD == macAlgorithm [K\_mac] (E.IFD)  
S' = encryptionAlgorithm<sup>-1</sup> [K\_enc] (E.IFD)  
Recover RND.ICC from S' and compare with original.  
If not equal, return some error.  
Recover K.IFD from S'.  
K.ICC = RNG (16 bytes)  
R = RND.ICC || RND.IFD || K.ICC  
E.ICC = encryptionAlgorithm [K\_enc] (R)  
M.ICC = macAlgorithm [K\_mac] (E.ICC)
- (6) Derive session keys and send sequence counter (SSC)  
K\_enc || K\_mac || SSC = derivationAlgorithmSessionKeysAndCounters(K.ICC)

- (7) `result = ( M.ICC == macAlgorithm [K_mac] (E.ICC) )`  
`R' = encryptionAlgorithm-1 [K_enc] (E.ICC)`  
 Recover RND.IFD from R' and compare with original.  
 If not equal, return some error.  
 Recover K.ICC from R.  
`K_enc || K_mac | SSC = derivationAlgorithmSessionKeysAndCounters(K.ICC)`
- (8) `authenticationProtocolData ::= result BOOLEAN as OCTET STRING`

#### A.11.6.2 Impact on current state

Upon successful completion of this protocol, the authentication state of the named differential-identity shall be set to TRUE within the card-application, and a session will be started.

#### A.11.7 Encipher

A request of the Encipher action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.11.8 Decipher

A request of the Decipher action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.11.9 GetRandom

A request of the GetRandom action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.11.10 Hash

A request of the Hash action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.11.11 Sign

A request of the Sign action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.11.12 VerifySignature

A request of the VerifySignature action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.11.13 VerifyCertificate

A request of the VerifyCertificate action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.11.14 CardApplicationEndSession

A request to this action after a differential-identity utilizing this protocol has been authenticated, shall set this differential-identity authentication state to FALSE, and return the `API_OK` return code.

## A.12 Client-Application Mutual Authentication with Key Establishment

This authentication protocol is patterned after the Mutual Authentication with Key Establishment protocol defined by CEN/EN 14890-1 and CEN/EN 14890-2.

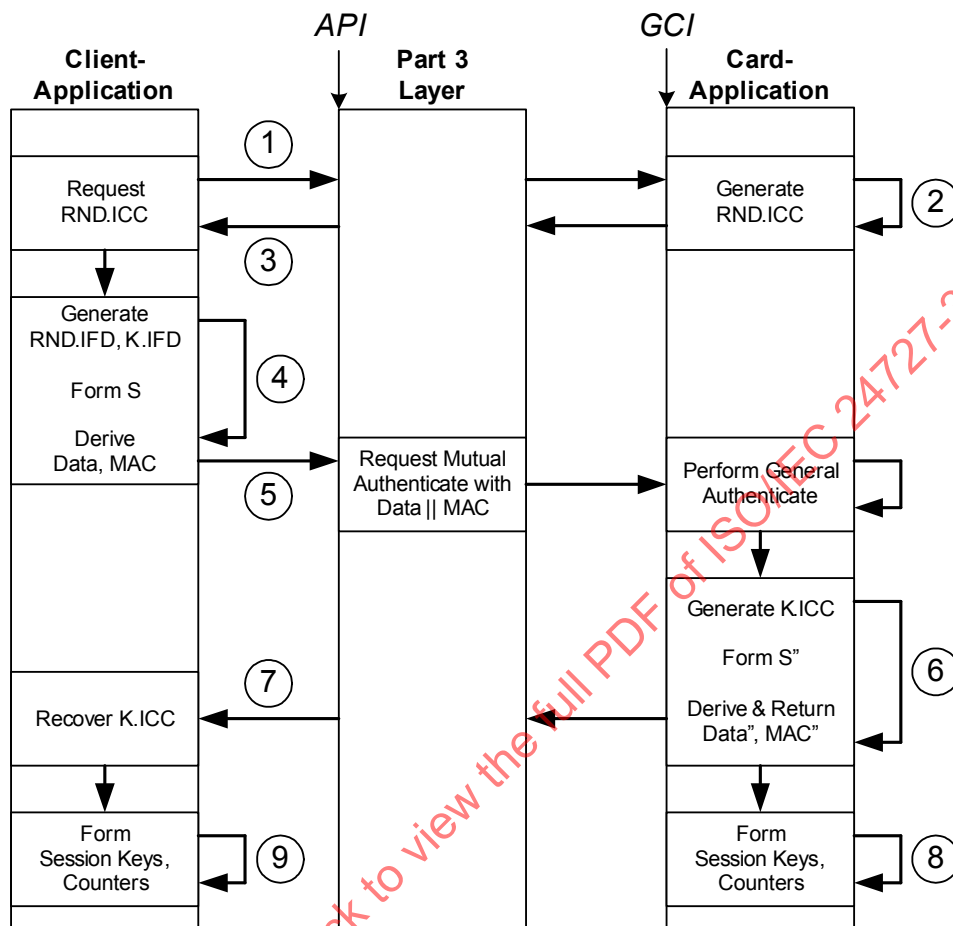


Figure A.10 — Client-Application Mutual Authentication with Key Establishment

### A.12.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) client-application-mutual-authentication-wke(12) }.

### A.12.2 Marker

A differential-identity which uses this protocol has the following marker.

```

MarkerAP012 ::= SEQUENCE {
    encryptionAlgorithm          OBJECT IDENTIFIER,
    macAlgorithm                 OBJECT IDENTIFIER,
    encryptionAlgorithmForSessionKey OBJECT IDENTIFIER,
    macAlgorithmForSessionKey    OBJECT IDENTIFIER,
    derivationAlgorithmSessionKeysAndCounter OBJECT IDENTIFIER,
    K_enc                       OCTET STRING,
    K_mac                       OCTET STRING,
    DIV_IFD                     OCTET STRING
}
  
```

The DIV\_IFD value is 8 bytes long.

### A.12.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a DIDUpdateData parameter where marker is the specific marker for this protocol as defined above in A.12.2.

### A.12.4 DIDUpdate

A request of the DIDUpdate action on a differential-identity which uses this protocol shall include DIDUpdateData parameter, where marker is the specific marker for this protocol as defined above in A.12.2.

### A.12.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a DIDStructure parameter.

### A.12.6 Authentication

This authentication protocol is executed through consecutive requests of the DIDAuthenticate or CardApplicationStartSession action with the same connection handle and differential-identity and with authenticationProtocolData parameters as defined below.

#### A.12.6.1 Prerequisite

The client-application shall obtain the 8-byte DIV.ICC prior to Step 1.

#### A.12.6.2 Procedure

- (1) **authenticationProtocolData** ::= empty OCTET STRING
- (2) RND.ICC = RNG (8 bytes)
- (3) **authenticationProtocolData** ::= RND.ICC OCTET STRING
- (4) RND.IFD = RNG (8 bytes)  
 K.IFD = RNG (32 bytes)  
 $S = \text{RND.IFD} || \text{SN.IFD} || \text{RND.ICC} || \text{SN.ICC} || \text{K.IFD}$   
 $\text{Data} = \text{encryptionAlgorithm}[\text{K\_enc}](S)$   
 $\text{MAC} = \text{macAlgorithm}[\text{K\_mac}](\text{Data})$
- (5) **authenticationProtocolData** ::= SEQUENCE {
 

|      |               |
|------|---------------|
| Data | OCTET STRING, |
| MAC  | OCTET STRING  |

 }
- (6)  $\text{MAC} =?= \text{macAlgorithm}[\text{K\_mac}](\text{Data})$   
 $S' = \text{encryptionAlgorithm}^{-1}[\text{K\_enc}](\text{Data})$   
 Recover RND.ICC and DIV.ICC from S' and compare with original.  
 Recover K.IFD from S'.  
 K.ICC = RNG (32 bytes)  
 $S'' = \text{RND.ICC} || \text{DIV.ICC} || \text{RND.IFD} || \text{DIV.IFD} || \text{K.ICC}$   
 $\text{Data}'' = \text{encryptionAlgorithm}[\text{K\_enc}](S'')$   
 $\text{MAC}'' = \text{macAlgorithm}[\text{K\_mac}](\text{Data}'')$

```
(7) authenticationProtocolData ::= SEQUENCE {
                                Data"  OCTET STRING,
                                MAC"   OCTET STRING
                                }

(8) Compute session keys and send sequence counter (SSC) from key seed  $K_{\text{IFD/ICC}}$ .
    K_enc || K_mac || SSC = derivationAlgorithmSessionKeysAndCounters(K.ICC)

(9) result = (MAC" =?= macAlgorithm [K_mac] (Data" ) )
    S"' = encryptionAlgorithm-1 [K_enc] (Data" )
    Recover RND.IFD and DIV.IFD from S"' and compare with original.
    Recover K.ICC from S"'.
    Compute session keys and send sequence counter(SSC) from key seed  $K_{\text{IFD/ICC}}$ .
    K_enc || K_mac || SSC = derivationAlgorithmSessionKeysAndCounters(K.ICC)
```

### A.12.6.3 Impact on current state

Upon successful completion of this protocol, the authentication state of the named differential-identity shall be set to TRUE within the card-application, and a session will be started.

### A.12.7 Encipher

A request of the Encipher action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### A.12.8 Decipher

A request of the Decipher action on a differential-identity which uses this protocol shall return the API INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

### A.12.9 GetRandom

A request of the `GetRandom` action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### A.12.10 Hash

A request of the Hash action on a differential-identity which uses this protocol shall return the API INAPPROPRIATE PROTOCOL FOR ACTION return code.

### A.12.11 Sign

A request of the `Sign` action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### A.12.12 VerifySignature

A request of the `VerifySignature` action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### A.12.13 VerifyCertificate

A request of the VerifyCertificate action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

### A.12.14 CardApplicationEndSession

A request to this action after a differential-identity utilizing this protocol has been authenticated, shall set this differential-identity authentication state to `FALSE`, and return the `API_OK` return code.

### A.13 Client-Application Asymmetric External Authenticate

This authentication protocol is used to establish an authenticated state of a differential-identity in a card-application.

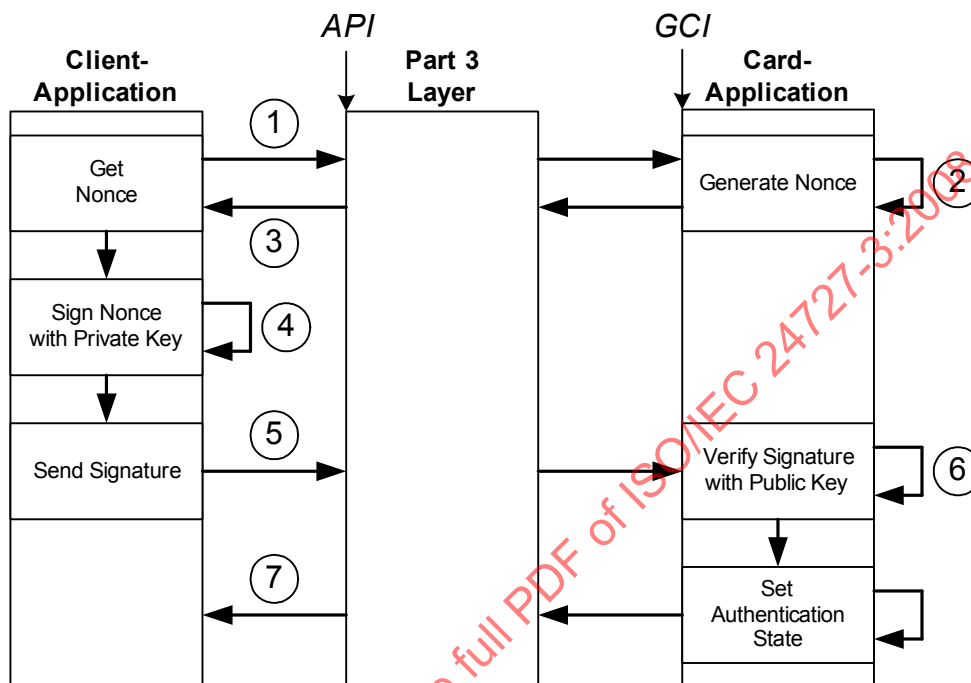


Figure A.11 — Client-Application Asymmetric External Authenticate

#### A.13.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) client-app-asymmetric-external-authenticate(13) }.

#### A.13.2 Marker

A differential-identity which uses this protocol has the following marker.

```

MarkerAP013 ::= SEQUENCE {
    encryptionAlgorithm    OBJECT IDENTIFIER,
    hashAlgorithm          OBJECT IDENTIFIER,
    keySize                INTEGER,
    publicKeyMaterial      OCTET STRING,
    nonceSize              INTEGER
}
  
```

#### A.13.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a DIDUpdateData parameter where marker is the specific marker for this protocol as defined above in A.13.2.

### A.13.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a `DIDUpdateData` parameter, where `marker` is the specific marker for this protocol as defined above in A.13.2.

### A.13.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a `DIDStructure` parameter.

### A.13.6 Authentication

This authentication protocol is executed through a single request of the DIDAuthenticate action with an `authenticationProtocolData` parameter as defined below.

A request of the CardApplicationStartSession action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.13.6.1 Procedure

- (1) `authenticationProtocolData` ::= empty OCTET STRING
- (2) `nonce` = RNG (`nonceSize`)
- (3) `authenticationProtocolData` ::= `nonce` OCTET STRING
- (4) `signature` = encryptionAlgorithm [`privateKey`] (`nonce`)
- (5) `authenticationProtocolData` ::= `signature` OCTET STRING
- (6) `message` = encryptionAlgorithm<sup>-1</sup> [`publicKey`] (`signature`)  
`result` = (`message` == `nonce`)
- (7) `authenticationProtocolData` ::= empty OCTET STRING

#### A.13.6.2 Impact on current state

Upon successful completion of this protocol, if the value of `result` is TRUE, the authentication state of the named differential-identity shall be set to TRUE within the card-application.

### A.13.7 Encipher

`outBuffer` = encryptionAlgorithm [`publicKey`] (`inBuffer`)

### A.13.8 Decipher

A request of the Decipher action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### A.13.9 GetRandom

`random` = RNG (`nonceSize`)

**A.13.10 Hash**

hash = hashAlgorithm (message)

**A.13.11 Sign**

A request of the Sign action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.13.12 VerifySignature**

message =?= encryptionAlgorithm [publicKey] (signature)  
If TRUE, return API\_OK, else return API\_INVALID\_SIGNATURE.

**A.13.13 VerifyCertificate**

Depending on certificateType,  
hash = hashAlgorithm (certificate without signature)  
hash =?= encryptionAlgorithm [publicKey] (signature from certificate)  
If TRUE, return API\_OK, else return API\_INVALID\_SIGNATURE.

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### A.14 Modular Extended Access Control Protocol (M-EAC)

The client application can initiate the execution of the protocol by calling Differential-IdentityAuthenticate with a DIDName, which has the Mutual Authenticate with non traceability specified as authentication protocol.

This protocol is patterned after the “Privacy constrained device authentication with non traceability based on ELC” described in prEN 14890-1 chapter 8.6.

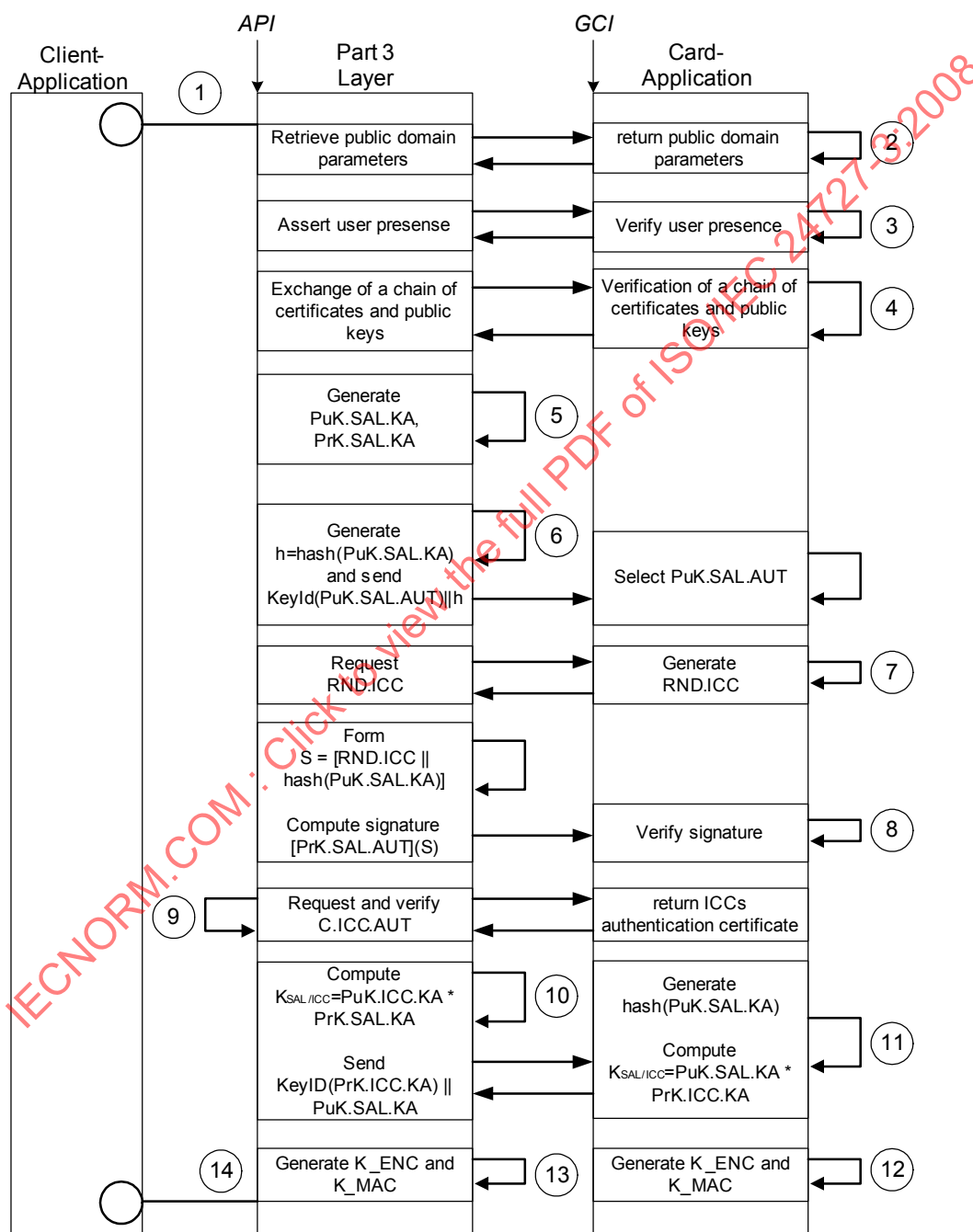


Figure A.12 — Modular Extended Access Control Protocol (M-EAC)

### A.14.1 Protocol Object Identifier

This authentication protocol is identified by the OIDs:

1. { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) authentication-protocol(0) Modular-extended-access-control-protocol(14) without-local-authentication (0) }
2. { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) authentication- protocol(0) Modular-extended-access-control-protocol(14) with-non-traceability(1) }
3. { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) authentication-protocol(0) Modular-extended-access-control-protocol(14) with-local-authentication(2) }

### A.14.2 Marker

A differential-identity which uses this protocol has the following marker.

```
MarkerAP014 ::= SEQUENCE {
    encryptionAlgorithm          OBJECT IDENTIFIER,
    hashAlgorithm                OBJECT IDENTIFIER,
    encryptionAlgorithmForSessionKey OBJECT IDENTIFIER,
    macAlgorithmForSessionKey    OBJECT IDENTIFIER,
    K_enc                        OCTET STRING,
    K_mac                        OCTET STRING,
    derivationAlgorithmK_enc     OBJECT IDENTIFIER,
    derivationAlgorithmK_mac     OBJECT IDENTIFIER,
    keySize                      INTEGER,
    CHOICE {
        SEQUENCE {
            publicKeyMaterial    OCTET STRING,
            privateKey            OCTET STRING
        },
        generateFlag             NULL
    },
    nonceSize                     INTEGER
}
```

### A.14.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a DIDUpdateData parameter where marker is the specific marker for this protocol as defined above in A.14.2.

### A.14.4 DIDUpdate

A request of the DIDUpdate action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

### A.14.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a DIDStructure parameter.

### A.14.6 Authentication

This authentication protocol is executed through a single request of the DIDAuthenticate action with an **authenticationProtocolData** parameter as defined below.

A request of the CardApplicationStartSession action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

#### A.14.6.1 Procedure

(1) **authenticationProtocolData** ::= publicKeyMaterial      OCTET STRING

(2) Retrieval of public domain parameters

(3) Conditional proof of user presence

--- Selection of verification key and certificate ---

(4) Verify Root CA public key and SAL's CA certificate

verifySignature (PuK.RCA.AUT)

verifyCertificate [PuK.RCA.AUT] (C\_CV.CA<sub>SAL</sub>.CS\_AUT)

Verify signature of SAL CA authentication certificate's public key and SAL's authentication certificate

verifySignature (PuK.CA<sub>SAL</sub>.CS\_AUT)

verifyCertificate [PuK.CA<sub>SAL</sub>.CS\_AUT] (C\_CV.SAL.AUT)

ICC stores PuK.SAL.AUT in temporary location.

--- Authenticate SAL (external authentication) ---

(5) SAL generates key agreement key pair by random

PuK.SAL.KA = RNG (keySize)

PrK.SAL.KA = RNG (keySize)

(6) hash = hashAlgorithm (PuK.SAL.KA)

message = (keyId (PuK.SAL.AUT) || hash)

(7) RND.ICC = RNG (nonceSize)

(8) S = [RND.ICC || hashAlgorithm (PuK.SAL.KA)]

signature = encryptionAlgorithm [PrK.SAL.AUT] (S)

Verify signature

digest = encryptionAlgorithm [PuK.SAL.AUT] (signature)

recover RND.ICC and compare with original

--- Authenticate ICC (internal authentication) ---

(9) Verify ICC's authentication certificate

verifyCertificate [PuK.ICC.KA] (C.ICC.AUT)

(10) SAL computes key agreement key

K<sub>SAL/ICC</sub> = [PuK.ICC.KA \* PrK.SAL.KA]

message = (keyId (PrK.ICC.KA) || PuK.SAL.KA)

(11) ICC computes key agreement key

hash = hashAlgorithm (PuK.SAL.KA)

K<sub>ICC/SAL</sub> = [PuK.SAL.KA \* PrK.ICC.KA]

(12) ICC generates session keys for encryption and MAC calculation

K<sub>enc</sub> = derivationAlgorithmK<sub>enc</sub> ()

K<sub>mac</sub> = derivationAlgorithmK<sub>mac</sub> ()

```

(13) SAL generates session keys for encryption and MAC calculation
    K_enc = derivationAlgorithmK_enc ()
    K_mac = derivationAlgorithmK_mac ()

    --- Establish secure session ---
(14) authenticationProtocolData ::= result BOOLEAN as OCTET STRING
}

```

#### A.14.6.2 Impact on current state

Upon successful completion of this protocol, the authentication state of the named differential-identity is not affected within the card-application.

#### A.14.7 Encipher

```

outBuffer = encryptionAlgorithm [privateKey] (inBuffer)
outBuffer = encryptionAlgorithmForSessionKey [K_enc] (inBuffer)

```

#### A.14.8 Decipher

```

outBuffer = encryptionAlgorithm [publicKey] (inBuffer)
outBuffer = encryptionAlgorithmForSessionKey-1 [K_enc] (inBuffer)

```

#### A.14.9 GetRandom

```

random = RNG (nonceSize)

```

#### A.14.10 Hash

```

hash = hashAlgorithm (message)

```

#### A.14.11 Sign

```

signature = encryptionAlgorithm [privateKey] (message)

```

#### A.14.12 VerifySignature

```

message == encryptionAlgorithm [publicKey] (signature)
If TRUE, return API_OK, else return API_INVALID_SIGNATURE.

```

#### A.14.13 VerifyCertificate

```

Depending on certificate Type,
hash = hashAlgorithm (certificate without signature)
hash == encryptionAlgorithm [publicKey] (signature from certificate)
If TRUE, return API_OK, else return API_INVALID_SIGNATURE.

```

### A.15 Key Transport with mutual authentication based on RSA

The client application can initiate the execution of the protocol by calling Differential-IdentityAuthenticate with a DIDName, which has the Key Transport with Mutual Authentication based on RSA specified as authentication protocol.

This protocol is patterned after the “Key transport protocol based on RSA” described in prEN 14890-1 chapter 8.4.

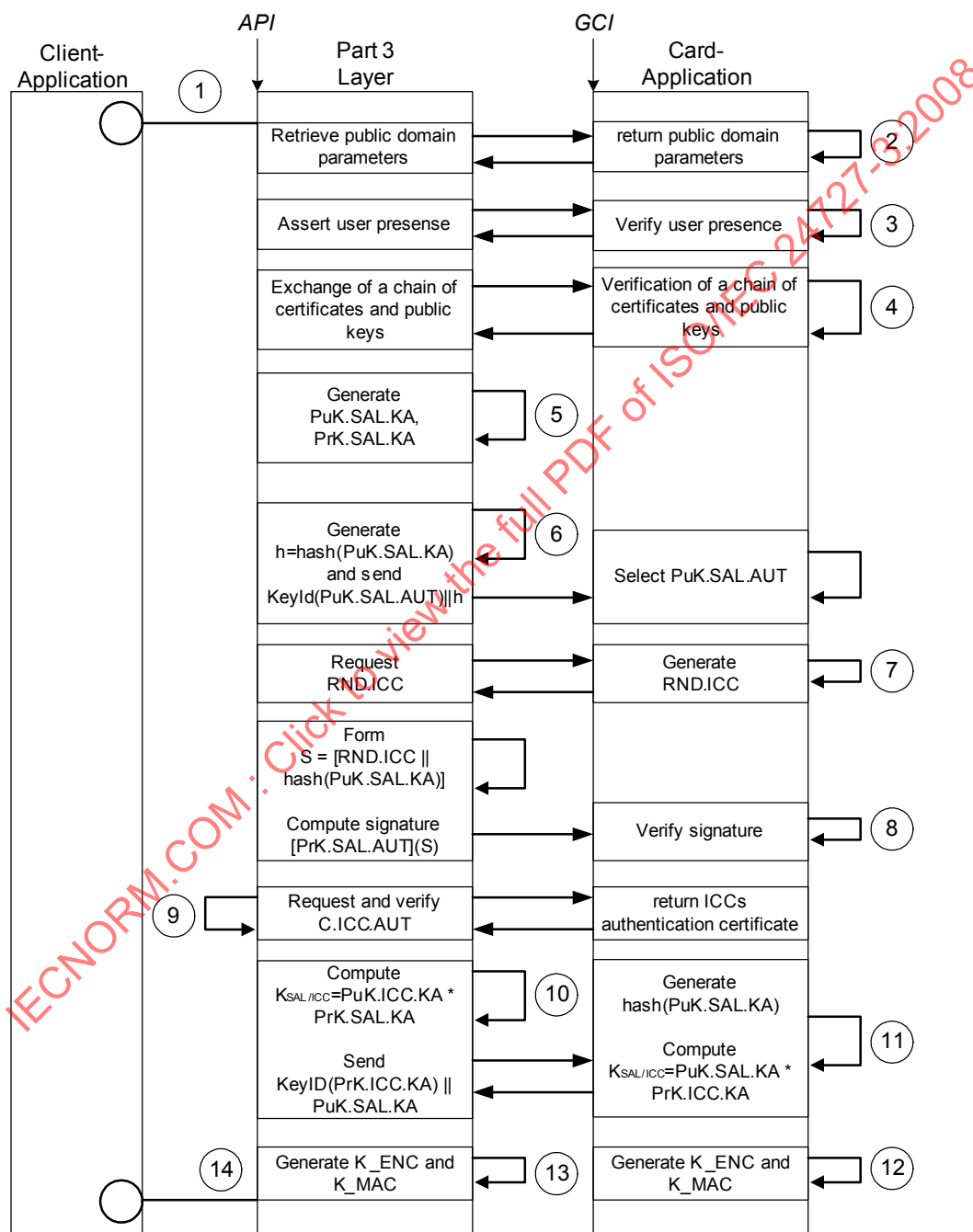


Figure A.13 — Key Transport with mutual authentication based on RSA

### A.15.1 Protocol Object Identifier

This authentication protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) key-transport-with-mutual-authentication(15) }.

### A.15.2 Marker

A differential-identity which uses this protocol has the following marker.

```
MarkerAP015 ::= SEQUENCE {
    encryptionAlgorithm      OBJECT IDENTIFIER,
    hashAlgorithm            OBJECT IDENTIFIER,
    keySize                  INTEGER,
    CHOICE {
        SEQUENCE {
            publicKey        OCTET STRING,
            privateKey        OCTET STRING
        },
        generateFlag        NULL
    },
    nonceSize                INTEGER
}
```

### A.15.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a DIDUpdateData parameter where marker is the specific marker for this protocol as defined above in A.15.2.

### A.15.4 DIDUpdate

A request of the DIDUpdate action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

### A.15.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a DIDStructure parameter.

### A.15.6 Authentication

This authentication protocol is executed through a single request of the DIDAuthenticate action with an authenticationProtocolData parameter as defined below.

A request of the CardApplicationStartSession action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

#### A.15.6.1 Procedure

- (1) authenticationProtocolData ::= empty OCTET STRING
- (2) Multi-stage verification of public keys and certificates of SAL
  - (2.1) Verify CA's certificate with root CA's public key
 

```
verifyCertificate [PuK.RCA.AUT] (C_CV.CA.CS_AUT)
```

On verification success, the ICC stores the  $\text{PuK.CA}_{\text{SAL}}.\text{CS\_AUT}$  contained in the certificate.

(2.2) Verify SAL's authentication certificate

```
verifyCertificate [PuK.CASAL.CS_AUT] (C_CV.SAL.AUT)
```

On verification success, the ICC stores the  $\text{PuK.SAL.AUT}$  contained in the certificate in a temporary location.

(3) Multi-stage verification of public keys and certificates of ICC

SAL retrieves from ICC:

ICC's CA certificate  $\text{C.CA.AUT}$  containing CA's public key  $\text{PuK.CA}_{\text{ICC}}.\text{CS\_AUT}$ .

ICC's certificate  $\text{C.ICC.AUT}$  containing ICC's public key  $\text{PuK.ICC.AUT}$  used for authentication.

```
VerifyCertificate [PuK.CAICC.CS_AUT] (C.ICC.AUT)
```

On verification success, the SAL stores the  $\text{PuK.ICC.AUT}$  contained in the certificate temporarily.

(4) ICC to select private authentication key  $\text{PrK.ICC.AUT}$

(5) ICC to SAL's public key  $\text{PuK.SAL.AUT}$  for encryption

--- Authenticate ICC ---

(6) SAL generates and sends

$\text{SN.SAL}$  = serial number of SAL (8 LSB)

$\text{RND.SAL}$  = RNG (nonceSize)

challenge = ( $\text{RND.SAL} \parallel \text{SN.SAL}$ )

(7) ICC generates a signature and responds

$K_{\text{ICC}}$  = ICC's key token information

signature = encryptionAlgorithm [ $\text{PrK.ICC.AUT}$ ] (challenge  $\parallel K_{\text{ICC}}$ )

response = encryptionAlgorithm [ $\text{PuK.ICC.AUT}$ ] (signature)

(8) SAL verifies signature contained in ICC's response

signature = encryptionAlgorithm [ $\text{PrK.ICC.AUT}$ ] (response)

result =?= encryptionAlgorithm [ $\text{PuK.ICC.AUT}$ ] (signature)

--- Authenticate SAL ---

(9) SAL generates signature to be verified by ICC

$K_{\text{SAL}}$  = SAL's key token information

$\text{SN.ICC}$  = serial number of ICC (8 LSB)

$\text{RND.ICC}$  = RNG (nonceSize)

(10)  $S = (\text{RND.ICC} \parallel \text{SN.ICC})$

signature = encryptionAlgorithm [ $\text{PrK.SAL.AUT}$ ] ( $K_{\text{SAL}} \parallel S$ )

challenge = encryptionAlgorithm [ $\text{PuK.ICC.AUT}$ ] (signature)

(11) ICC verifies that  $K_{\text{SAL}}$  is different from  $K_{\text{ICC}}$  and verifies challenge sent by SAL

$K_{\text{SAL}} \neq K_{\text{ICC}}$

signature = encryptionAlgorithm [ $\text{PrK.ICC.AUT}$ ] (challenge)

result = encryptionAlgorithm [ $\text{PuK.SAL.AUT}$ ] (signature)

(12) **authenticationProtocolData** ::= result BOOLEAN as OCTET STRING

}

### A.15.6.2 Impact on current state

Upon successful completion of this protocol, the authentication state of the named differential-identity is not affected within the card-application.

**A.15.7 Encipher**

```
outBuffer = encryptionAlgorithm [privateKey] (inBuffer)
```

**A.15.8 Decipher**

```
outBuffer = encryptionAlgorithm [publicKey] (inBuffer)
```

**A.15.9 GetRandom**

```
random = RNG (nonceSize)
```

**A.15.10 Hash**

```
hash = hashAlgorithm (message)
```

**A.15.11 Sign**

```
signature = encryptionAlgorithm [privateKey] (message)
```

**A.15.12 VerifySignature**

```
message == encryptionAlgorithm [publicKey] (signature)
If TRUE, return API_OK, else return API_INVALID_SIGNATURE.
```

**A.15.13 VerifyCertificate**

```
Depending on certificateType,
hash = hashAlgorithm (certificate without signature)
hash == encryptionAlgorithm [publicKey] (signature from certificate)
If TRUE, return API_OK, else return API_INVALID_SIGNATURE.
```

## A.16 Age Attainment

The purpose of this protocol is to allow a cardholder to prove that he/she has attained a specific age, without releasing the cardholder's date of birth.

Some smart card implementations may store the cardholder date of birth. Often the date of birth is considered confidential yet there are situations where the cardholder has to prove that they have attained a specific age e.g. in order to access services that have age restrictions placed upon them. This authentication protocol is designed to indicate whether a card holder has attained a certain age without the actual date of birth being released.

This authentication protocol compares an input date to the date of birth stored in a DSI and returns success if the input date is equal to or more recent than the date of birth.

The value of the date of birth shall never be released by this authentication protocol.

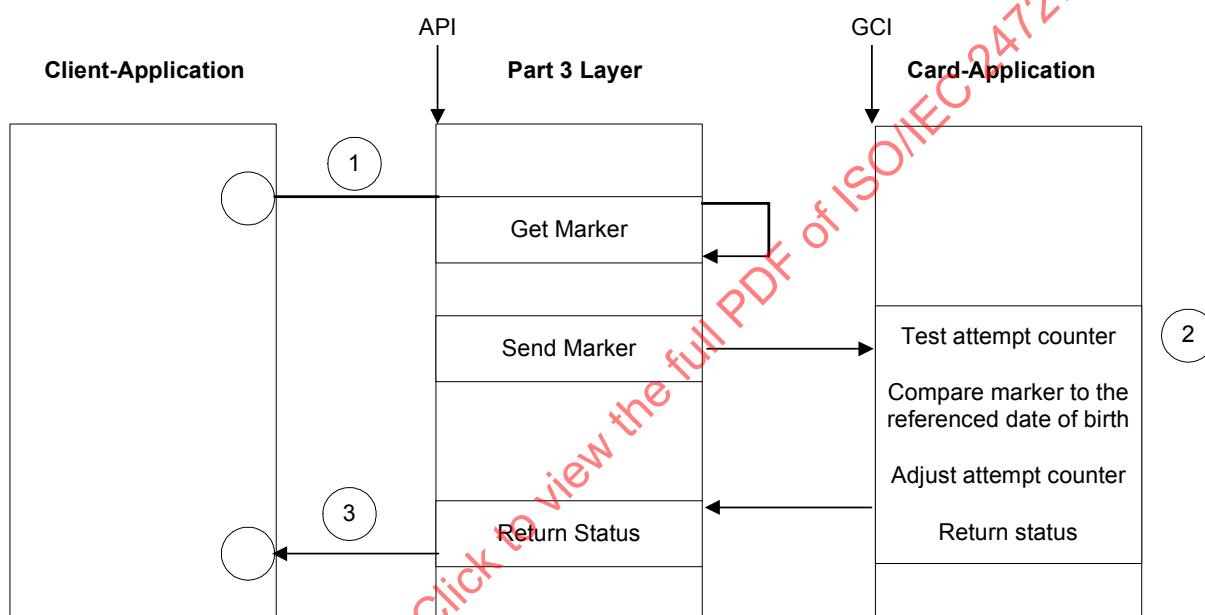


Figure A.14 — Age Attainment

### A.16.1 Protocol Object Identifier

This authentication protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) age-attainment(16) }.

### A.16.2 Marker

A differential-identity which uses this protocol shall have the following marker.

```

MarkerAP016 ::= SEQUENCE {
    dateOfBirthReference    DSIRreference,
    attainedDate            OCTET STRING
}
  
```

Where `dateOfBirthReference` shall reference a date stored within the card-application. The structure of the reference is defined as follows:

```
DSIReference ::= SEQUENCE {
    aID                      APPLICATION IDENTIFIER,
    dataSetName              DataSetName,
    dSIName                  DSIName
}
```

The `attainedDate` and the date of birth stored in the DSI referenced by `dateOfBirthReference` shall be of the `yyyymmdd` format.

### A.16.3 DIDCreate

A request of the DIDCreate action involving a differential-identity which uses this authentication protocol shall include a `DIDUpdateData` parameter where `marker` is the specific marker for this protocol as defined above in A.16.2.

### A.16.4 DIDUpdate

A request of the DIDUpdate action on a differential-identity which uses this authentication protocol shall include a `DIDUpdateData` parameter, where `marker` is the specific marker for this protocol as defined above in A.16.2.

### A.16.5 DIDGet

The confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a `DIDStructure` parameter.

### A.16.6 Authentication

This authentication protocol shall be executed through a single request of the DIDAuthenticate action with an **`authenticationProtocolData`** parameter as defined below.

A request of the CardApplicationStartSession action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.16.6.1 Procedure

(1) **`authenticationProtocolData`** ::= `attainedDate` OCTET STRING

The `attainedDate` shall be formatted in the `yyyymmdd` format as shall be the date of birth referenced in the `dateOfBirthReference`.

(2) Ensure that the authentication state has not been set, as only one authentication attempt shall be permitted for each session.

Compare the `attainedDate` to the date stored in the DSI reference in the `dateOfBirthReference` field.

If the `attainedDate` is equal or more recent than the date of birth, return success. Otherwise, return failure.

(3) Return status.

#### **A.16.6.2 Impact on current state**

Upon completion of this authentication protocol, either successful or unsuccessful, the authentication state of the differential-identity shall be set to "true". This shall indicate that age attainment authentication has occurred and can not be repeated for this session.

#### **A.16.7 Encipher**

A request on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_FOR_ACTION` return code.

#### **A.16.8 Decipher**

A request on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_FOR_ACTION` return code.

#### **A.16.9 GetRandom**

A request on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_FOR_ACTION` return code.

#### **A.16.10 Hash**

A request on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_FOR_ACTION` return code.

#### **A.16.11 Sign**

A request on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_FOR_ACTION` return code.

#### **A.16.12 VerifySignature**

A request on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_FOR_ACTION` return code.

#### **A.16.13 VerifyCertificate**

A request on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_FOR_ACTION` return code.

## A.17 Asymmetric Session Key Establishment

This protocol establishes symmetric session keys by facilitation asymmetric cryptography to transport the off-card generated session keys to the card.

An optional mechanism to either store a static asymmetric key pair (including a digital certificate) or an ephemeral asymmetric key pair provides flexibility for the issuance authority to leverage session key establishment with or without asymmetric card authentications.

In the case of a static key pair and digital certificate, the client-application may verify the card authenticity.

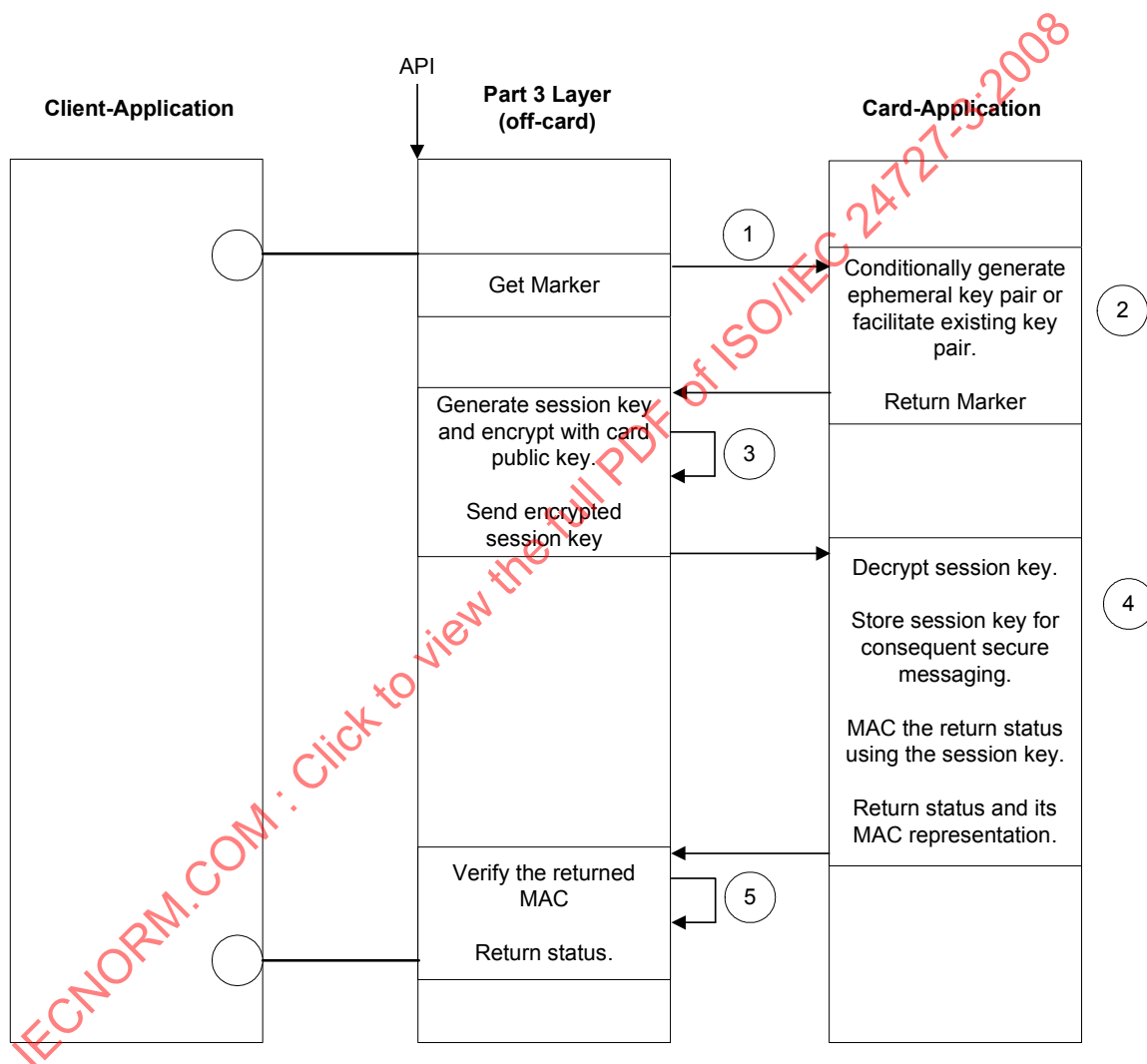


Figure A.15 — Asymmetric Session Key Establishment

### A.17.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso2747(24727) part3(3) annex-a(0) asymmetric-session-key-establishment(17) }

### A.17.2 Marker

A differential-identity which uses this protocol has the following marker.

```
MarkerAP017 ::= SEQUENCE {
    encryptionAlgorithm      OBJECT IDENTIFIER,
    keySize                  INTEGER,
    keyTransportProtectionType INTEGER,
    privateTransKeyReference  DIDReference
    CHOICE {
        SEQUENCE {
            privateKey          OCTET STRING,
            publicKeyMaterial    OCTET STRING
        },
        SEQUENCE {
            privateKeyReference  DIDReference,
            publicKeyReference   DIDReference
        },
        generateFlag            BOOLEAN
    },
    sessionMACAlgorithm      OBJECT IDENTIFIER,
    sessionENCAAlgorithm     OBJECT IDENTIFIER
}
```

The `keyTransportProtectionType` shall indicate whether the private key is transmitted in clear text (0) or encrypted (1). The `publicKeyMaterial` can be either a public key or a digital certificate containing a public key. Each of the parameters `privateKeyReference`, `publicKeyMaterialReference` and `privateTransKeyReference` reference a cryptographic key. The structure of the reference is as defined below:

```
DIDReference ::= SEQUENCE {
    scope      DIDScope,
    dIDName    DIDName
}
```

### A.17.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this protocol shall include a `DIDUpdateData` parameter where `marker` is the specific marker for this protocol as defined above in A.17.2.

In the case where a private and public key pair is generated outside of the client-application and the private key is to be transported confidentially between the client-application and the card-application during the DIDCreate action (i.e. the `keyTransportProtectionType` is set to (1), "encrypted"), the following apply:

- the private key shall be packaged using the secure transport syntax (ref A.17.15) format
- an existing private key shall be referenced in the `privateTransKeyReference` parameter
- the corresponding public key to the `privateTransKeyReference` shall be used during the creation of the secure transport syntax (ref A.17.15) package
- the card-application implementation of this authentication protocol shall process the secure transport syntax (ref A.17.15) package to extract the private key for storage in the DID.

If the `generateFlag` is set to `TRUE`, then the public and private key shall be generated on each `DIDAuthenticate` action.

If the `keyTransportProtectionType` is set to `encrypted (1)`, then the private key must be a reference to an existing key (differential-identity) available to the client-application.

#### A.17.4 DIDUpdate

A request of the `DIDUpdate` action involving a differential-identity which uses this authentication protocol shall include a `DIDUpdateData` parameter, where `marker` is the specific marker for this protocol as defined above in A.17.2.

The fields of the `marker` structure are interpreted exactly as described for the `DIDCreate` action, above.

#### A.17.5 DIDGet

A confirmation to the `DIDGet` action involving a differential-identity which uses this protocol shall include a `didStructure` parameter.

For this specific protocol, if the `generateFlag` is set to `TRUE` then no public key material or reference to a public key material shall be returned. If the `generateFlag` is not set, then the asymmetric key pair is static or referenced, and the `publicKeyMaterial` shall be returned.

#### A.17.6 Authentication

This protocol is executed through a single request of the `CardApplicationStartSession` action with an empty `authenticationProtocolData` parameter as defined below.

A request of the `DIDAuthentication` action on a differential-identity which uses this protocol shall return `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

##### A.17.6.1 Procedure

```
(0) authenticationProtocoldata ::= SEQUENCE {
    certVerificationProcess    INTEGER,
    crlAddress                 OCTET STRING OPTIONAL,
    ocspAddress                 OCTET STRING OPTIONAL
}
```

where the `certVerificationProcess` parameter is an indicator consisting of a bit-mask derived from the following values:

- (0) No verification
- (1) Verify signature
- (2) Verify expiry date
- (4) Check CRL
- (8) Check OCSP responder

i.e. a verification that requires signature verification (1), expiry date verification (2), and the consultation of a OCSP responder (8) would constitute a bit-mask of 11 (1 + 2 + 8).

(1) The SAL shall request the Asymmetric Session Key Establishment marker.

- (2) If the DID marker `generateFlag` is set to `TRUE`, an asymmetric key pair shall be generated and the public key shall be returned in the marker.  
If the DID marker `generateFlag` is not set, the static `publicKeyMaterial`, which may be either an asymmetric public key or a digital certificate, shall be returned in the marker.

- (3) The SAL may optionally verify the digital certificate if supplied, in accordance with the `certVerificationProcess` indicator.  
The SAL shall generate a symmetric session key which shall be encrypted with the public key contained in the DID marker (i.e. either the public key directly if supplied, or the public key contained in the digital certificate, if supplied).

```
K_Mac = sessionMacAlgorithm()
K_Enc = sessionEncAlgorithm()

sessionKeys ::= SEQUENCE {
    K_Mac OCTET STRING,
    K_Enc OCTET STRING
}
```

If the marker returned contains the public key then

```
encryptedData = encryptionAlgorithm(publicKey, sessionKeys)
```

else if the marker returned contains the digital certificate

```
encryptedData = encryptionAlgorithm(digitalCertificate(publicKey),
sessionKeys)
```

The SAL shall return the encrypted session keys.

- (4) The card-application decrypts the encrypted session keys using the private key and stores the session keys for subsequent ISO/IEC 24727 secure messaging.

```
sessionKeys = encryptionAlgorithm-1(privateKey, encryptedData)
```

MAC the status code

```
macData = macAlgorithm(K_Mac, statusCode)
```

The card-application shall return a `statusCode` as well as the `macData`.

- (5) The SAL verifies the returned `macData` to establish the authenticity of the referenced private key.

#### A.17.6.2 Impact on current state

Upon successful completion of this authentication protocol, the authentication state of the named differential-identity shall be set to `TRUE` within the card-application.

#### A.17.7 Encipher

A request action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.17.8 Decipher

A request action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

**A.17.9 GetRandom**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.17.10 Hash**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.17.11 Sign**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.17.12 VerifySignature**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.17.13 VerifyCertificate**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.17.14 CardApplicationEndSession**

A request to this action after a differential-identity utilizing this protocol has been authenticated, shall set this differential-identity authentication state to FALSE, and return the API\_OK return code.

**A.17.15 Secure Transport Syntax**

Note: This syntax is an adaptation of PKCS#7 envelopedData content type – RFC 2315.

**A.17.15.1 Transport package definition**

```

ContentInfo ::= SEQUENCE {
                                contentType  ContentType,
                                content       EnvelopedData
}

ContentType ::= OBJECT IDENTIFIER
EnvelopeDataVersion ::= INTEGER (0)
CertificateSerialNumber ::= INTEGER
KeyEncryptionAlgorithmIdentifier ::= AlgorithmIdentifier
ContentEncryptionAlgorithmIdentifier ::= AlgorithmIdentifier
AlgorithmIdentifier ::= SEQUENCE {
                                algorithm     OBJECT IDENTIFIER,
                                parameters    ANY DEFINED BY algorithm OPTIONAL
}

EnvelopedData ::= SEQUENCE {
                                version        EnvelopeDataVersion,
                                recipientInfos RecipientInfos,
                                encryptedContentInfo EncryptedContentInfo
}

```

```

RecipientInfos ::= SET OF RecipientInfo
RecipientInfo ::= SEQUENCE {
    version                               EnvelopeDataVersion,
    issuerAndSerialNumber                 IssuerAndSerialNumber,
    keyEncryptionAlgorithm                 KeyEncryptionAlgorithmIdentifier,
    encryptedKey                           EncryptedKey
}

IssuerAndSerialNumber ::= SEQUENCE {
    issuer                               Name,
    serialNumber                         CertificateSerialNumber
}

EncryptedKey ::= OCTET STRING

EncryptedContentInfo ::= SEQUENCE {
    contentType                           ContentType,
    contentEncryptionAlgorithm             ContentEncryptionAlgorithmIdentifier,
    encryptedContent                       [0] IMPLICIT EncryptedContent OPTIONAL
}

EncryptedContent ::= OCTET STRING

```

## A.17.15.2 Descriptions

ContentInfo – contentType: indicates the type of content. This document defines one content type of envelopedData that shall have an object identifier of OID { iso(1) standard(0) iso2747(24727) part3(3) annex-a(0) asymmetric-session-key-establishment(17) envelopedData (1)}.

ContentInfo – content: the content to be transmitted. In this case it shall be the envelopedData.

EnvelopedData – EnvelopeDataVersion: is the syntax version number. It shall be 0 for this version of the enveloped data format.

EnvelopedData – recipientInfos: is a collection of per-recipient information. There must be at least one element in the collection.

EnvelopedData – encryptedContentInfo: is the encrypted content information.

RecipientInfo – version: is the syntax version number. It shall be 0 for this version of the document.

RecipientInfo – issuerAndSerialNumber specifies the recipient's certificate (and thereby the recipient's distinguished name and public key) by issuer distinguished name and issuer-specific serial number.

RecipientInfo – keyEncryptionAlgorithm: identifies the key-encryption algorithm (and any associated parameters) under which the content-encryption key is encrypted with the recipient's public key.

RecipientInfo – encryptedKey is the result of encrypting the content-encryption key with the recipient's public key.

EncryptedContentInfo – contentType: indicates the type of content. This document defines one content type of envelopedData that shall have an object identifier of OID { iso(1) standard(0) iso2747(24727) part3(3) annex-a(0) asymmetric-session-key-establishment(17) envelopedData (1)}.

EncryptedContentInfo – contentEncryptionAlgorithm: identifies the content-encryption algorithm (and any associated parameters) under which the content is encrypted.

EncryptedContentInfo – encryptedContent: is the result of encrypting the content.

**A.17.15.3 Package Construction Process**

A content-encryption key for a particular content-encryption algorithm is generated at random.

Encrypt the content encryption key with the recipient (i.e. client-application) public key.

Collate the encrypted content encryption key and other recipient specific information into the RecipientInfo value.

Encrypt the content with the encryption key (apply padding if required).

Collate the RecipientInfo values with the encrypted content into an EnvelopedData value.

**A.17.15.4 Package Interpretation Process**

Decrypt the encrypted content encryption key with the recipients (i.e. smartcard) private key.

Decrypt the encrypted content with the recovered content encryption key.

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## A.18 Secure PIN Compare

This authentication protocol utilizes secure transport syntax (ref A.17.14) to securely and anonymously transmit the PIN. The card-application shall extract the PIN from the secure transport syntax (ref A.17.14) package and compares it with a value stored in the marker of a differential-identity.

The attemptsCounter value in this authentication protocol shall be updatable in order to facilitate the locking of the authentication protocol (i.e. set attemptsCounter to maxAttempts).

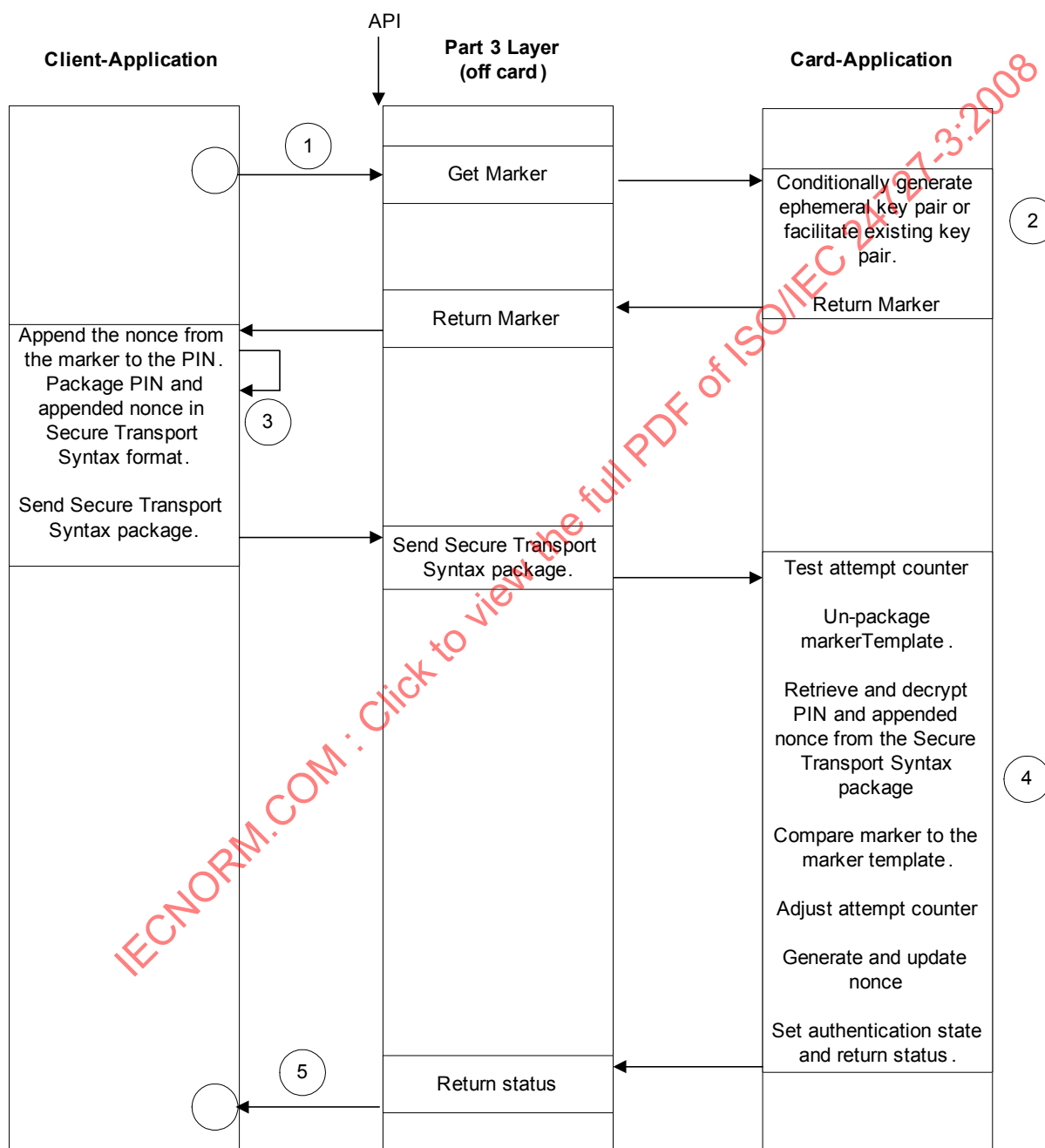


Figure A.16 — Secure PIN Compare

### A.18.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) secure-pin-compare(18) }

### A.18.2 Marker

A differential-identity which uses this protocol has the following marker:

```
markerAP018 ::= SEQUENCE {
    minDataLength          INTEGER,
    maxDataLength          INTEGER,
    paddingCharacter        OCTET STRING,
    markerTemplate          OCTET STRING,
    maxAttempts            INTEGER,
    attemptsCounter        INTEGER,
    encryptionAlgorithm    OBJECT IDENTIFIER,
    keySize                INTEGER,
    keyTransportProtectionType INTEGER,
    nonceSize              INTEGER,
    nonce                  INTEGER,
    CHOICE {
        SEQUENCE {
            privateKey          OCTET STRING,
            publicKeyMaterial   OCTET STRING
        },
        SEQUENCE {
            privateKeyReference  DIDReference,
            publicKeyMaterialReference DIDReference
        },
        generateFlag NULL
    }
}
```

The `keyTransportProtectionType` shall indicate whether the `markerTemplate` is transmitted in clear text(0) or encrypted(1) during the DIDCreate or DIDUpdate action.

The `privateKeyReference` and `publicKeyMaterialReference` parameters shall reference a cryptographic key known through a differential-identity. The structure of the reference is defined below:

```
DIDReference ::= SEQUENCE {
    scope      DIDScope,
    didName    DIDName
}
```

### A.18.3 DIDCreate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a `DIDUpdateData` parameter where `marker` is the specific marker for this protocol as defined above in A.18.2.

In the case where the `markerTemplate` is to be transported confidentially between the client-application and card-application during the DIDCreate action (i.e. the `keyTransportProtectionType` is set to "encrypted"), the following apply:

- The `markerTemplate` shall be packaged using the secure transport syntax (ref A.17.14) format;
- A static private and public key shall be referenced in the `privateKeyReference` parameter and the `publicKeyMaterialReference` respectively;

- The public key referenced by `publicKeyMaterialReference` shall be used during the creation of the secure transport syntax (ref A.17.14) package.
- The card-application implementation of this authentication protocol shall process the secure transport syntax (ref A.17.14) package to extract the `markerTemplate` for storage in the DID.

In the case where the `generateFlag` is set to “true” the `markerTemplate` shall not be transported confidentially and no secure packaging is required during the DIDCreate action.

#### A.18.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a `DIDUpdateData` parameter, where `marker` is the specific marker for this protocol as defined above in A.18.2. The fields of the `marker` structure are interpreted exactly as described for the DIDCreate action, above.

The `attemptsCounter` counter may be set to `maxAttempts` to disable a differential-identity which utilizes this authentication protocol.

#### A.18.5 DIDGet

A request of the DIDGet action on a differential-identity which uses this protocol shall include a `DIDStructure` parameter.

#### A.18.6 Authentication

This authentication protocol shall be executed through two requests. The first request is the DIDGet action which returns the marker containing the required public key. The second request is the DIDAuthenticate action with an `authenticationProtocolData` parameter as defined below.

A request of the `CardApplicationStartSession()` action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

##### A.18.6.1 Procedure

- (1) Request to retrieve the marker that contains the public key.
- (2) If the DID marker `generateFlag` is set to TRUE, an asymmetric key pair shall be generated by the card-application for the current session.  
if the DID marker `generateFlag` is set to FALSE, the referenced key pair shall be utilized by the card-application for the current session.  
The marker including the public key material and current nonce shall be returned to the client-application.
- (3) Verify that the `attemptsCounter` is less than the `maxAttempts`. The client-application shall generate a symmetric key and package the PIN appended with the nonce using the generated symmetric key and the card-application supplied public key as specified by the secure transport syntax defined in A.17.14.

The `markerTemplate`, with the contained secure transport syntax (ref. A.17.14) package, is returned to the card-application.

```
authenticationProtocolData ::= ContentInfo(secure transport syntax A.17.14, 7.  
General syntax)
```

- (4) If the value of `attemptsCounter` is greater or equal to the value of `maxAttempts`, this request shall proceed with step #5.  
The card-application unwraps the secure transport syntax (ref. A.17.14) template using the `privateKey` to decrypt the `encryptedKey` contained in the `ContentInfo` of the secure transport syntax template.

The `encryptedKey` in conjunction with other `ContentInfo` is used to decrypt the PIN and the appended nonce.

```
result = (decryptedPIN == markerTemplate)
```

The PIN and appended nonce comparison is bit-by-bit.

If result is TRUE, `attemptsCounter` is reset to zero. Otherwise, `attemptsCounter` is incremented.

Generate and store the new nonce.

```
nonce = RNG(nonceSize)
```

```
(5) authenticationProtocolData ::= SEQUENCE {
                                maxAttempts      INTEGER OPTIONAL,
                                attemptsCounter  INTEGER OPTIONAL
                                }
```

#### A.18.6.2 Impact on current state

Upon successful completion of this protocol, the authentication state of the named differential-identity shall be set to the value of result within the card-application.

#### A.18.7 Encipher

A request action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.18.8 Decipher

A request action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.18.9 GetRandom

A request action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.18.10 Hash

A request action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.18.11 Sign

A request action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.18.12 VerifySignature

A request action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.18.13 VerifyCertificate

A request action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

## A.19 EC Key Agreement with Card-Application Authentication

This authentication protocol is an card-application internal authentication protocol and key agreement using EC cryptography. The initiator generates an ephemeral key pair but has not static key pair; the responder has only a static key pair. This protocol is used to establish authentication (SK<sub>mac</sub>) and encryption (SK<sub>enc</sub>) session keys for further secure messaging between the ISO/IEC 24727-3 layer and the card-application.

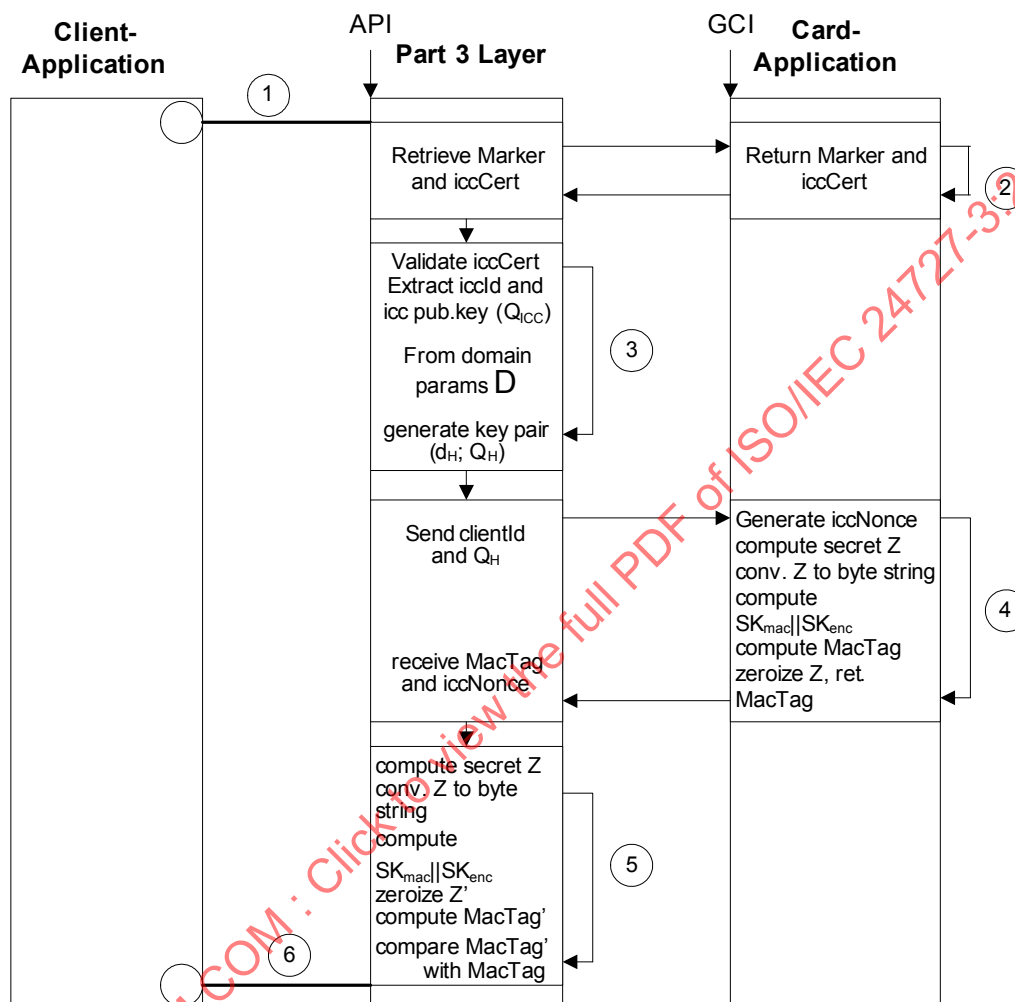


Figure A.17 — EC Key Agreement with Card-Application Authentication

### A.19.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) ec-key-agreement-with-card-application-authentication(19) }

### A.19.2 Marker

A differential-identity which uses this protocol has the following marker:

```
MarkerAP019 ::= SEQUENCE {
    domainParameters          OBJECT IDENTIFIER,
    keyEstablishmentAlgorithm OBJECT IDENTIFIER,
    kDFHashAlgorithm          OBJECT IDENTIFIER,
    sessionMacAlgorithm        OBJECT IDENTIFIER,
    sessionEncAlgorithm        OBJECT IDENTIFIER,
    nonceSize                  INTEGER,
    CHOICE {
        SEQUENCE {
            iccPublicKey      OCTET STRING,
            iccPrivateKey      OCTET STRING
        },
        genKeyPairFlag NULL
    }
    iccIdentifier      OCTET STRING,
    iccCert             OCTET STRING
}
```

### A.19.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this protocol shall include a DIDUpdateData parameter where marker is the specific marker for this protocol as defined above in A.19.2.

### A.19.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this protocol shall include a DIDUpdateData parameter where marker is the specific marker for this protocol as defined above in A.19.2.

### A.19.5 DIDGet

A confirmation to the DIDGet action involving a differential-identity which uses this protocol shall include a DIDStructure parameter.

### A.19.6 Authentication

This protocol is executed through a simple request of the CardApplicationStartSession action with an authenticationProtocolData parameter as defined below.

#### A.19.6.1 Procedure

- (1) authenticationProtocolData ::= clientIdentifier OCTET STRING
- (2) Validate iccCert. Generate ephemeral key pair ( $d_H; Q_H$ ) from domain parameters D. Verify that  $Q_H$  is valid for Domain Parameters D.
- (3)  $iccNonce = RNG(nonceSize)$   
 $Z = keyEstablishmentAlgorithm(d_{ICC}; Q_H)$

Convert  $Z$  to a byte string using field-element-to-byte-string.

Compute session keys using the derivation function:

$$SK_{\text{mac}} \parallel SK_{\text{enc}} = \text{KDF}(Z, \text{keyDataLen}, \text{otherInfo})$$

Where:

```
otherInfo ::= SEQUENCE {
    sessionMacAlgorithm    OBJECT IDENTIFIER,
    sessionEncAlgorithm    OBJECT IDENTIFIER,
    clientIdentifier       OCTET STRING,
    iccIdentifier          OCTET STRING,
    iccNonce              OCTET STRING
}

keyDataLen = algoKeyLengthInBits(sessionMacAlgorithm) +
             algoKeyLengthInBits(sessionEncAlgorithm)
N = keyDataLen / (hashLengthInBits(kDFHashAlgorithm))
DerivedKeyingMaterial = kDFHashAlgorithm(0x00000001 || Z || otherInfo) ||
                        kDFHashAlgorithm(0x00000002 || Z || otherInfo) ||
                        kDFHashAlgorithm((0x00000000 + N) || Z || otherInfo)
```

KDF is the (keyDataLen) left-most bits from DerivedKeyingMaterial

The coordinates of  $Q_H$  are converted from field elements to byte strings  $Q_H'$

```
MacData = "KC_1_V" || iccIdentifier || clientIdentifier || NULL ||  $Q_H'$ 
MacTag = sessionMacAlgorithm( $SK_{\text{mac}}$ , MacData)
```

#### (4) Verify that $Q_{\text{ICC}}$ is valid for Domain Parameters D

$$Z = \text{keyEstablishmentAlgorithm}(d_H; Q_{\text{ICC}})$$

Convert Z to a byte string using field-element-to-byte-string.

Use KDF defined at step (3)

$$SK_{\text{mac}} \parallel SK_{\text{enc}} = \text{KDF}(Z; \text{KeyDataLen}; \text{otherInfo})$$

The coordinates of  $Q_H$  are converted from field elements to byte strings  $Q_H'$

```
MacData' = "KC_1_V" || iccIdentifier || clientIdentifier || NULL ||  $Q_H'$ 
MacTag' = sessionMacAlgorithm( $SK_{\text{mac}}$ , MacData')
```

#### (5) authenticationProtocol ::= empty OCTET STRING

```
return_code = API_OK
```

### A.19.6.2 Impact on current state

Upon successful completion of this authentication protocol, the authentication state of the named differential-identity is not affected within the card-application, however, a session with secure messaging capability is started.

### A.19.7 Encipher

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.19.8 Decipher**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.19.9 GetRandom**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.19.10 Hash**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.19.11 Sign**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.19.12 VerifySignature**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.19.13 VerifyCertificate**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

## A.20 EC Key Agreement with Mutual Authentication

This authentication protocol is a mutual authentication protocol with key agreement using Elliptic Curve cryptography.

For forward secrecy, both client and ICC use an ephemeral EC key pair and a static EC key pair to establish the session keys.

This protocol is used to establish authentication (SKmac) and encryption (SKenc) session keys for further secure messaging between the ISO/IEC 24727-3 layer and the card-application.

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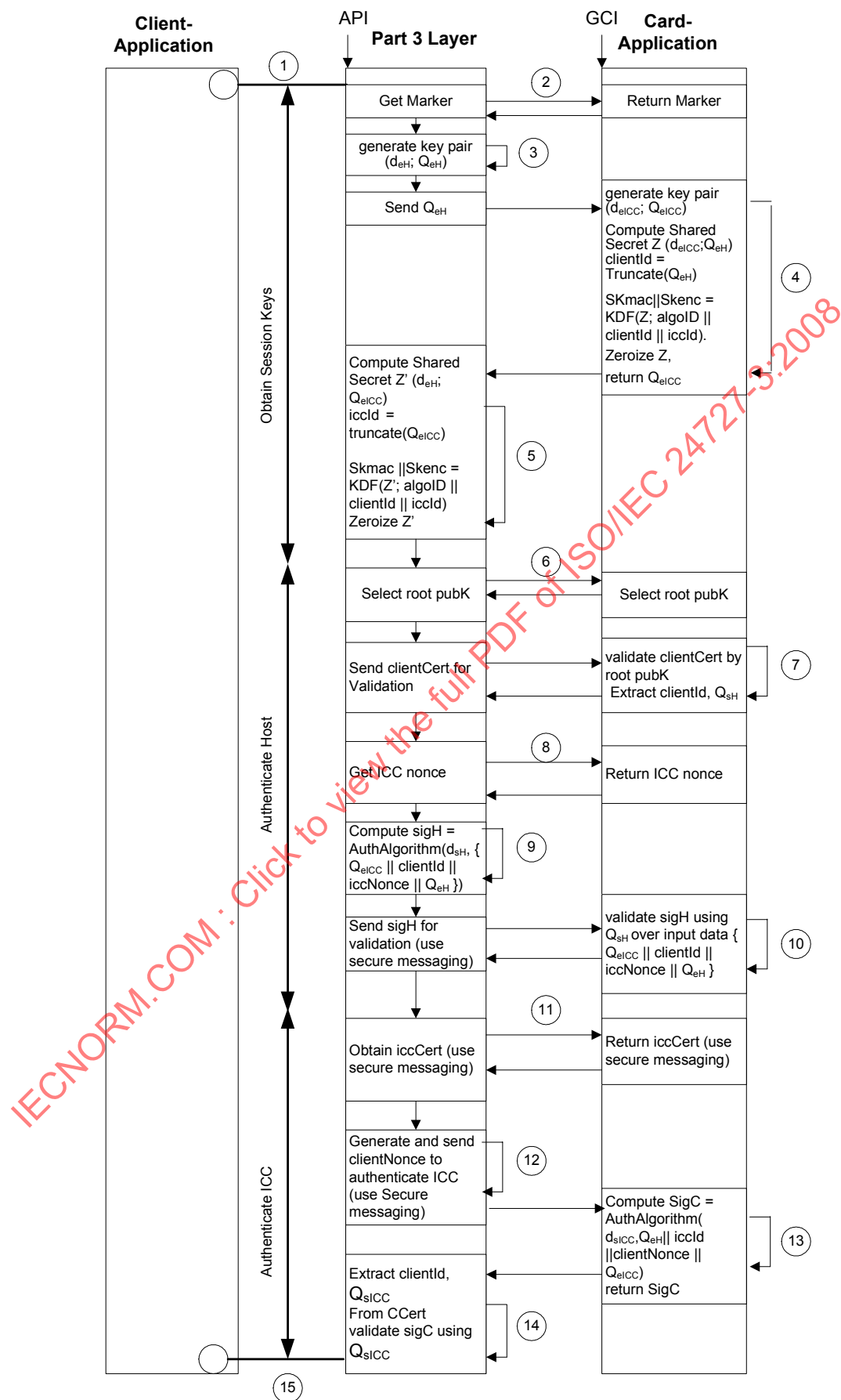


Figure A.18 — EC Key Agreement with Mutual Authentication

### A.20.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) ec-key-agreement-with-mutual-authentication(20) }

### A.20.2 Marker

A differential-identity which uses this protocol has the following marker:

```
MarkerAP020 ::= SEQUENCE {
    DomainParameters                OBJECT IDENTIFIER,
    KeyEstablishmentAlgorithm       OBJECT IDENTIFIER,
    KDFHashAlgorithm               OBJECT IDENTIFIER,
    SessionMacAlgorithm            OBJECT IDENTIFIER,
    SessionEncAlgorithm            OBJECT IDENTIFIER,
    AuthAlgorithm                  OBJECT IDENTIFIER,
    nonceSize                      INTEGER,
    CHOICE {
        SEQUENCE {
            iccPublicKey            OCTET STRING,
            iccPrivateKey           OCTET STRING,
        },
        genKeyPairFlag             NULL
    },
    iccIdentifier                  OCTET STRING,
    iccCert                       OCTET STRING,
    rootIdentifier                 OCTET STRING,
    rootPublicKey                 OCTET STRING,
    iccCertKnown                  BOOLEAN,
    verifyClientCert              BOOLEAN,
    EnforcePrivacy                BOOLEAN
}
```

### A.20.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this protocol shall include a DIDUpdateData parameter where marker is the specific marker for this protocol as defined above in A.20.2

### A.20.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this protocol shall include a DIDUpdateData parameter, where marker is the specific marker for this protocol as defined above in A.20.2.

### A.20.5 DIDGet

A confirmation to the DIDGet action involving a differential-identity which uses this protocol shall include a DIDStructure parameter.

### A.20.6 Authentication

This protocol is executed through a simple request of the CardApplicationStartSession() action with the authenticationProtocolData parameter defined below.

**A.20.6.1 Procedure**

- (1) authenticationProtocolData ::= SEQUENCE {  
     clientIdentifier OCTET STRING  
 }
- (2) Obtain Domain Pa Parameters D from Marker template. The returned information must not include any data specific to the ICC. All keys, certificates and identifiers must be set to NULL.
- (3) Generate ephemeral key pair ( $d_{eH}$ ,  $Q_{eH}$ ) from domain parameters D Send  $Q_{eH}$  to ICC.
- (4) Verify that  $Q_{eH}$  is valid for Domain Parameters D Generate ephemeral key pair ( $d_{eICC}$ ,  $Q_{eICC}$ ) from domain parameters D.

$Z = \text{KeyEstablishmentAlgorithm}(d_{eICC}, Q_{eH})$

Convert  $Z$  to a byte string using Field-element-to-Byte-String

Convert the coordinates of  $Q_{eICC}$  and  $Q_{eH}$  from field elements to byte strings  $Q_{eICC}'$  and  $Q_{eH}'$ .

clientIdentifier = Truncate( $Q_{eICC}'$ )  
 iccIdentifier = Truncate( $Q_{eH}'$ )

Where Truncate() returns the left-most 4 bytes of its parameter.

Compute session keys using the derivation function KDF:

$SK_{mac} || SK_{enc} = \text{KDF}(Z, \text{keyDataLen}, \text{otherInfo})$

Where

otherInfo ::= SEQUENCE {

|                     |                    |
|---------------------|--------------------|
| sessionMacAlgorithm | OBJECT IDENTIFIER, |
| sessionEncAlgorithm | OBJECT IDENTIFIER, |
| clientIdentifier    | OBJECT IDENTIFIER, |
| iccIdentifier       | OBJECT IDENTIFIER  |

}

keyDataLen = algoKeyLengthInBits(sessionMacAlgorithm)  
 + algoKeyLengthInBits(sessionEncAlgorithm)

$N = \text{keyDataLen} / (\text{hashLengthInBits}(\text{KDFHashAlgorithm}))$

DerivedKeyingMaterial = KDFHashAlgorithm( $0x00000001 || Z || \text{otherInfo}$ ) ||  
 KDFHashAlgorithm( $0x00000002 || Z || \text{otherInfo}$ ) ||  
 ...  
 KDFHashAlgorithm( $(0x00000000 + N) || Z || \text{otherInfo}$ )

KDF is the keyDataLen left-most bits from DerivedKeyingMaterial.

Zeroize  $Z$  and return  $Q_{eICC}$ .

- (5) Verify that  $Q_{eICC}$  is valid for domain parameters D.

$Z = \text{KeyEstablishmentAlgorithm}(D_{eH}; Q_{eICC})$

Convert  $Z$  to a byte string using Field-element-to-Byte-String

Convert the coordinates of  $Q_{eICC}$  and  $Q_{eH}$  from field elements to byte strings  $Q_{ICC}'$  and  $Q_H'$ .

```
clientIdentifier = Truncate( $Q_{ICC}'$ )
iccIdentifier = Truncate( $Q_H'$ )
```

Where `Truncate()` returns the left-most 4 bytes of its parameter.

Use KDF defined at step (4)

```
SKmac || SKenc = KDF(Z; keyDataLen; otherInfo)
Zeroize Z.
```

A channel for secure messaging ( $SK_{mac}$ ,  $SK_{enc}$ ) is opened.

(6) Select `rootPublicKey` for further certificate verification.

(7) If `verifyClientCert` is set to TRUE, verify `clientCert` based on `rootPublicKey`

Extract `clientIdentifier` from `clientCert` and the host authentication public key  $Q_{SH}$ .

Verify that  $Q_{SH}$  is valid for domain Parameters D.

if `verifyClientCert` is set to FALSE, then assume

```
clientIdentifier = rootIdentifier
```

And

```
 $Q_{SH}$  = rootPublicKey
```

(8) Use secure messaging to transport `iccNonce`.

```
iccNonce = RNG(nonceSize)
```

(9) Convert the coordinates of  $Q_{eICC}$  and  $Q_{eH}$  from field elements to byte strings  $Q_{ICC}'$  and  $Q_H'$

```
sigH = AuthAlgorithm( $d_{SH}$ , {  $Q_{ICC}'$  || clientIdentifier || iccNonce ||  $Q_H'$  })
```

The `AuthAlgorithm` must comply with the domain parameters and do not allow message recovery.

(10) `sigH` must be transported using secure messaging.

Validate `sigH` using  $Q_{SH}$  over input data {  $Q_{ICC}'$  || clientIdentifier || iccNonce ||  $Q_H'$  }

The client-application has been authenticated.

(11) If `iccCertKnown` is set to TRUE, then return `iccIdentifier` instead of `iccCert`. Encrypt `iccCert` using secure messaging if `enforcePrivacy` value is set to TRUE.

If `enforcePrivacy` value is set to FALSE, then the card-application certificate does not need to be encrypted or MACed with secure messaging.

(12) if `iccCertKnown` is set to FALSE, extract  $Q_{sICC}$  from `iccCert`. Verify that  $Q_{sICC}$  is valid for domain parameters D.

`clientNonce` shall be transported using secure messaging:

```
clientNonce = RNG(nonceSize)
```

(13) Convert the coordinates of  $Q_{eICC}$  and  $Q_{eH}$  from field elements to byte strings  $Q_{ICC}'$  and  $Q_H'$

Locate  $d_{sICC}$ , authentication private key corresponding to  $Q_{sICC}$ ,  $iccIdentifier$ , and  $iccCert$ .

$sigC = \text{AuthAlgorithm}(d_{sICC}, \{ Q_{ICC}' || iccIdentifier || clientNonce || Q_H' \} )$   
 $authAlgorithm$  must comply with the domain parameters and does not allow message recovery.

(14)  $sigC$  shall be transported using secure messaging

validate  $sigC$  using  $Q_{sICC}$  over input data  $\{ Q_{ICC}' || iccIdentifier || clientNonce || Q_H' \}$

The client-application has been authenticated.

(15)  $authenticationProtocolData ::= \text{empty OCTET STRING}$

$return\_code = API\_OK$

#### A.20.6.2 Impact on current state

Upon successful completion of this authentication protocol, the authentication state of the named differential-identity shall be set to the value of result within the card-application, and a session with secure messaging capability is started.

#### A.20.7 Encipher

A request action on a differential-identity which uses this protocol shall return the  $API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION$  return code.

#### A.20.8 Decipher

A request action on a differential-identity which uses this protocol shall return the  $API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION$  return code.

#### A.20.9 GetRandom

A request action on a differential-identity which uses this protocol shall return the  $API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION$  return code.

#### A.20.10 Hash

A request action on a differential-identity which uses this protocol shall return the  $API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION$  return code.

#### A.20.11 Sign

A request action on a differential-identity which uses this protocol shall return the  $API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION$  return code.

#### A.20.12 VerifySignature

A request action on a differential-identity which uses this protocol shall return the  $API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION$  return code.

#### A.20.13 VerifyCertificate

A request action on a differential-identity which uses this protocol shall return the  $API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION$  return code.

A.21 Simple EC-DH Key Agreement

This authentication protocol is a simple protocol with key agreement using EC cryptography. Both the client-application and card-application generate and use an ephemeral key pair but no static key pair for the key agreement.

This protocol is used to establish authentication (SKmac) and encryption (SKenc) session keys for further secure messaging between the ISO/IEC 24727-3 layer and the card-application.

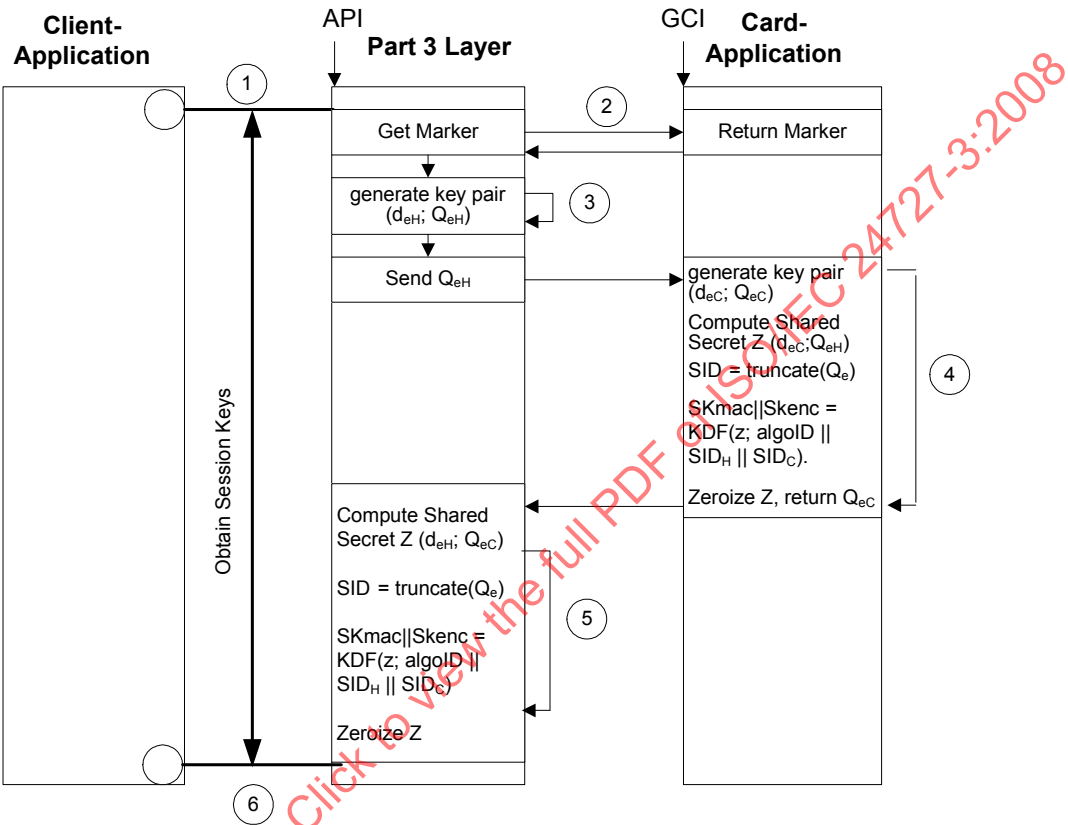


Figure A.19 — Simple EC-DH Key Agreement

A.21.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) simple-ec-dh-key-agreement(21) }

A.21.2 Marker

A differential-identity which uses this protocol has the following marker:

```
MarkerAP021 ::= SEQUENCE {
    DomainParameters                OBJECT IDENTIFIER,
    KeyEstablishmentAlgorithm        OBJECT IDENTIFIER,
    KDFHashAlgorithm                OBJECT IDENTIFIER,
    SessionMacAlgorithm              OBJECT IDENTIFIER,
    SessionEncAlgorithm              OBJECT IDENTIFIER
}
```

### A.21.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this protocol shall include a `DIDUpdateData` parameter where `marker` is the specific marker for this protocol as defined above in A.21.2.

### A.21.4 DIDUpdate

A request action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

### A.21.5 DIDGet

A confirmation to the DIDGet action involving a differential-identity which uses this protocol shall include a `DIDStructure` parameter.

### A.21.6 Authentication

This protocol is executed through a simple request of the `CardApplicationStartSession()` action with an `authenticationProtocolData` parameter as defined below.

- (1) `authenticationProtocolData ::= empty OCTET STRING`
- (2) Obtain domain parameters  $D$  from Marker template. The returned information must not include any data specific to the ICC. All keys, certificates, and identifiers must be set to NULL.
- (3) Generate ephemeral key pair  $(d_{eH}; Q_{eH})$  from domain parameters  $D$ . Send  $Q_{eH}$  to ICC.
- (4) Verify that  $Q_{eH}$  is valid for Domain Parameters  $D$

Generate ephemeral key pair  $(d_{eICC}; Q_{eICC})$  from domain parameters  $D$ .

$Z = \text{KeyEstablishmentAlgorithm}(d_{eICC}; Q_{eH})$

Convert  $Z$  to a byte string using field-element-to-byte-string

Convert the coordinates of  $Q_{eICC}$  and  $Q_{eH}$  from field elements to byte strings  $Q_{eICC}'$  and  $Q_{eH}'$

`clientIdentifier = Truncate( $Q_{eICC}'$ )`  
`iccIdentifier = Truncate( $Q_{eH}'$ )`

Where `Truncate()` returns the left-most bytes of its parameter

Compute session keys using the derivation function KDF:

$SK_{mac} || SK_{enc} = \text{KDF}(Z, \text{keydataLen}, \text{otherInfo})$

`otherInfo ::= SEQUENCE {`

|                                  |                                 |
|----------------------------------|---------------------------------|
| <code>SessionMacAlgorithm</code> | <code>OBJECT IDENTIFIER,</code> |
| <code>SessionEncAlgorithm</code> | <code>OBJECT IDENTIFIER,</code> |
| <code>clientIdentifier</code>    | <code>OCTET STRING,</code>      |
| <code>iccIdentifier</code>       | <code>OCTET STRING,</code>      |
| <code>iccNonce</code>            | <code>OCTET STRING</code>       |

`}`

```

keyDataLen = algoKeyLengthInBits(SessionMacAlgorithm) +
AlgoKeyLengthInBits(SessionEncAlgorithm)

N = keyDataLen / (hashLengthInBits (KDFHashAlgorithm))

DerivedKeyingMaterial = KDFHashAlgorithm(0x00000001 || Z || otherInfo) ||
                        KDFHashAlgorithm(0x00000002 || Z || otherInfo) ||
                        ...
                        KDFHashAlgorithm((0x00000000 + N) || Z || otherInfo)

```

KDF is the (keyDataLen) left-most bits from DerivedKeyingMaterial.

Zeroize Z and return Q<sub>eICC</sub>.

(5) Verify that Q<sub>eICC</sub> is valid for domain parameters D

Z = KeyEstablishmentAlgorithm(deH;Q<sub>eICC</sub>)

Convert Z to a byte string using field-element-to-byte-string

Convert the coordinates of Q<sub>eICC</sub> and Q<sub>eH</sub> from field elements to byte strings Q<sub>ICC'</sub> and Q<sub>H'</sub>

clientIdentifier = Truncate(Q<sub>ICC'</sub>)

icclIdentifier = Truncate(Q<sub>H'</sub>)

Where Truncate() returns the left-most 4 bytes of its parameter.

Use KDF defined at step (4)

SK<sub>mac</sub> || SK<sub>enc</sub> = KDF(Z; keyDataLen; otherInfo)

Zeroize Z

A channel for secure messaging (SK<sub>mac</sub>,SK<sub>enc</sub>) is opened.

(6) authenticationProtocolData ::= empty OCTET STRING

return\_code = API\_OK

#### A.21.6.1 Impace on current state

Upon successful completion of this authentication protocol, the authentication state of the named differential-identity is not affected within the card-application; however, a session with secure messaging capability is started.

#### A.21.7 Encipher

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

#### A.21.8 Decipher

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.21.9 GetRandom**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.21.10 Hash**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.21.11 Sign**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.21.12 VerifySignature**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

**A.21.13 VerifyCertificate**

A request action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

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## A.22 GP Asymmetric Authentication

This authentication protocol is a challenge-response protocol using public key cryptography<sup>1)</sup>. This protocol is used both to establish an authenticated state of a differential-identity in a card-application and to transport two session keys for message authentication (SKmac) and for encryption (SKenc) between the ISO/IEC 24727-3 layer and the card-application for further secure messaging<sup>2)</sup>.

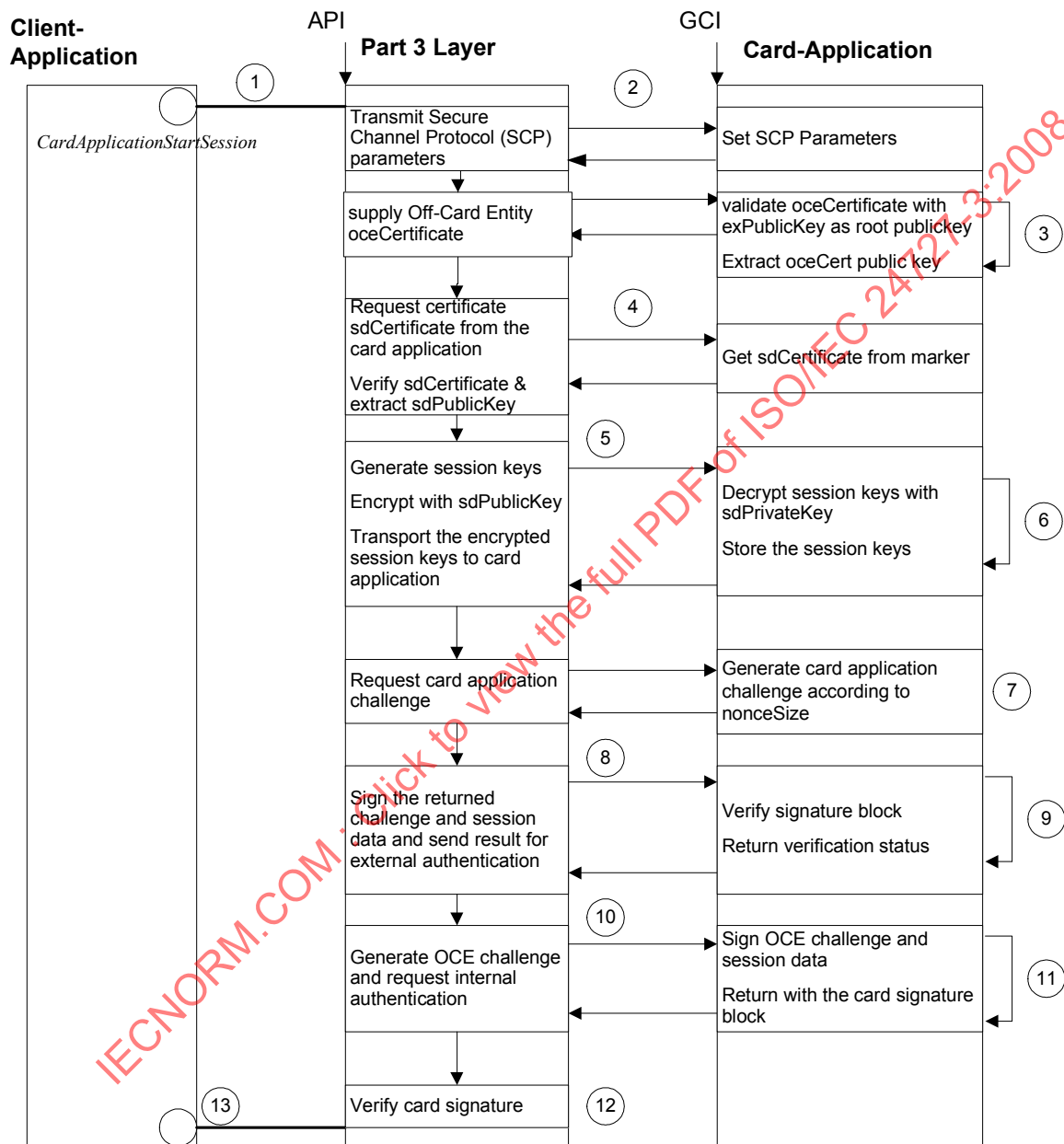


Figure A.20 — GP Asymmetric Authentication

1) Defined as "SCP10" with key transport option ( $i = 0x02$ ) in the GlobalPlatform 2.2 Card Specification.

2) With this authentication protocol, the private key is hosted within the SAL. This implies that the client-authentication and the SAL shall be running in the same trusted environment. The appropriate configurations for this scheme (ref. ISO/IEC 24727-4) are Loyal-stack, Opaque-ICC-stack, and Remote-ICC-Stack.

### A.22.1 Protocol Object Identifier

This protocol is identified by the following OIDs:

```
{ iso(1) iso24727(24727) part3(3) annex-a(0) gp-asymmetric-
authentication(22) }
```

if only external authentication is required,

```
{ iso(1) iso24727(24727) part3(3) annex-a(0) gp-asymmetric-authentication(22)
mutual-auth(0) }
```

if internal authentication (steps (10) thru (12)) is required.

### A.22.2 Marker

A differential-identity which uses this protocol has a marker defined with the following template:

```
MarkerAP022 ::= SEQUENCE {
    sdPublicKey          OCTET STRING,
    sdPrivateKey          OCTET STRING,
    sdCertificate         OCTET STRING,
    exPublicKey          OCTET STRING, // PK_TP_EX.AUT as defined in GP 2.2
    (F.1.2.1 Overview)
    ocePubliKey          OCTET STRING, // PK.OCE.AUT as defined in GP 2.2
    (F.1.2.1 Overview)
    kaExPublicKey        OCTET STRING, // PK.KA_EX.AUT as defined in GP 2.2
    (F.1.2.1)
    kaInCertificate      OCTET STRING, // CERT.KA_IN.AUT as defined in GP
    2.2 (F.1.2.1 Overview)
    sessionMacAlgo       OBJECT IDENTIFIER,
    sessionEncAlgo       OBJECT IDENTIFIER,
    keyEncryptionAlgo    OBJECT IDENTIFIER,
    hashAlgorithm        OBJECT IDENTIFIER,
    nonceSize            INTEGER
}
```

### A.22.3 DIDCreate

A request of DIDCreate action intended to create a differential-identity for use with this protocol shall include a DIDUpdateData parameter where marker is the specific marker for this protocol as defined above in A.22.2.

### A.22.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a DIDUpdateData parameter, where marker is the specific marker for this protocol as defined above in A.22.2.

### A.22.5 DIDGet

A confirmation to the DIDGet action involving a differential-identity which uses this authentication protocol shall include a DIDStructure parameter.

## A.22.6 Authentication

This protocol is executed through a simple request of the CardApplicationStartSession action with an authenticationProtocolData parameter as defined below.

A request of the DIDAuthentication action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

### A.22.6.1 Procedure

- (1) authenticationProtocolData ::= SEQUENCE {  
     oceCertificate       OCTET STRING,  
     securityLevel       OCTET STRING,       // As specified in GlobalPlatform  
                                                     Specifications 2.2 Table 10-1  
     SCPPParametersP1P2   OCTET STRING,       // As specified in GlobalPlatform  
                                                     Specifications 2.2 F4.5.2 and F4.5.4  
   }
- (2) The SCP parameters are communicated to the card-application
- (3) The security domain(SD) of the card-application verifies oceCertificate and establishes the validity of ocePublicKey, the corresponding public key ,using exPublicKey as the authority public key stored with the marker.
- (4) The ISO/IEC 24727-3 layer verifies sdCertificate and establishes the validity of sdPublicKey based on the authority certificate and the policies of the issuer of sdCertificate.
- (5) The ISO/IEC 24727-3 layer generates session keys for MAC and ENC, encrypts them with sdPublicKey and transports the encrypted data block encData to the card-application.

```
skMac = sessionMacAlgo()
```

```
skEnc = sessionEncAlgo()
```

```
crtMac ::= SEQUENCE {  

    crtTag           OCTET STRING, // 'B4' (CCT) or 'B8' (CT)  

    crtLength       OCTET STRING,  

    usageQualifier   OCTET STRING, // '95.01.XX'  

    skMac           OCTET STRING, // 'D1.18.XX...XX'  

    cryptoAlgo       OCTET STRING, // GP 2.2 Table F-11 and 11.1.8, with a  

                                                        value corresponding to sessionMacAlgo  

                                                        OID  

    sequenceCounter OCTET STRING // '91.08.XX...XX'  

}
```

```
crtEnc ::= SEQUENCE {  

    crtTag           OCTET STRING, // 'B4' (CCT) or 'B8' (CT)  

    crtLength       OCTET STRING,  

    usageQualifier   OCTET STRING, // '95.01.XX'  

    skEnc           OCTET STRING, // 'D1.18.XX...XX'  

    cryptoAlgo       OCTET STRING, // GP 2.2 Table F-11 and 11.1.8, with a  

                                                        value corresponding to sessionMacAlgo  

                                                        OID  

    sequenceCounter OCTET STRING // '91.08.XX...XX'  

}
```

```

keyData ::= SEQUENCE {
    paddingPattern    OCTET STRING, // '00.02.FF...FF.00'
    securityLevelTag  OCTET STRING, // 'D3'
    securityLevelLen  OCTET STRING, // 'D1'
    securityLevel     OCTET STRING,
    crtMac            OCTET STRING,
    crtEnc            OCTET STRING
}

```

```
encData = keyEncryptionAlgo[sdPublicKey](keyData)
```

- (6) The card-application decrypts the received data block with `sdPrivateKey` to derive the session keys for MAC and ENC, and stores them for later secure message usage.

```
keyData = keyEncryptionAlgo-1[sdPrivateKey](encData)
```

- (7) The card-application generates a challenge for external authentication

```
sdNonce = RNG(nonceSize)
```

- (8) The ISO/IEC 24727-3 layer sends its signature to the card-application for external authentication. The ISO/IEC 24727-3 layer signature is the result of generating a digest (hash) over a set of data, creating a signature block, and signing the signature block with `ocePrivateKey`, the private key corresponding to `oceCertificate`.

```

dataToHash ::= SEQUENCE {
    securityLevelTag  OCTET STRING, // 'D3'
    securityLevelLen  OCTET STRING, // '01'
    securityLevel     OCTET STRING,
    crtMac            OCTET STRING,
    crtEnc            OCTET STRING,
    sdNonce           OCTET STRING
}

```

```
hashData = hashAlgorithm(dataToHash)
```

```

sigBlock ::= SEQUENCE {
    paddingPattern    OCTET STRING, // '00.01.FF...FF.00'
    derHashAlgorithm  OCTET STRING, // DER encoded OID
                                (of GP's hash algo)
    derHashData       OCTET STRING // DER encoded hashData
}

```

```
oceSignature = authAlgorithm[ocePrivateKey](sigBlock)
```

- (9) The card-application verifies `oceSignature` using the previously derived `ocePublicKey` in step (2). The secure channel is now available if the verification succeeds. If internal authentication is not required (first OID supported by this authentication protocol), proceed to step (13).

- (10) If internal authentication is required (for the second OID supported by this authentication protocol), then execute steps (10), (11), and (12)

OCE generates a nonce to challenge the card-application:

```
oceNonce = RNG(nonceSize)
```

- (11) The card-application sends its signature back to the OCE for internal authentication. The card-application signature is the result of generating a digest (hash) over a set of data, creating a signature block, and signing the block with the `sdPrivateKey`:

```
sdSigBlock ::= SEQUENCE {
    paddingPattern      OCTET STRING,    // '00.01.FF...FF.00'
    derHashAlgorithm    OCTET STRING,    // DER encoded OID
    derHashData         OCTET STRING     // DER encoded hashData

    hashData = hashAlgorithm(dataToHash)

    sdDataToHash ::= SEQUENCE {
        crtMac           OCTET STRING,
        crtEnc           OCTET STRING,
        oceNonce         OCTET STRING
    }

    sdSignature = authAlgorithm[sdPrivateKey](sdSigBlock)
```

- (12) The ISO/IEC 24727-3 layer verifies `sdSignature` using the previously derived `sdPublicKey` in step (3). This confirms that the card-application has correctly derived the session keys sent by the ISO/IEC 24727-3 layer.

- (13) `authenticationProtocolData` ::= empty OCTET STRING  
`return_code` = `API_OK` if authentication is completed successfully.

#### A.22.6.2 Impact on current state

Upon successful completion of this authentication protocol, the authentication stat of the named differential-identity shall be set to `TRUE` within the card-application, and a session with secure messaging capability is started.

#### A.22.7 Encipher

A request of this action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.22.8 Decipher

A request of this action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.22.9 GetRandom

A request of this action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.22.10 Hash

A request of this action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.22.11 Sign

A request of this action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

**A.22.12 VerifySignature**

A request of this action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

**A.22.13 VerifyCertificate**

A request of this action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

**A.22.14 CardApplicationEndSession**

A request to this action after a differential-identity utilizing this protocol has been authenticated, shall set this differential-identity authentication state to `FALSE`, and return the `API_OK` return code.

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## A.23 GP Symmetric Authentication (Explicit Mode)

This authentication protocol is a challenge-response protocol using symmetric key cryptography<sup>3)</sup>. This protocol is used both to establish an authenticated state of a differential-identity in a card-application and to agree on two session keys for message authentication (skMac) and for encryption (skEnc) between the ISO/IEC 24727-3 layer and the card-application for further secure messaging.

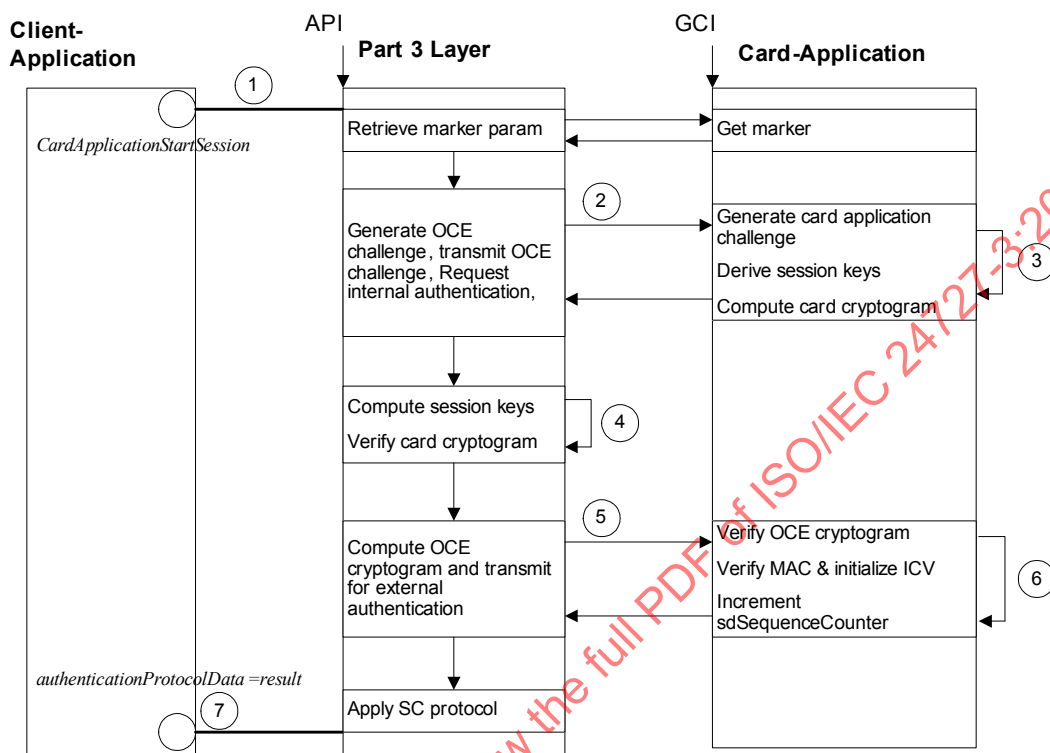


Figure A.21 — GP Symmetric Authentication (Explicit Mode)

### A.23.1 Protocol Object Identifier

This protocol is identified by the OID { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) gp-symmetric-authentication-explicit-mode(23) }

### A.23.2 Marker

A differential-identity which uses this protocol has a marker defined as the following:

```

MarkerAP023 ::= SEQUENCE {
    sdStaticEncKey      OCTET STRING,
    sdStaticMacKey      OCTET STRING,
    sdStaticDekKey      OCTET STRING,
    sdSequenceCounter   OCTET STRING,
    sessionMacAlgo      OBJECT IDENTIFIER,
    sessionEncAlgo      OBJECT IDENTIFIER,
    keyDerivationAlgo   OBJECT IDENTIFIER,
    nonceSize           INTEGER
}

```

3) Defined as SCP02 in explicit mode ( $i = 0x25$ ) in GlobalPlatform 2.2 Card Specification.

### A.23.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this authentication protocol shall include a DIDUpdateData parameter where `marker` is the specific marker for this protocol as defined above in A.23.2.

### A.23.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a DIDUpdateData parameter, where `marker` is the specific marker for this protocol as defined above in A.23.2.

### A.23.5 DIDGet

A confirmation of a request to the DIDGet action involving a differential-identity which uses this protocol shall include a DIDStructure parameter.

### A.23.6 Authentication

This protocol is executed through a simple request of the CardApplicationStartSession action with an authenticationProtocolData parameter as defined below.

A request of the DIDAuthentication action on a differential-identity which uses this protocol shall return the API\_INAPPROPRIATE\_PROTOCOL\_FOR\_ACTION return code.

#### A.23.6.1 Procedure

- (1) **authenticationProtocolData** ::=
 

|                            |                           |                                                                        |
|----------------------------|---------------------------|------------------------------------------------------------------------|
| <code>securityLevel</code> | <code>OCTET STRING</code> | // As specified in GlobalPlatform<br>Card Specification 2.2 Table E-11 |
|----------------------------|---------------------------|------------------------------------------------------------------------|

- (2) The ISO/IEC 24727-3 layer generates a challenge to the card-application for internal authentication:

```
oceNonce = RNG(nonceSize)
```

- (3) The card-application also generates a nonce, then derives the session keys and computes the card-application cryptogram:

```
sdNonce = RNG(nonceSize)
```

```
skMac = keyDerivationAlgo[sdStaticEncKey](sdSequenceCounter)
```

```
skEnc = keyDerivationAlgo[sdStaticMacKey](sdSequenceCounter)
```

```
sdInput ::= SEQUENCE {
                oceNonce                OCTET STRING,
                sdSequenceCounter        OCTET STRING,
                sdNonce                  OCTET STRING,
                padding                   OCTET STRING
            }
```

```
sdCryptogram = authAlgorithm[skEnc](sdInput)
```

- (4) The ISO/IEC 24727-3 layer also computes the card-application as in step (3), and performs a comparison. It authenticates the card-application when the comparison succeeds.

- (5) The ISO/IEC 24727-3 layer computes and off-card entity cryptogram and sends it together with a CMAC pattern to the card-application for external authentication:

```
oceInput ::= SEQUENCE {
    sdSequenceCounter    OCTET STRING,
    sdNonce              OCTET STRING,
    oceNonce             OCTET STRING,
    padding              OCTET STRING
}

oceCryptogram = authAlgorithm[skEnc](oceInput)

macInput ::= SEQUENCE {
    apduHeader           OCTET STRING,
    oceCryptogram        OCTET STRING
}

CMAC = sessionMacAlgo[skMac](macInput)
```

- (6) The card-application computes the same cryptogram and CMAC and performs a comparison with the data received in step (5). It authenticates the ISO/IEC 24727-3 layer if the comparison is successful, and increments the `sdSequenceCounter` and sets the initial ICV with the CMAC value.

- (7) **authenticationProtocolData** ::= empty OCTET STRING

`return_code` = API\_OK if authentication is completed successfully.

#### A.23.6.2 Impact on current state

Upon successful completion of this authentication protocol, the authentication state of the named differential-identity shall be set to the value of 'result' within the card-application, and a session with secure messaging is started.

#### A.23.7 Encipher

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.23.8 Decipher

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.23.9 GetRandom

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.23.10 Hash

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.23.11 Sign

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

**A.23.12 VerifySignature**

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

**A.23.13 VerifyCertificate**

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

**A.23.14 CardApplicationEndSession**

A request to this action after a differential-identity has been successfully authenticated shall set this differential-identity authentication state to `FALSE`, and return the `API_OK` return code.

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## A.24 GP Symmetric Authentication (Implicit Mode)

This authentication protocol is a simple assertion indicating that the subsequent communication with the card-application will be in implicit-mode secure messaging<sup>4)</sup>. This protocol is used to both establish an authenticated state of a differential-identity in a card-application and to agree on two session keys for message authentication (skMac) and for encryption (skEnc) between the ISO/IEC 24727-3 layer and the card-application for further secure messaging.

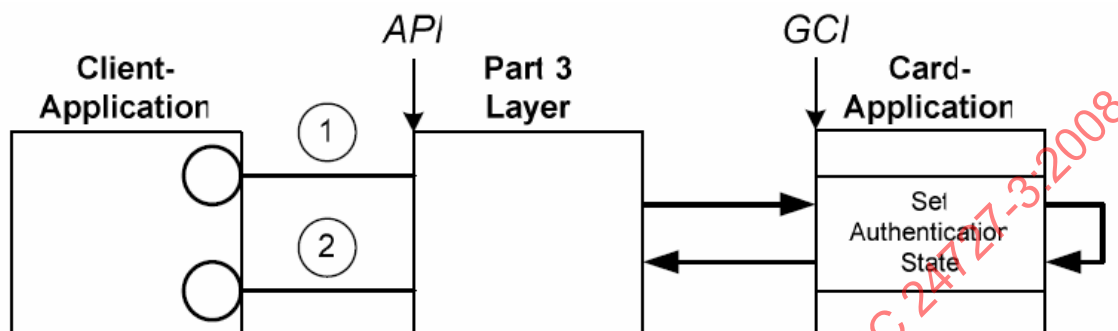


Figure A.22 — GP Symmetric Authentication (Implicit Mode)

### A.24.1 Protocol Object Identifier

This protocol is identified by the following OID: { iso(1) standard(0) iso24727(24727) part3(3) annex-a(0) gp-asymmetric-authentication-implicit-mode(24) }

### A.24.2 Marker

A differential-identity which uses this protocol shall have a marker defined as the following:

```
SpecificMarkerStruct ::= SEQUENCE {
    sdStaticBaseKey      OCTET STRING,
    sdSequenceCounter    OCTET STRING,
    sessionMacAlgo       OBJECT IDENTIFIER,
    sessionEncAlgo       OBJECT IDENTIFIER,
    keyDerivationAlgo    OBJECT IDENTIFIER
}
```

### A.24.3 DIDCreate

A request of the DIDCreate action intended to create a differential-identity for use with this protocol shall include a didStructure parameter as defined below:

```
didStructure ::= SEQUENCE {
    authProtocol    OBJECT IDENTIFIER,
    marker          OCTET STRING,
    scope           BOOLEAN OPTIONAL,
    qualifier       DIDQualifier OPTIONAL
}
```

4) Defined as SCP02 in implicit mode (*I* = 0x29) in GlobalPlatform Card Specification 2.2.

#### A.24.4 DIDUpdate

A request of the DIDUpdate action involving a differential-identity which uses this authentication protocol shall include a `SpecificMarkerStruct` as defined in A.24.2.

#### A.24.5 DIDGet

A confirmation of a request to the DIDGet action involving a differential-identity which uses this protocol shall include a `SpecificMarkerStruct` defined in A.24.2.

#### A.24.6 Authentication

This protocol is executed through a simple request of the `CardApplicationStartSession` action with a `NULL` `authenticationProtocolData` parameter, as it is implicitly known by each party.

A request of the `DIDAuthenticate` action on a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

##### A.24.6.1 Procedure

- (1) `authenticationProtocolData ::= empty OCTET STRING`
  - (2) `authenticationProtocolData ::= empty OCTET STRING`
- `return_code = API_OK` if authentication is completed successfully.

##### A.24.6.2 Impact on current state

Upon successful completion of this authentication protocol, the authentication state of the named differential-identity shall be set to `TRUE` within the card-application.

Upon subsequently receipt of the first APDU in secure messaging, the session keys are computed as follows:

```
skMac = keyDerivationAlgo[sdStaticBaseKey](sdSequenceCounter)

skEnc = keyDerivationAlgo[sdStaticBaseKey](sdSequenceCounter)
```

The ICV is set to "MAC over AID as specified in the GlobalPlatform card Specification 2.2 Table E-1 for computing the first CMAC attached to the first APDU protected with secure messaging. Upon successful verification of the CMAC value, the card-application increments `sdSequenceCounter`.

#### A.24.7 Encipher

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.24.8 Decipher

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### A.24.9 GetRandom

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### **A.24.10 Hash**

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### **A.24.11 Sign**

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### **A.24.12 VerifySignature**

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### **A.24.13 VerifyCertificate**

A request to this action referencing a differential-identity which uses this protocol shall return the `API_INAPPROPRIATE_PROTOCOL_FOR_ACTION` return code.

#### **A.24.14 CardApplicationEndSession**

A request to this action after a differential-identity has been successfully authenticated shall set this differential-identity authentication state to `FALSE`, and return the `API_OK` return code.

## **Annex B** (normative)

### **Cryptographic algorithms**

#### **B.1 Interoperability requirements**

This annex provides the defining source for the cryptographic algorithms used in the authentication protocols specified in Annex A.

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## B.2 Symmetric Algorithms

### B.2.1 References

18033-2 refers to hash functions in 10118-2 and 10118-3.

18033-2 describes key derivation functions KDF1 and KDF2 with dependences on hash functions.

18033-2 refers to MAC algorithms in 9797-1 and 9797-2.

18033-2 refers to block ciphers in 18033-3.

18033-2 describes symmetric ciphers SC1 and SC2 with dependences on block ciphers and key derivation functions.

18033-2 describes key encapsulation mechanisms (KEM).

18033-2 describes data encapsulation mechanisms (DEM).

18033-2 describes hybrid ciphers.

18033-2 describes ElGamal-based KEM.

18033-2 describes RSA-based asymmetric cipher and KEM.

18033-3 defines TDEA (which is 3DES) in terms of DES.

18033-3 defines DES (in Annex A).

18033-3 defines MISTY1.

18033-3 defines CAST-128.

18033-3 defines AES.

18033-3 defines Camellia.

18033-3 defines SEED.

18033-4 describes the construction of stream ciphers from block ciphers.

18033-4 defines MUGI keystream generator.

18033-4 defines SNOW 2.0 keystream generator.

### B.2.2 From 18033-3

The following OIDs and the algorithms to which they refer are defined in ISO/IEC 18033-3.

OID ::= OBJECT IDENTIFIER

is18033-3                   OID ::= { iso(1) standard(0) is18033(18033) part3(3) }

```

id-bc64          OID ::= { is18033-3 cipher-64-bit(1) }
id-bc128         OID ::= { is18033-3 cipher-128-bit(2) }
id-bc64-tdea     OID ::= { id-bc64 tdea(1) }
id-bc64-misty1   OID ::= { id-bc64 misty1(2) }
id-bc64-cast128  OID ::= { id-bc64 cast128(3) }
id-bc128-aes     OID ::= { id-bc128 aes(1) }
id-bc128-camellia OID ::= { id-bc128 camellia(2) }
id-bc128-seed    OID ::= { id-bc128 seed(3) }

```

### B.2.3 AES (128-bit key)

```

id-aes128-ECB ::= { joint-iso-ccitt(2) country(16) us(840) organization(1)
                    gov(101) csor(3) nistAlgorithm(4) 1 1 }
id-aes128-CBC ::= { joint-iso-ccitt(2) country(16) us(840) organization(1)
                    gov(101) csor(3) nistAlgorithm(4) 1 2 }
id-aes128-OFB ::= { joint-iso-ccitt(2) country(16) us(840) organization(1)
                    gov(101) csor(3) nistAlgorithm(4) 1 3 }
id-aes128-CFB ::= { joint-iso-ccitt(2) country(16) us(840) organization(1)
                    gov(101) csor(3) nistAlgorithm(4) 1 4 }

```

### B.2.4 AES (192-bit key)

```

id-aes192-ECB ::= { joint-iso-ccitt(2) country(16) us(840) organization(1)
                    gov(101) csor(3) nistAlgorithm(4) 1 21 }
id-aes192-CBC ::= { joint-iso-ccitt(2) country(16) us(840) organization(1)
                    gov(101) csor(3) nistAlgorithm(4) 1 22 }
id-aes192-OFB ::= { joint-iso-ccitt(2) country(16) us(840) organization(1)
                    gov(101) csor(3) nistAlgorithm(4) 1 23 }
id-aes192-CFB ::= { joint-iso-ccitt(2) country(16) us(840) organization(1)
                    gov(101) csor(3) nistAlgorithm(4) 1 24 }

```

### B.2.5 AES (256 bit key)

```

id-aes256-ECB ::= { joint-iso-ccitt(2) country(16) us(840) organization(1)
                    gov(101) csor(3) nistAlgorithm(4) 1 41 }
id-aes256-CBC ::= { joint-iso-ccitt(2) country(16) us(840) organization(1)
                    gov(101) csor(3) nistAlgorithm(4) 1 42 }
id-aes256-OFB ::= { joint-iso-ccitt(2) country(16) us(840) organization(1)
                    gov(101) csor(3) nistAlgorithm(4) 1 43 }
id-aes256-CFB ::= { joint-iso-ccitt(2) country(16) us(840) organization(1)
                    gov(101) csor(3) nistAlgorithm(4) 1 44 }

```

**B.2.6 DES**

```
DES-ECB ::= { iso(1) identified-organization(3) oiw(14) secsig(3) algorithm(2) 6 }
```

```
DES-CBC ::= { iso(1) identified-organization(3) oiw(14) secsig(3) algorithm(2) 7 }
```

```
-- Carries an IV as a parameter.
```

```
DES-OFB ::= { iso(1) identified-organization(3) oiw(14) secsig(3) algorithm(2) 8 }
```

```
-- Carries an FBParameter as a parameter.
```

```
where,
```

```
FBParameter ::= SEQUENCE
{
    iv          IV,
    numberOfBits NumberOfBits
}
```

```
and,
```

```
IV ::= OCTET STRING
```

```
NumberOfBits ::= INTEGER -- number of feedback bits (1-64)
```

**B.2.7 Others**

```
des-EDE3-CBC ::= { iso(1) member-body(2) us(840) rsadsi(113549)
    encryptionAlgorithm(3) 7 }
```

```
rc2CBC ::= { iso(1) member-body(2) us(840) rsadsi(113549)
    encryptionAlgorithm(3) 2 }
```

```
rc5-CBC-PAD ::= { iso(1) member-body(2) us(840) rsadsi(113549)
    encryptionAlgorithm(3) 9 }
```

## B.3 Asymmetric Algorithms

### B.3.1 Public Keys

RSA public key: 1.2.840.113549.1.1.1

DSA public key: 1.2.840.10040.4.1

EC public key: 1.2.840.10045.2.1

### B.3.2 Asymmetric Encryption

RSA-OAEP ::= 1.2.840.113549.1.1.7

### B.3.3 Signatures

DSA with SHA-1: 1.2.840.10040.4.3

md2WithRSAEncryption ::= 1.2.840.113549.1.1.2

md5WithRSAEncryption ::= 1.2.840.113549.1.1.4

sha-1WithRSAEncryption ::= 1.2.840.113549.1.1.5

sha224WithRSAEncryption ::= 1.2.840.113549.1.1.14

sha256WithRSAEncryption ::= 1.2.840.113549.1.1.11

sha384WithRSAEncryption ::= 1.2.840.113549.1.1.12

sha512WithRSAEncryption ::= 1.2.840.113549.1.1.13

RSASSA-PSS ::= 1.2.840.113549.1.1.10

-- same OID for all hash algorithms (hash algorithm is specified as a parameter).

## B.4 Elliptic Curve Algorithms

### B.4.1 Elliptic Curves

```

ansiX9p192r1 ::= { iso(1) member-body(2) us(840) 10045 curves(3) prime(1) 1 }
ansiX9t163k1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 1 }
ansiX9t163r2 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 15 }
ansiX9p224r1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 33 }
ansiX9t233k1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 26 }
ansiX9t233r1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 27 }
ansiX9p256r1 ::= { iso(1) member-body(2) us(840) 10045 curves(3) prime(1) 7 }
ansiX9t283k1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 16 }
ansiX9t283r1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 17 }
ansiX9p384r1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 34 }
ansiX9t409k1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 36 }
ansiX9t409r1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 37 }
ansiX9p521r1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 35 }
ansiX9t571k1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 38 }
ansiX9t571r1 ::= { iso(1) identified-organization(3) certicom(132) curve(0) 39 }

```

### B.4.2 Signatures

```

ECDSA with SHA-1: 1.2.840.10045.4.1
ECDSA with SHA-224: 1.2.840.10045.4.3.1
ECDSA with SHA-256: 1.2.840.10045.4.3.2
ECDSA with SHA-384: 1.2.840.10045.4.3.3
ECDSA with SHA-512: 1.2.840.10045.4.3.4

```

## B.5 Hash Functions

```

md2      ::= { iso(1) member-body(2) US(840) rsadsi(113549) digestAlgorithm(2) 2 }
md5      ::= { iso(1) member-body(2) US(840) rsadsi(113549) digestAlgorithm(2) 5 }
sha1     ::= { iso(1) identified-organization(3) oiw(14) secsig(3) algorithms(2)
              26 }
sha224   ::= { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
              csor(3) nistalgorithm(4) hashalgs(2) 4 }
sha256   ::= { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
              csor(3) nistalgorithm(4) hashalgs(2) 1 }
sha384   ::= { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
              csor(3) nistalgorithm(4) hashalgs(2) 2 }
sha512   ::= { joint-iso-itu-t(2) country(16) us(840) organization(1) gov(101)
              csor(3) nistalgorithm(4) hashalgs(2) 3 }

```

## B.6 Message Authentication Codes

```
id-hmacWithSHA1      ::= { iso(1) member-body(2) us(840) rsadsi(113549) 2 7}
id-hmacWithSHA224    ::= { iso(1) member-body(2) us(840) rsadsi(113549) 2 8}
id-hmacWithSHA256    ::= { iso(1) member-body(2) us(840) rsadsi(113549) 2 9}
id-hmacWithSHA384    ::= { iso(1) member-body(2) us(840) rsadsi(113549) 2 10}
id-hmacWithSHA512    ::= { iso(1) member-body(2) us(840) rsadsi(113549) 2 11}
HMAC-MD5              ::= { iso(1) org(3) dod(6) internet(1) security(5)
                           mechanisms(5) ipsec(8) isakmpOakley(1) 1 }
```

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## B.7 Key Establishment

```

dhSinglePass-stdDH-sha1kdf-scheme      ::= { iso(1) identified-organization(3)
                                              tc68(133) country(16) x9(840)
                                              x9-63(63) schemes(0) 2 }

dhSinglePass-cofactorDH-sha1kdf-scheme ::= { iso(1) identified-organization(3)
                                              tc68(133) country(16) x9(840)
                                              x9-63(63) schemes(0) 3 }

mqvSinglePass-sha1kdf-scheme            ::= { iso(1) identified-organization(3)
                                              tc68(133) country(16) x9(840)
                                              x9-63(63) schemes(0) 16 }

x9-63-scheme                            ::= { iso(1) member-body(2) US(840)
                                              ansi-x9-63(63) schemes(0) }

secg-scheme                             ::= { iso(1) identified-organization(3)
                                              certicom(132) schemes(1) }

dhSinglePass-stdDH-sha1kdf              ::= { x9-63-scheme 2 }

dhSinglePass-cofactorDH-sha1kdf         ::= { x9-63-schemem 3 }

dhSinglePass-cofactorDH-recommendedKDF ::= { secg-scheme 1 }

dhSinglePass-cofactorDH-specifiedKDF    ::= { secg-scheme 2 }

```

## Annex C (normative)

### ASN.1 Representation

#### C.1 General

```
ASN1 for Annex C of ISO_IEC_24727-31.txt
ISO24727API -- {iso(1) standard(0) iso24727(24727) part3(3) }
--
-- Version 1.60
--
DEFINITIONS IMPLICIT TAGS ::=
BEGIN
```

##### -- C.1.1 Constants

```
size-max-NameLength INTEGER ::= 255
size-max-NodePathLength      INTEGER ::= 255
size-max-Padding             INTEGER ::= 16
size-max-SecurityCondition   INTEGER ::= 255
```

##### -- C.1.2 The Data Types

```
ByteValue ::= INTEGER (FROM (0..255) )
ConnectionHandle ::= INTEGER
ApplicationIdentifier ::= [APPLICATION 15] OCTET STRING
ObjectIdentifier ::= OBJECT IDENTIFIER
Name ::= VisibleString (SIZE(1..size-max-NameLength))
CardApplicationName ::= ApplicationIdentifier
CardApplicationNameList ::= SET OF CardApplicationName
DSName ::= Name
DSNameList ::= SET OF DSName
DSContent ::= OCTET STRING
DataSetName ::= Name
DataSetNameList ::= SET OF DataSetName

ProtocolTerminationPoint ::= OCTET STRING
TransactionIdentifier ::= OCTET STRING
DIDScope ::= CHOICE {
    local [0] NULL,
    global [1] NULL
}
```

```
CardApplicationServiceLoadPackage ::= OCTET STRING
```

```
ExecuteActionRequest ::= OCTET STRING
ExecuteActionConfirmation ::= OCTET STRING
```

```
CipherBuffer ::= OCTET STRING
MessageBuffer ::= OCTET STRING
HashBuffer ::= OCTET STRING
SignatureBuffer ::= OCTET STRING
```

```
RandomDataBuffer ::= OCTET STRING
```

**-- C.1.3 The Data Structures**

```

DifferentialIdentityAuthenticationState ::= SEQUENCE {
    didName      DIDName,
    didScope     DIDScope,
    didState     BOOLEAN
}

SecurityCondition ::= CHOICE {
    didAuthentication      [1] DifferentialIdentityAuthenticationState,
    always                  [2] BOOLEAN (FROM (TRUE)),
    never                    [3] BOOLEAN (FROM (FALSE)),
    not                      [4] SecurityCondition,
    and                      [5] SEQUENCE SIZE (1..size-max-SecurityCondition) OF
SecurityCondition,
    or                       [6] SEQUENCE SIZE (1..size-max-SecurityCondition) OF
SecurityCondition
}

AccessRule ::= SEQUENCE {
    cardApplicationService CardApplicationServiceName,
    action                  ActionName,
    securityCondition       SecurityCondition
}

AccessControlList ::= SET OF AccessRule

ReaderAction ::= ENUMERATED { reset(0), unpower(1), eject(2), confiscate(3) }

CardApplicationPath ::= SEQUENCE {
    pathSecurity      PathSecurityType OPTIONAL,
    -- The pathSecurity element specifies the protection
    -- between the local dispatcher and the remote dispatcher
    -- which is located at channelHandle.protocolTerminationPoint
    channelHandle     ChannelHandleType OPTIONAL,
    contextHandle     ContextHandleType OPTIONAL,
    ifdName           UTF8String OPTIONAL,
    slotIndex         INTEGER OPTIONAL,
    cardApplication   ApplicationIdentifier
}

PathSecurityType ::= SEQUENCE {
    protocol    PATHSECURITYPARAMETERS.&id({SupportedPathSecurityProtocols}),
    parameters  PATHSECURITYPARAMETERS.&Type({SupportedPathSecurityProtocols} {@protocol}) OPTIONAL
}

unknownPathSecurityProtocolOID OBJECT IDENTIFIER ::= { iso(1) standard(0) iso24727(24727) part3(3)
annex-c(2) pathSecurityProtocols(0) unknown(0) }

unknownPathSecurityProtocol PATHSECURITYPARAMETERS ::= {
    ID unknownPathSecurityProtocolOID
    TYPE NULL
}

SupportedPathSecurityProtocols PATHSECURITYPARAMETERS ::= {unknownPathSecurityProtocol}

PATHSECURITYPARAMETERS ::= CLASS {
    &id      OBJECT IDENTIFIER,
    &Type
} WITH SYNTAX {ID &id
    TYPE &Type}

```